

- $V(S_1) \cup V(S_2) = V(S_1 \cup S_2)$

$$\bigcap_i V(S_i) = V(\bigcup_i S_i)$$

闭集有限并 还是闭集. (一个理解是无穷乘积没定义)

- Any affine var can be written as zero locus of finite many polys.

That's because: A is Noetherian $\Leftrightarrow$ every ideal I of A is finitely generated

多项式环 $k[x_1, \dots, x_n]$ 是 Noetherian ring,
其商环 $A(X) = k[x_1, \dots, x_n]/I(X)$ 也是 Noetherian.

$$V(S) = V(\langle S \rangle) = V(\{p_1 f_1 + p_2 f_2 + \dots + p_k f_k \mid f_i \in S_i, p_i \in k[x_1, \dots, x_n]\})$$

$\langle S \rangle \subseteq k[x_1, \dots, x_n]$ Noetherian, so $\langle S \rangle$ can be finitely generated.

$$= V(\langle f_1, \dots, f_k \rangle)$$

- $V(\sqrt{J}) = V(J)$

Exp: $J = \langle (x-a_1)^{k_1} (x-a_2)^{k_2} \dots (x-a_r)^{k_r} \rangle$

$$V(J) = V(\sqrt{J}) = V((x-a_1) \dots (x-a_r))$$

Ideals of all polys vanishing at $a_1, \dots, a_r$ (with any order)

$\Downarrow$
affine var. 不记住重数信息, 这是其与 scheme 的重大区别.

- $V(J_1) \cup V(J_2) = V(J_1 J_2) \stackrel{*}{=} V(J_1 \cap J_2)$

$$V(J_1) \cap V(J_2) = V(\underbrace{J_1 \cup J_2}_{\text{as a set}}) = V(\langle J_1 \cup J_2 \rangle) = V(J_1 + J_2)$$

} More dual than set-version
 $V(\cdot) \cup V(\cdot) = V(\cdot \cap \cdot)$
 $V(\cdot) \cap V(\cdot) = V(\underbrace{\cdot + \cdot}_{\langle \cup \rangle})$

pf for *:

" $\supseteq$ " $J_1, J_2 \subseteq J_1 \cap J_2$ so $V(J_1 J_2) \supseteq V(J_1 \cap J_2)$

" $\subseteq$ " It suffices to show $V(J_1 \cap J_2) \supseteq V(\sqrt{J_1 J_2}) = V(J_1 J_2)$.

$\forall f \in J_1 \cap J_2$, we have $f^2 \in J_1 J_2$. so $f \in \sqrt{J_1 J_2}$. Hence $J_1 \cap J_2 \subseteq \sqrt{J_1 J_2}$.

Then $V(J_1 \cap J_2) \supseteq V(\sqrt{J_1 J_2})$

理想的运算 $\langle J_1 \cup J_2 \rangle = J_1 + J_2$

• $V I(X) = X$, $I V(J) = \sqrt{J}$.

$$\begin{array}{ccc} \{\text{affine var in } \mathbb{A}^n\} & \xleftrightarrow{1:1} & \{\text{radical ideals in } k[x_1, \dots, x_n]\} \\ X & \longmapsto & I(X) \\ V(J) & \longleftarrow & J \end{array}$$

Rmk: 要求 alg closed. 原因是 $\sqrt{J} \supseteq I V(J)$ 只有 k alg closed 才满足.
 k 不 closed 定理失效的例子:

$J = x^2 + 1 \in \mathbb{R}[x]$ $V(J) = \emptyset$, $I V(J) = \mathbb{R}[x]$, $I V(J) \neq \sqrt{J}$.

Rmk: proof $V I(X) \subset X$ is interesting.

$X = V(J)$ for some $J \subseteq k[x_1, \dots, x_n]$.

w.t.s. $V I V(J) \subset V(J) = V(\sqrt{J}) \leadsto$ w.t.s. $\sqrt{J} \subset I V(J)$
 easy.

• $k[x]$ is PID. so $J \subseteq k[x]$ can only be $J = \langle f \rangle = \langle (x-a_1)^{k_1} \dots (x-a_r)^{k_r} \rangle$
 So $V(J) = V(\langle (x-a_1) \dots (x-a_r) \rangle)$
 $= \bigcup_{i=1}^r V\langle (x-a_i) \rangle \leadsto$ Closed set in $\mathbb{A}^1$ is a union of finite points.

• $\{\text{points in } \mathbb{A}^n\} \xleftrightarrow{1:1} \{\text{maximal ideals in } k[x_1, \dots, x_n]\}$.

It's a restriction of the bijection in Hilbert Null thm.

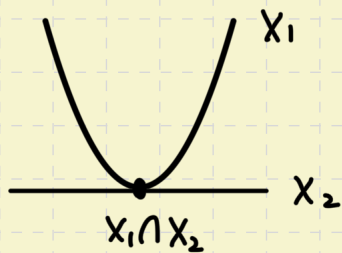
Δ a pt is minimal non-empty varieties in $\mathbb{A}^n$. so $I(a)$ is maximal $\subseteq k[x_1, \dots, x_n]$
 Δ maximal ideal is radical $\underset{\text{maximal}}{J \subseteq \sqrt{J} \Rightarrow J = \sqrt{J}}$
 $\Downarrow$
 $\Delta \{\text{maximal ideals}\} \subseteq \{\text{radical ideals}\}$ 所以可以把 bijection 限制到 $\{\text{pts in } \mathbb{A}^n\}$ 上.

• $I(X_1 \cup X_2) \overset{\text{trivial}}{=} I(X_1) \cap I(X_2)$
 $I(X_1 \cap X_2) = \sqrt{I(X_1) + I(X_2)}$
 $I(X_1 \cap X_2) = I(V(I(X_1) \cap V(I(X_2)))$
 $= I(V(I(X_1) + I(X_2)))$
 $= \sqrt{I(X_1) + I(X_2)}$

Rmk1: Obtained by "1 to 1 correspondence"
 prop of $V(\cdot) \leadsto$ prop of $I(\cdot)$
 $V(\cdot) \quad I(\cdot)$
 $P(\cdot) \quad C(\cdot)$

Rmk2: Geometric picture

绘制实部如下:



$X_1, X_2 \subseteq \mathbb{A}_{\mathbb{C}}^2$ with ideals

$$I(X_1) = \langle x_2 - x_1^2 \rangle \text{ and } I(X_2) = \langle x_2 \rangle$$

$$\Delta I(X_1 \cap X_2) = I(0) = \langle x_1, x_2 \rangle$$

$$(I(a) = \langle x_1 - a_1, x_2 - a_2, \dots, x_n - a_n \rangle)$$

$$\Delta I(X_1) + I(X_2) = \langle I(X_1) \cup I(X_2) \rangle$$

$$= \langle x_2 - x_1^2, x_2 \rangle$$

$$= \langle x_1^2, x_2 \rangle \text{ it's not radical.}$$

Δ "Stretch" — why $I(X_1) + I(X_2)$ is non-radical?

X_1 与 X_2 在线性近似下是 x_1 轴. 所以我们可以想象把交点沿 x_1 轴 stretch 无穷小量. 新的 $X_1 \cap X_2$.

对于新 $X_1 \cap X_2$, x_1 不 vanish, 只有 x_1^2 才 vanish. 所以

$I(X_1) + I(X_2)$ 记录了交点附近的信息 (tangential 信息)

但 $\sqrt{I(X_1) + I(X_2)}$ 取 radical 忘记这些 tangential 信息.

• $\sqrt{\langle 0 \rangle} = \langle 0 \rangle$ 意味着环没有零零元. $\sqrt{\langle 0 \rangle}$

One way to compute $\sqrt{\langle 0 \rangle}$: $I(V(0)) = I(\mathbb{A}^n)$

• 求坐标环的相容性 $X \subset Y \subset \mathbb{A}^n$.

用 $X \subset \mathbb{A}^n$ 求 $A(X)$: $A(X) = k[x_1, \dots, x_n] / I(X)$

用 $X \subset Y$ 求 $A_Y(X)$: $A_Y(X) = A(Y) / I_Y(X)$

二者相同, i.e., $A(X) \cong A_Y(X)$.

$A(X)$ 指 X 上所有 poly funcs, 这是一个内蕴的概念, 无论把 X 套在哪个 aff. var. 中都求出 **一样的坐标环**.

• 尽管称 $A(X)$ 为 coordinate ring, 我们总将之视作 **k -alg.**

$$k[x_1, \dots, x_n] / I(X)$$

$A(X)$ 中的元素称为 poly. fun.

Rmk: K closed. A poly. fun. in $x_1, \dots, x_n$ is determined uniquely by its values at pts of var.

• Zariski 拓扑 & 乘积拓扑.

$\mathbb{A}^1 \times \mathbb{A}^1$ 若只考虑乘积拓扑 形如左图, 有限条横线竖线相交.

但 $\mathbb{A}^1 \times \mathbb{A}^1$ 中的闭集还包括 对角线 $V(x_2 - x_1)$

• {zariski closed subset} $\subseteq$ {classical closed set}

e.g. $A_{\mathbb{C}}^1 = \{\text{finite pts}\}$ is closed sets.

$A = \{x \in A_{\mathbb{C}}^1 : |x| \leq 1\}$ not closed in $A_{\mathbb{C}}^1$ and $\bar{A} = A_{\mathbb{C}}^1$

closed subsets in Zariski topo is very small (finite points)

open subsets in Zariski topo is very big (以至于 $A^1 \times A^1$ 不 Hausdorff)

• 为什么 aff var 间的 mor 不能只考虑连续映射, 而考虑 mor of ringed space?

$f: A^1 \rightarrow A^1$ 的 map 可以非常 weird, 但只要 f 是单射, Zariski topo 会判定它连续.

由于 Zariski topo 与 classical topo 非常不同, 导致很多经典拓扑定义的概念在 Zariski topo 中变得 useless. Zariski topo 下有新的、与 classical topo 对应的、更有用的概念.

• Δ disconnected $V(x_1) \cup V(x_1 - 1)$ $\parallel$ nontrivial $X = X_1 \cup X_2, X_1 \cap X_2 = \emptyset$
 $\downarrow$
 reducible $V(xy)$ $+$ nontrivial $X = X_1 \cup X_2$

Δ irr $\Rightarrow$ connected.

• ΔX is disconnected aff. var. with $X = X_1 \cup X_2$, $X_1, X_2 \subsetneq X$. Then $A(X) \cong A(X_1) \times A(X_2)$

$$(1: R_j = R, \forall i \neq j, R/\cap_i I_i = \prod R/I_i)$$

$$\text{pf1: } f \mapsto (f|_{X_1}, f|_{X_2})$$

$$\text{pf2: } I(X_1) + I(X_2) = 1$$

$$A(X)/I(X_1 \cup X_2) \cong A(X)/I(X_1) \times A(X)/I(X_2) \xrightarrow{\text{中国剩余定理}} \underbrace{A(X)/I(X_1)}_{A(X_1)} \times \underbrace{A(X)/I(X_2)}_{A(X_2)} \cong A(X_1) \times A(X_2)$$

$\Delta \nexists X$ is irr iff $\begin{cases} A(X) \text{ is an integral domain} \\ I(X) \text{ is prime ideal} \end{cases}$

* $f, f_2 = 0 \leadsto X = V(0) = V(f, f_2) = V(f_1) \cup V(f_2)$ red. 因式分解对应 var 的分解.

Δ Since prime ideal is radical, so we have restriction to Hilbert null thm

{non-empty irr aff var of Y } $\xleftrightarrow{1:1}$ {prime ideals in $A(Y)$ }

• Aff. var. X given by linear eqns is irr. In particular $A^n = V(0)$ is irr.

$$X = V(a_1 x_1 + \dots + a_n x_n). \text{ so } A(X) = k[x_1, \dots, x_n] / \langle a_1 x_1 + \dots + a_n x_n \rangle$$

say $a_1 \neq 0$

$$\cong k[x_2, \dots, x_n] \text{ is integral domain.}$$

(多条 linear eqns 一样, 总可解出若干变量 (无解就是 $k[x_1, \dots, x_n]$))

• $X \subsetneq Y$ 则 $I_Y(X) \neq 0$. (由 bijection, 若是 0 则 $X = V_Y I_Y X = V_Y(0) = Y$.
* 证明.

• Noetherian space: 没有闭子集无穷降链.

infinite decreasing chain
of closed sets
 $X_0 \supsetneq X_1 \supsetneq \dots$

infinite increasing chain
 $I(X_0) \subsetneq I(X_1) \subsetneq \dots$
in $\underline{A(X)}$ Noetherian.

← impossible.

(USE DUALITY
to prove.)

所有 aff. var. 是 Noetherian space.

• Subspace of Noetherian space is Noetherian.

$A \cap X_0 \supsetneq A \cap X_1 \supsetneq A \cap X_2 \supsetneq \dots$ ← chain of closed sets in A .

*
 $X_0 \supsetneq X_1 \supsetneq X_2 \supsetneq \dots$

$X_0 \supsetneq X_0 \cap X_1 \supsetneq X_0 \cap X_1 \cap X_2 \supsetneq \dots$



X_1 与 X_0 间
可以没有
包含关系

$$\left(\begin{array}{l} A \cap X_1 \supsetneq A \cap X_2 \\ A \cap X_0 \cap X_1 \supsetneq A \cap X_2 \cap X_1 \\ A \cap X_0 \supsetneq A \cap (X_0 \cap X_1) \\ X_1 \supsetneq X_0 \cap X_1 \quad (\text{有涵射律}) \end{array} \right)$$

• Every Noetherian topo space can be written as a finite union

$X = X_1 \cup \dots \cup X_r$ of non-empty irr closed subsets.

Assume $X_i \not\subset X_j$ for all $i \neq j$, $X_1, \dots, X_r$ are unique (up to permutation)

Existence: 如果可以一直拆分, 则会得无穷降链与 Noetherian 条件矛盾.

Uniqueness: $X = X_1 \cup \dots \cup X_r = X'_1 \cup \dots \cup X'_s$.

$X_i \subset \bigcup_j X'_j$ so $X_i = \bigcup_j (X'_j \cap X_i)$. 由 X_i irr, $X_i = X'_j \cap X_i$, i.e., $X_i \subset X'_j$

for some j . In the other way $X'_j \subset X_k$ for some k .

$X_i \subset X'_j \subset X_k \xrightarrow{i \neq k \text{ 时 } X_i \not\subset X_k} X_i = X_k = X'_j$.

$\{\text{non-empty irr aff var of } Y\} \xleftrightarrow{1:1} \{\text{prime ideals in } A(Y)\}$

$\downarrow$ Restriction

$\{\text{Maximal non-empty irr aff var of } Y\} \xleftrightarrow{1:1} \{\text{Minimal prime ideals in } A(Y)\}$
irr components of Y

$U_1, U_2, U \subseteq \bigcup_{\text{irr}} X$ Then $U_1 \cap U_2 \neq \emptyset$, $\overline{U} = X$ (A irr $\Leftrightarrow \overline{A}$ irr, so U irr)

$(U_1^c \cup U_2^c \neq X)$

Hausdorff nonsense
in Zariski topo

$\left(\forall Y \supseteq U, \bigcup_{\text{irr}} X = Y \cup (X \setminus U) \text{ is trivial} \right)$
 $X \setminus U \neq X$ so $Y = X$

Zariski open sets are big!

- Δ The dimension $\dim X \in \mathbb{N} \cup \{\infty\}$ is the supremum over all $n \in \mathbb{N}$ s.t. there is a chain $\emptyset \neq Y_0 \subsetneq Y_1 \subsetneq \dots \subsetneq Y_n \subset X$ of length n of **irr closed** subsets $Y_1, \dots, Y_n$ of X

* Idea of this def: if X irr. Any **closed** subset of X not equal to X should have **smaller dimension**.

$\Delta Y \subseteq X$ _{irr closed} $\text{codim}_X Y$ is the supremum over all n s.t. there is a chain $Y \subsetneq Y_0 \subsetneq Y_1 \subsetneq \dots \subsetneq Y_n \subset X$ of irr closed subsets

$\Delta \dim$ for aff var, codim only defined for irr closed sets of an aff var (irr sub aff var)

* ' $\subset X$ ', because X may not irr.

$\Delta \dim X = \dim A(X)$, $\text{codim}_Y X = \text{codim}_{A(X)} I(Y)$

In particular, since $A(X)$ is finite generated K -alg,

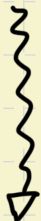
dim of aff var and **codim** of irr aff var are always **finite**

$\Delta A \subseteq X$ _{arbitrary set} Then $\dim A \leq \dim X$

- $\emptyset \neq X, Y$ irr aff. var. ① $\dim X \times Y = \dim X + \dim Y$ ($\dim A^n = n \dim A^1 = n$)

② If $Y \subseteq X$, we have $\dim X = \dim Y + \text{codim}_X Y$
($\text{codim}_X \{a\} = \dim X, \forall a \in X$)

③ $0 \neq f \in A(X)$, every irr component of $V(f)$ has $\text{codim } 1$ in X .



What if X is not irr? $X = X_1 \cup X_2 \cup \dots \cup X_r$.

① $\dim X = \max \{\dim X_1, \dots, \dim X_r\}$

idea: show $\dim X \geq n \Rightarrow \max \{\dim X_i\} \geq n$
 $\max \{\dim X_i\} \geq n \Rightarrow \dim X \geq n$.

利用此idea 外加 irr 易证.

← REASON: $\dim X \geq n \Rightarrow \max \{\dim X_i\} \geq n$
右取 sup $\Rightarrow \sup n \leq \max \{\dim X_i\}$
sup 是最大上界 $\dim X \geq n$.
so $\dim X \leq \max \{\dim X_i\}$

② $\dim X = \sup \{\text{codim}_X \{a\} : a \in X\}$ $\dim X$ is sup local dim!

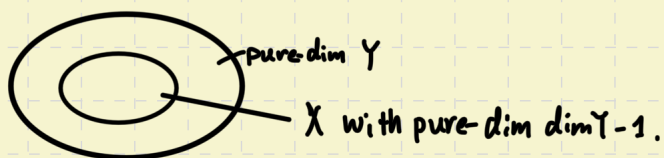
idea: show $\dim X \geq n \Rightarrow \sup \{\text{codim}_X \{a\}\} \geq n \rightarrow \sup \{\text{codim}_X \{a\}\} \geq \sup n = \dim X$
 $\sup \{\text{codim}_X \{a\}\} \geq n \Rightarrow \dim X \geq n \rightarrow \dim X \geq \sup n = \sup \{\text{codim}_X \{a\}\}$

③ $\text{codim}_X \{a\} = \max \{\dim X_i : a \in X_i\}$ (经过 a 的不可约分支最大维数)

互相对方的 MAX

- Δ A Noetherian topo space X is said to be pure dim n if every irr component of X has dim n .

Δ **Hypersurface** X is an aff var in a **pure-dim** aff. Y with **pure-dim** $\dim Y - 1$



Δ Thm: R is a Noetherian integral domain (e.g. $A(x)$ of an irr aff. var. X).

TFAE (a) Every prime ideal of codim 1 in R is principal

(b) R is a unique factorization domain (可分解为素元之积 product of prime elements)

Δ Ideal of hypersurface.

* $f \neq 0, V(f) \subseteq X_{\text{irr}}$ then every irr component of $V(f)$ has codim 1, i.e., $V(f)$ is a hypersurface with pure dim $\dim X - 1$.

* hypersurface X in $\mathbb{A}^n$

① X irr.

$I(X) \trianglelefteq k[x_1, \dots, x_n]$ prime
 $\text{codim } I(X) = \text{codim } X = 1$
 $k[x_1, \dots, x_n]$ is U.F.D.
 preceding thm $\rightarrow I(X)$ is principal, i.e.,
 $I(X) = \langle f \rangle$. So $X = V(f)$.

② X red.

$X = X_1 \cup X_2 \cup \dots \cup X_r$
 $I(X_i) \trianglelefteq k[x_1, \dots, x_n]$ prime
 $\text{codim } I(X_i) = \text{codim } X_i = 1$
 $k[x_1, \dots, x_n]$ is U.F.D.
 $\rightarrow I(X_i) = \langle f_i \rangle \rightarrow X_i = V(f_i)$
 $X = \bigcup V(f_i) = V(f_1, \dots, f_r) =: V(f)$

Hypersurface in $\mathbb{A}^n$ (whether irr or red.) can be written as a zero locus of a single poly f . And f is unique up to units (U.F.D.)

Degree of f is called the degree of X .

• Def of regular functions: $U \subseteq X$ open $\varphi: U \rightarrow k, \forall a \in U \exists f, g \in A(X)$
 $a \in U_a \subseteq U$ s.t. $\varphi(x) = \frac{g(x)}{f(x)}, f(x) \neq 0, \forall x \in U_a$. The set of all such regular functions on U will be denoted $\mathcal{O}_X(U)$.

Δ we write ' $\varphi = \frac{g}{f}$ on U_a ' for simplicity. Note that $\frac{g}{f}$ is point wise quotient of functions, NOT an element in a localized ring.

Δ By def we know 不同 U_a 相交处表达式相同 (因为都是 φ , 只是不同写法)

Δ Why we need so complex def?

What if we def regular functions as quotient of functions,

NOT Locally quotient of functions?

It's NOT useful. 一个 $\varphi: U \rightarrow k$ 可能没有全局的 quotient of functions 表达式, 但有 locally quotient of functions 的表达式.

$\downarrow$
 e.g. $X = V(x_1 x_4 - x_2 x_3) \subset \mathbb{A}^4, U = X \setminus V(x_2, x_4)$

$\varphi: U \rightarrow k, (x_1, x_2, x_3, x_4) \mapsto \begin{cases} x_1/x_2 & \text{if } x_2 \neq 0 \\ x_3/x_4 & \text{if } x_4 \neq 0 \end{cases}$

φ 没有 global quotient.

* Good point of view: Identify $\mathbb{A}^4 \cong M_{2 \times 2}(k)$. Then $X = V(\det M)$,

i.e., $X = \{ M \in M_{2 \times 2}(K) \mid \text{rank } M \leq 1 \}$. (since $\det M = 0$)

Then $U = X \setminus V(x_2, x_4) = \left\{ M \in M_{2 \times 2}(K) \mid \begin{array}{l} \text{second column vector } \begin{pmatrix} x_2 \\ x_4 \end{pmatrix} \neq \begin{pmatrix} 0 \\ 0 \end{pmatrix} \\ \text{first column vector } \begin{pmatrix} x_1 \\ x_3 \end{pmatrix} = \lambda \begin{pmatrix} x_2 \\ x_4 \end{pmatrix} \end{array} \right\}$

Then $\varphi: U \rightarrow K$ $\varphi \begin{pmatrix} x_1 & x_2 \\ x_3 & x_4 \end{pmatrix} = \lambda$.

• $U \subseteq X$, $\varphi \in \mathcal{O}_X(U)$, $V(\varphi)$ is closed in U .

($\varphi \notin A(X)$, it's not obvious $V(\varphi)$ is closed.)

Idea: Decompose to small parts.

Pf: $\forall a \in U \exists a \in U_a \subseteq U$ s.t. $\varphi = \frac{g_a}{f_a}$ on U_a .

$U_a \setminus V(\varphi) = \{ x \in U_a \mid \varphi(x) \neq 0 \} = \{ x \in U_a \mid g_a(x) \neq 0 \} = U_a \setminus V_a$ open.

So $U \setminus V(\varphi) = \bigcup_a (U_a \setminus V(\varphi))$ is open.

• $\varphi \in \mathcal{O}_X(U)$ is conti.

Pf: $\varphi: U \rightarrow K = A_K^1$. w.t.s. $\varphi^{-1}(\{\text{finite pts}\}) = \bigcup_{pt} \varphi^{-1}(pt)$ is closed. It suffices

to show $\varphi^{-1}(c)$ closed for any $c \in K$. It will reduce to show $\varphi^{-1}(0)$ closed,

since let $\tilde{\varphi} = \varphi - c$, then $\tilde{\varphi}^{-1}(0) = \varphi^{-1}(c)$.

Idea: Decompose to small parts.

$\forall a \in U, \exists a \in U_a \subseteq U$ s.t. $\varphi = \frac{g_a}{f_a}$ on U_a . $U_a \setminus \varphi^{-1}(0) = \{ x \in U_a \mid \frac{g_a(x)}{f_a(x)} \neq 0 \} = \{ x \in U_a \mid g_a(x) \neq 0 \} = D(g_a) \cap U_a$ open in U

so $U \setminus \varphi^{-1}(0) = \bigcup_a U_a \setminus \varphi^{-1}(0)$ is open and thus $\varphi^{-1}(0)$ closed.

• $\varphi_1, \varphi_2 \in \mathcal{O}_X(V)$. If $\varphi_1|_U = \varphi_2|_U$, then $\varphi_1 = \varphi_2$.

$(\varphi_1 - \varphi_2)|_U = 0 \Rightarrow U \subseteq (\varphi_1 - \varphi_2)^{-1}(0) \Rightarrow \bar{U} \subseteq (\varphi_1 - \varphi_2)^{-1}(0) \Rightarrow V \text{ open in irr } X, \text{ so } V \text{ irr}$
($\bar{V} = X \text{ irr so } V \text{ irr}$)
closed by conti closure of U in V

$\Rightarrow U \text{ open in irr } V, \text{ so } \bar{U} = V \Rightarrow V = \bar{U} \subseteq (\varphi_1 - \varphi_2)^{-1}(0) \Rightarrow \varphi_1 - \varphi_2|_V = 0 \Rightarrow \varphi_1 = \varphi_2 \in \mathcal{O}_X(V)$

GAGA principal: 解析几何与代数几何的结论可以共用.
 在解析几何中有类似的 Identity thm

• $\Delta D(f) \cap D(g) = D(fg)$ 开集有限交还是开集 无容乘积没定义.

Δ 任何 aff. var. 可写作有限多项式零点 $V(f_1, \dots, f_k)$.
(closed set)

故任何开集 $U = X \setminus V(f_1, \dots, f_k) = X \setminus (\bigcap V(f_i)) = X \cap (\bigcap V(f_i))^c = \bigcup V(f_i)^c = \bigcup D(f_i)$

Distinguish open set: 打石扑基.

$\Delta \mathcal{O}_X(D(f)) \cong A(X)_f = \{ \frac{g}{f^n} \mid g \in A(X), n \in \mathbb{N} \}$ X 上的 regular fun 可以全局地写成多项式之商

set $f=1$, $\mathcal{O}_X(X) \cong A(X)$, X 上的 regular fun 是 poly, 不是 quotient of poly.

* NOT TRUE for K not alg closed. e.g., $\frac{1}{x^2+1} \notin A(A_{\mathbb{R}}^1) = K[x]$ BUT $\frac{1}{x^2+1} \in \mathcal{O}_{A_{\mathbb{R}}^1}(A_{\mathbb{R}}^1)$ contradicting to $\mathcal{O}_X(X) \cong A(X)$.

* 用于判断一个开集是否可以写成单个多项式的非零点。

在前例子中, $U = V(x_1 x_4 - x_2 x_3) \setminus V(x_2, x_4)$. 若 $U = D(f)$, 则其上 regular function $\varphi \in \mathcal{O}_X(U) \cong A(X)_f$ 有全局的多项式表达式, 但事实上 φ 没有. 所以 U 必不形如 $D(f)$.

* Application: Every regular fun of $\mathbb{A}^2 \setminus \{(0,0)\}$ can be extended to a regular fun on $\mathbb{A}^2$.

Idea: Decompose to small parts. $\mathbb{A}^2 \setminus \{(0,0)\} = D(x_1) \cup D(x_2) \leftarrow \mathcal{O}_X(D(f)) \cong A(X)_f!$

For any $\varphi \in \mathcal{O}_{\mathbb{A}^2}(\mathbb{A}^2 \setminus \{(0,0)\})$, $\varphi|_{D(x_1)} \in \mathcal{O}_{\mathbb{A}^2}(D(x_1)) \cong k[x_1, x_2]_{x_1}$, say

$$\varphi|_{D(x_1)} = \frac{f_1}{x_1^n}, \quad f_1 \in k[x_1, x_2], \quad x_1 \nmid f_1; \quad \text{Similarly, say } \varphi|_{D(x_2)} = \frac{f_2}{x_2^m}, \quad x_2 \nmid f_2.$$

$$\text{On } D(x_1) \cap D(x_2), \quad \frac{f_1}{x_1^n} = \frac{f_2}{x_2^m} \text{ so } x_2^m f_1 - f_2 x_1^n = 0 \text{ on } D(x_1) \cap D(x_2).$$

By Identity thm for regular functions, $x_2^m f_1 - f_2 x_1^n = 0$ on $\mathbb{A}^2$.

So $x_2^m f_1 = f_2 x_1^n$ on $\mathbb{A}^2$. If $m \neq 0$, we have $x_2 \mid f_1$; If $n \neq 0$, we have $x_1 \mid f_2$, (与假设矛盾) so $m=n=0$. Then $f_1 = f_2$ on $\mathbb{A}^2$, $\varphi = f_1 = f_2$ on $\mathbb{A}^2$

* Step 1 Show $\mathcal{O}_X(D(f)) = \left\{ \frac{g}{f^n} : g \in A(X), n \in \mathbb{N} \right\}$ (在集合层面等于 $A(X)_f$)

" $\supseteq$ " obviously.

" $\subseteq$ " $\forall \varphi \in \mathcal{O}_X(D(f))$, $\forall a \in D(f)$, $\exists U_a \subseteq D(f)$, s.t. $\varphi = \frac{g_a}{f_a}$ on U_a for some $g_a, f_a \in A(X)$.

Rmk: We have open cover $D(f) = \bigcup_a U_a$. 但这样的 open cover 不好用, 我们希望得到形如 $D(h_a)$ 的 open cover.

U_a 可以用一堆 $D(h_a)$ cover, 不妨设 $U_a = D(h_a)$. 则 $\varphi|_{U_a} = \frac{h_a g_a}{h_a f_a}$. $\bar{g}_a := h_a g_a$, $\bar{f}_a := h_a f_a$, $\frac{\bar{g}_a}{\bar{f}_a}$ on $D(h_a) = U_a$. It's well defined because $f_a \neq 0$ on U_a , $h_a \neq 0$ on $D(h_a)$, so $\bar{f}_a \neq 0$ on $D(h_a)$. Besides $D(h_a) = D(\bar{f}_a)$, because $\bar{f}_a$ vanishes on $V(h_a)$ and not vanish on $D(h_a)$. As subspace of Noetherian space, $D(f)$ is Noetherian.

All Noetherian space is compact. So $D(f) = \bigcup D(h_a) = \bigcup D(\bar{f}_a)$ has finite cover

$$D(f) = \bigcup_{i=1}^s D(\bar{f}_{a_i}). \quad \text{取补得 } V(f) = \bigcap_{i=1}^s V(\bar{f}_{a_i}) = V(\bar{f}_{a_1}, \dots, \bar{f}_{a_s})$$

$$\text{取 } f^n \text{ 使得 } \sqrt{\langle f \rangle} = \sqrt{\langle \bar{f}_{a_1}, \bar{f}_{a_2}, \dots, \bar{f}_{a_s} \rangle}. \quad \text{so } f^n \in \langle \bar{f}_{a_1}, \dots, \bar{f}_{a_s} \rangle.$$

$$\Rightarrow f^n = p_{a_1} \bar{f}_{a_1} + \dots + p_{a_s} \bar{f}_{a_s}$$

于是我们可以把 φ globally 写成多项式之比: $\forall x \in D(\bar{f}_{a_1}) \cap D(\bar{f}_{a_2}) \dots \cap D(\bar{f}_{a_s})$

$$\varphi = \frac{\bar{g}_{a_1}}{\bar{f}_{a_1}} = \frac{\bar{g}_{a_2}}{\bar{f}_{a_2}} = \dots = \frac{\bar{g}_{a_s}}{\bar{f}_{a_s}} = \frac{p_{a_1} \bar{g}_{a_1} + p_{a_2} \bar{g}_{a_2} + \dots + p_{a_s} \bar{g}_{a_s}}{p_{a_1} \bar{f}_{a_1} + p_{a_2} \bar{f}_{a_2} + \dots + p_{a_s} \bar{f}_{a_s}} =: \frac{g}{f^n}$$

$\frac{g}{f^n}$ 这个表达式可以延拓到其余部分, 因为 $V(\bar{f}_{a_i})$ 上 $\begin{cases} \bar{g}_{a_i} = 0 \\ \bar{f}_{a_i} = 0 \end{cases}$

e.g. $x \in D(\bar{f}_{a_1}) \cap D(\bar{f}_{a_2})$ 但在 $V(\bar{f}_{a_3})$ 里.

$$\frac{g}{f^n} = \frac{p_{a_1} \bar{g}_{a_1} + p_{a_2} \bar{g}_{a_2}}{p_{a_1} \bar{f}_{a_1} + p_{a_2} \bar{f}_{a_2}} = \frac{\bar{g}_{a_1}}{\bar{f}_{a_1}} = \frac{\bar{g}_{a_2}}{\bar{f}_{a_2}}$$

留下的都是等比例相加;
没留下的分子分母是0.

* Step 2 证明 $\mathcal{O}_X(D(f)) \cong A(X)_f$ as k -alg.

$$A(X)_f \longrightarrow \mathcal{O}_X(D(f))$$

$$\frac{g}{f^n} \longmapsto \frac{g}{f^n}$$

Formal fraction

Quotient of poly funs.

well-defined:

$$\frac{g_1}{f^n} \sim \frac{g_2}{f^m} \text{ in } A(X)_f, \text{ i.e.,}$$

$$\exists f^k \text{ s.t. } f^k(g_1 f^m - g_2 f^n) = 0 \text{ in } A(X)$$

$$\Rightarrow \text{on } D(f), f \neq 0, \text{ so } g_1 f^m - g_2 f^n = 0$$

$$\Rightarrow \frac{g_1}{f^n} = \frac{g_2}{f^m}$$

Surjective: Step 1.

$$\text{Injective: } \frac{g}{f^n} = 0 \text{ on } D(f) \Rightarrow g = 0 \text{ on } D(f) \Rightarrow fg = 0 \text{ on } X \Rightarrow f(g \cdot 1 - 0 \cdot f^n) = 0$$

$$\text{in } A(X) \Rightarrow \frac{g}{f^n} = \frac{0}{1}$$

($X = \underbrace{V(f)}_{f=0} \cup \underbrace{D(f)}_{g=0}$)

• presheaf $\mathcal{F}$ of rings consists of following data

(1) $\forall U \subseteq X$, $\mathcal{F}(U)$ is a ring

(2) $\forall$ inclusion $U \subseteq V$, a ring homo $\rho_{V,U}: \mathcal{F}(V) \rightarrow \mathcal{F}(U)$ called the restriction map satisfying

$$(1) \mathcal{F}(\emptyset) = 0$$

$$(2) \rho_{U,U} = \text{id}, \forall U \subseteq X$$

$$(3) \text{ Any inclusion } U \subseteq V \subseteq W, \rho_{V,U} \circ \rho_{W,V} = \rho_{W,U}$$

* Elements in $\mathcal{F}(U)$ are called sections of $\mathcal{F}$ over U

* (Sheaf) A presheaf $\mathcal{F}$ is called a sheaf if it satisfies glueing prop:

$$U \subseteq X, U = \bigcup_{i \in I} U_i \text{ an open cover of } U, \varphi_i \in \mathcal{F}(U_i) \text{ fulfilling } \varphi_i|_{U_i \cap U_j} = \varphi_j|_{U_i \cap U_j}$$

for all $i, j \in I$, then there is a unique $\varphi \in \mathcal{F}(U)$ s.t. $\varphi|_{U_i} = \varphi_i$ for $\forall i$.

* Local 性质构成 sheaf. $\mathcal{F}(U) = \{ \varphi: U \rightarrow \mathbb{R} \text{ continuous} \}$ 都是 sheaf.

locally const

differentiable

Global 概念不构成 sheaf, 例如 const funs 粘不起来, 只是 presheaf.

$$\mathcal{F}(U) = \{ \varphi: U \rightarrow \mathbb{R} \text{ const} \} \text{ is not a sheaf. Consider } U = U_1 \cup U_2, U_1 \cap U_2 = \emptyset$$

$$U_1 \quad U_2$$

$$\varphi_1: U_1 \rightarrow \mathbb{R} \quad \varphi_2: U_2 \rightarrow \mathbb{R}$$

$$x \mapsto 1 \quad x \mapsto 0$$

不存在 $U = U_1 \cup U_2$ 上的 const fun φ s.t. $\varphi|_{U_1} = \varphi_1, \varphi|_{U_2} = \varphi_2$ 不满足 glueing prop.

• $U \subseteq X$, $\mathcal{F}$ is a presheaf on X . 则可构造 U 上的 presheaf $\mathcal{F}|_U: \mathcal{F}|_U(V) = \mathcal{F}(V)$

* When $U \subseteq X \subseteq Y$ open open ~ aff. var.

$$\mathcal{O}_X(U) = \mathcal{O}_Y(U)$$

By restriction

• **Sheaf = Collection of stalks**

* **Def:** (stalks of (pre-)sheaves) $\mathcal{F}$ be a presheaf on X , $a \in X$ the **stalk** of $\mathcal{F}$ is

$$\mathcal{F}_a := \{(U, \varphi) \mid U \subset X \text{ open with } a \in U, \varphi \in \mathcal{F}(U)\} / \sim$$

$$(U, \varphi) \sim (U', \varphi') \iff \exists \text{ open } a \in V \subset U \cap U' \text{ s.t. } \varphi|_V = \varphi'|_V$$

* $\mathcal{F}_a$ **inherits** structure of k -alg of $\mathcal{F}(U)$.

* **Elements** of $\mathcal{F}_a$ are called **germs** of $\mathcal{F}$ at a . $(\mathcal{O}_X)_a =: \mathcal{O}_{X,a}$

* $\mathcal{F}_a$: "all functions on a ".

* **germs in stalks** are something "local functions", 包含 local 信息.

e.g. sheaf of differentiable function over $\mathbb{R}$, a germ at a pt $a \in \mathbb{R}$ allows to compute all the derivatives at a .

* 数学描述 "local function"?

$$\mathcal{O}_{X,a} \cong A(X)_{\mathcal{I}(a)} = \left\{ \frac{g}{f} : f, g \in A(X), \underbrace{f(a) \neq 0}_{f(a) \neq 0} \right\}$$

As a local ring, $\mathcal{O}_{X,a}$ has **unique maximal ideal**.

Rmk: $(\mathcal{O}_{X,a}, a)$ 上 fun 以 $f(a) \neq 0$ 的 f 作分母; 同样 $\mathcal{O}_X(D(f))$ 以 f 作分母

Pf: (Routine check)

$$\begin{aligned} \rho: A(X)_{\mathcal{I}(a)} &\longrightarrow \mathcal{O}_{X,a} \\ \frac{g}{f} &\longmapsto \overline{\left(D(f), \frac{g}{f} \right)} \end{aligned}$$

或 模等价关系

含 a 开集 in $\mathcal{O}_X(D(f))$

① Well defined

$$\frac{g_1}{f_1} \sim \frac{g_2}{f_2} \Rightarrow \exists \underbrace{h \in \mathcal{I}(a)}_{a \in D(h)} \text{ s.t. } \underbrace{h(g_1 f_2 - f_1 g_2)}_{=0 \text{ in } A(X)} = 0$$

Trick: 放到 $D(h)$ 上让式子 $g_1 f_2 - f_1 g_2 = 0$

$$\text{In } \underbrace{D(h) \cap D(f_1) \cap D(f_2)}_{\text{smaller n.b.h.}} \subseteq D(f_1) \cap D(f_2), \quad h \neq 0 \text{ so } g_1 f_2 - f_1 g_2 = 0, \text{ i.e., } \frac{g_1}{f_1} = \frac{g_2}{f_2} \text{ on } D(h) \cap D(f_1) \cap D(f_2).$$

② Surjective.

For any $(U, \varphi) \in \mathcal{O}_{X,a}$, 任何开集可以拆成有限个 $D(f_i)$ 之并, $U = \bigcup_{i=1}^s D(f_i)$

pick an $D(f_i)$, $\varphi|_{D(f_i)} = \frac{g_i}{f_i}$. so $(U, \varphi) \sim \overline{\left(D(f_i), \frac{g_i}{f_i} \right)}$.

通过补集证明, 由 $V(f_i) = V(f_i^n)$, 得 $D(f_i) = D(f_i^n)$. 比较构造的 map, 只需把这里 $D(f_i)$

于是 $(U, \varphi) \sim \overline{\left(D(f_i^n), \frac{g_i}{f_i} \right)}$

③ Injective

$$\overline{(D(f), \frac{g}{f})} = 0 \Rightarrow \exists a \in V \subset D(f), \text{ s.t. } \frac{g}{f}|_V = 0.$$

$$\Rightarrow \exists a \in V \subset D(f), \text{ s.t. } g|_V = 0$$

$\Rightarrow$ 在 g 前乘上 h , 构造 $hg=0$ on total X

open V 折成有限 $D(f_i)$ 之并, 取 $D(h) \subset V$.

则 $hg=0$ on X .

$$\forall a \neq 0 \quad \forall b \neq 0$$

$\Rightarrow$ 把构造的 hg 写成 $h(g \cdot 1 - 0 \cdot f)$ 的并线

$$h(g \cdot 1 - 0 \cdot f) = 0 \text{ on } X. \text{ 故 } \frac{g}{f} = \frac{0}{1} \in A(K)_{(a)}.$$

- Δ Ringed space $(X, \mathcal{O}_X)$
 $\swarrow$ topo space $\searrow$ sheaf of rings,
always called **structure sheaf**

* An aff. var will always be considered as a ringed space $(X, \mathcal{O}_X)$ where $\mathcal{O}_X$ is sheaf of regular functions.

* $U \subseteq X$ will always be considered as a ringed space $(U, \mathcal{O}_{X|U})$.

Δ Mor of ringed space: $\begin{cases} f: X \rightarrow Y \text{ conti} \\ \varphi \in \mathcal{O}_Y(U), \text{ pull-back } f^*\varphi = \varphi \circ f: f^{-1}(U) \rightarrow K \\ \text{要求 } f^*\varphi \in \mathcal{O}_X(f^{-1}(U)) \end{cases}$

$\nearrow$ make sure $f^{-1}(U)$ open so $\mathcal{O}_X(f^{-1}(U))$ well-defined.

This pulling back by f yields a k -alg homo

$$f^*: \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(f^{-1}(U)), \varphi \mapsto f^*\varphi = \varphi \circ f$$

• **Glueing prop for morphisms**: 在 open cover 的每一片上是 mor, 则整体是 mor.

$f: X \rightarrow Y$ be a map of ringed spaces. $\{U_i\}_{i \in I}$ is an open cover of X s.t. $f|_{U_i}: U_i \rightarrow Y$ are mor for all i . Then f is a mor.

Pf: **Idea**: 证明 f is mor $\Rightarrow$ f is conti.

$\Rightarrow \forall \varphi \in \mathcal{O}_Y(V), f^*\varphi \in \mathcal{O}_X(f^{-1}(V))$ pullback sections

$$(1) f \text{ conti} \quad f^{-1}(V) = \bigcup_i U_i \cap f^{-1}(V) = \bigcup_i f|_{U_i}^{-1}(V)$$

$\underbrace{\qquad}_{\text{conti}} \underbrace{\qquad}_{\text{open}} \underbrace{\qquad}_{\text{open}}$

(2) f pull-back sections $f|_{U_i}: U_i \rightarrow Y$ is a mor

$\forall \varphi \in \mathcal{O}_Y(V)$, we have

$$(f^*\varphi)|_{\underbrace{U_i \cap f^{-1}(V)}_{\text{每一小片上的 section}}} = (f|_{U_i})^*\varphi \in \mathcal{O}_X((f|_{U_i})^{-1}(V)) = \mathcal{O}_X(f^{-1}(V) \cap U_i)$$

Glueing prop for sheaves:

$$\exists! f^*\varphi|_{f^{-1}(V)} \in \mathcal{O}_X(f^{-1}(V))$$

$\nwarrow$ 拼出整个 open set 上的 section

- Mor between aff. var. is an n -tuple of regular functions

X, Y aff. var, $U \subseteq X$. Mor $f: U \rightarrow Y$ are exactly the maps of the form

$$f = (\varphi_1, \dots, \varphi_n) : U \rightarrow Y \subseteq \mathbb{A}^n, x \mapsto (\varphi_1(x), \dots, \varphi_n(x)), \text{ with } \varphi_i \in \mathcal{O}_X(U)$$

In particular, when $Y = \mathbb{A}^1$, $f \in \mathcal{O}_X(U)$.

$\mathcal{A} :$

- Cofunctor $\mathcal{A}(\cdot)$ is fully faithful

X, Y are aff. vars. There is a bijection

$$\{\text{mor } X \rightarrow Y\} \xrightarrow{1:1} \{k\text{-alg homo } \mathcal{A}(Y) \rightarrow \mathcal{A}(X)\}$$

$$f \longmapsto f^*$$

In particular, $\text{iso} \longmapsto \text{iso}$

- Why we need to consider aff. var as a **ringed space** (with structure sheaf of regular functions)? Why **can't** we just view them as a **topo space**?

Exp: $\xrightarrow{\quad} X = \mathbb{A}^1$

$\downarrow f$

$$Y = V(x_1^2 - x_2^3) \subseteq \mathbb{A}^2$$

$$\begin{cases} f: \mathbb{A}^1 \rightarrow Y & t \mapsto (t^3, t^2) \\ f^{-1}: Y \rightarrow \mathbb{A}^1 & (x_1, x_2) \mapsto \begin{cases} \sqrt[3]{x_2} & x_2 \neq 0 \\ 0 & x_2 = 0 \end{cases} \end{cases} \quad \text{conti}$$

As **Zariski topo space**, (所有到 $\mathbb{A}^1$ 的 map 都是 conti)

they are homeomorphic.

这是荒谬的, X 没有 singular 点 而 Y 有, 因此仅考虑 topo structure 无法区分不同的空间.

f 作为 **ringed space mor** 不是 iso (这是符合预期的)

若 f 是 iso 则

$$\varphi: A(V(x_1^2 - x_2^3)) = k[x_1, x_2] / \langle x_1^2 - x_2^3 \rangle \rightarrow A(\mathbb{A}^1) = k[t] \quad \text{是 iso}$$

$$[\varphi_i: (x_1, x_2) \mapsto x_i] \mapsto [t \mapsto t^3] \quad \text{poly } t^3 \in k[t]$$

$$[\varphi_i: (x_1, x_2) \mapsto x_2] \mapsto [t \mapsto t^2]$$

$$\begin{array}{ccc} \mathbb{A}^1 & \rightarrow & V(x_1^2 - x_2^3) \xrightarrow{\varphi_i} k \\ t & \mapsto & (t^3, t^2) \mapsto t^3 \end{array}$$

$$\text{Im } \varphi \stackrel{\text{set}}{=} k[t^2, t^3] \subsetneq k[t], \text{ i.e., } t \text{ 不在 Im } \varphi \text{ 中, 即}$$

view function as a poly

Rmk: 涉及 **多项式** 与 **函数 map** 观点灵活转换.

• Δ product $X \times Y$: 满足 univ. prop

$$\begin{array}{ccc} Z & \xrightarrow{f_X} & X \\ \downarrow f_Y & \searrow \pi_X & \\ X \times Y & \xrightarrow{\pi_X} & X \\ \downarrow \pi_Y & & \\ Y & & \end{array}$$

Routine check.

Note: Need to show f we constructed is a **mor**

Rmk: **不选乘积 topo** 的原因. 正因为 $X \times Y$ 赋予 **zariski topo**, 才会有这样的 univ. prop.

$$\Delta A(X \times Y) = A(X) \otimes_k A(Y)$$

Pf: 由 $A(\cdot)$ 是 **fully-faithful co functor**, 上面 univ. prop. 亦有 $A(\cdot)$ 版本, 一望便知.

Rmk: $A(\cdot)$ version 只用在 reduced ring 上 check univ. prop.

Why def of aff. var. is a ringed space iso to zero locus of poly in $\mathbb{A}^n$?
 new def. old def.

① 这是内蕴的定义 (不是靠嵌入 $\mathbb{A}^n$ 来定义的)

② Consider exp.: 嵌入 $\mathbb{A}^n$ 方式不同但同构 的例子. (因此定义不应该涉及对 $\mathbb{A}^n$ 的嵌入方式)

Idea: 构造同构 aff. var., 只需构造 $A(X) \cong A(Y)$. 我们选定 finitely generated reduced

k -alg R , 设 R 生成元为 $a_1, a_2, \dots, a_n$. 考虑

No nilpotents

$$g: k[x_1, \dots, x_n] \longrightarrow R$$

$$f \longmapsto f(a_1, a_2, \dots, a_n)$$

g surj., so $k[x_1, \dots, x_n] / \ker g \cong R$.

$\ker g$ is radical, because R is reduced.

$$(f^k \in \ker g \Rightarrow f^k(a_1, \dots, a_n) = 0 \xrightarrow{R \text{ reduced}} f(a_1, \dots, a_n) = 0 \Rightarrow f \in \ker g)$$

Then $X = V(\ker g)$ is an aff. var. with $A(X) = k[x_1, \dots, x_n] / \underbrace{I(X)}_{\ker g} = R$.

Different choice of generators can get different aff. var. X .

But all these X has same coordinate ring so they're all iso.

$R = k[t]$. Choice 1: $R = \langle t \rangle$

$$\rho: k[x_1] \longrightarrow R$$

$$f \mapsto f(t)$$

$$\ker \rho = 0 \text{ so } X = V(0) = \mathbb{A}^1$$

Different
aff. var.

Choice 2: $R = \langle t, t^2, t^3 \rangle$

$$\rho: k[x_1, x_2, x_3] \longrightarrow R$$

$$f \longmapsto f(t, t^2, t^3)$$

$$\ker \rho = \langle x_1^2 - x_2, x_1^3 - x_3 \rangle$$

$$X = V(\ker \rho) = \{ (x_1, x_1^2, x_1^3) \mid x_1 \in k \}$$

iso to $\mathbb{A}^1$. But it's in $\mathbb{A}^3$!

* This example shows

$$\{\text{aff. var.}\} / \text{iso} \xleftrightarrow{1:1} \{\text{finitely generated reduced } k\text{-alg}\} / \text{iso}$$

$$X \longmapsto A(X)$$

$$V(\ker \rho) \longleftarrow R$$

where $\rho: k[x_1, \dots, x_n] \rightarrow R$

$$f \mapsto f(a_1, \dots, a_n)$$

$a_1, \dots, a_n$ are generators of R .

$\Delta A(X) = \bigcup_x (X)$, when we do not embedding X in $\mathbb{A}^n$ in our new def.

ΔX aff. var., $f \in A(X)$, $D(f)$ is an aff. var. with coordinate ring

$$A(D(f)) = \bigcup_{D(f)} (D(f)) = \bigcup_x (D(f)) = A(X)_f$$

$$\text{In particular, } A(\underbrace{\mathbb{A}^1 \setminus \{0\}}_{\mathbb{A}^1}) = A(D(x_1)) = A(\mathbb{A}^1)_{x_1} = k[x_1]_{x_1}$$

* 任何 open U 可以被 有限 aff. patch $D(f_i)$ cover

pf: **Idea:** 通过 $\times \mathbb{A}^1$ 增加一维, 把 $D(f) \subseteq X$ 嵌入到 $X \times \mathbb{A}^1$ 中写成 zero loci.

Let $Y = V(t f(x) - 1) \subseteq X \times \mathbb{A}^1$
 $t f(x) - 1 = 0 \Rightarrow t = \frac{1}{f(x)} \Rightarrow Y$ 是 $D(f)$ 上的函数图像 (graph)

$Y \cong D(f)$ 故 $D(f)$ 是 aff. var.
 aff. var.

$$\begin{aligned} g: Y &\rightarrow D(f) \\ (x, t) &\mapsto x \end{aligned} \quad \begin{aligned} g^{-1}: D(f) &\rightarrow Y \\ x &\mapsto (x, \frac{1}{f(x)}) \end{aligned}$$

$\Delta \mathbb{A}^2 \setminus 0$ is not aff. Let $U = \mathbb{A}^2 \setminus 0, X = \mathbb{A}^2$. Assume U aff. var.

$$A(U) = \mathcal{O}_U(U) = \mathcal{O}_X(U) = \mathcal{O}_{\mathbb{A}^2}(\mathbb{A}^2 \setminus 0) = \bigcup_{\mathbb{A}^2} (\mathbb{A}^2) = k[x_1, x_2] = A(X) \Rightarrow X \cong U \rightarrow \leftarrow$$

任何 $\mathbb{A}^2 \setminus 0$ 上 regular fun 可以延拓到 $\mathbb{A}^2$

- **Manifold** $\Rightarrow$ Locally Euclidean
Prevariety $\Rightarrow$ Locally affine
 $\Downarrow$

Ringed space with **finite open cover** by aff. vars.

Note: Prevariety 的定义只要求存在 **finite open aff. cover**, 而 open cover 本身并不是 prevariety 的 data.

* Open subset of aff. var. may not be aff. any more, but it **can be covered** by finite aff. open sets $D(f_i)$, so it's a prevar.

- 把一堆 prevar. 粘起来得到 prevar.

$\{X_i\}_{i \in I}$ be a set of prevar. We are given $U_{ij} \subseteq X_i$ for all i, j and

is $f_{ij}: U_{ij} \rightarrow U_{ji}$ s.t.

$$(a) f_{ji} = f_{ij}^{-1} \quad (b) U_{ij} \cap f_{ij}^{-1}(U_{jk}) \subseteq U_{ik} \text{ and } f_{jk} \circ f_{ij} = f_{ik} \text{ on } U_{ij} \cap f_{ij}^{-1}(U_{jk})$$

$$\begin{aligned} a &\sim f_{ij}(a) \stackrel{(2)}{\sim} f_{ji} f_{ij}(a) \\ &= f_{ji} f_{ij}(a) = a \end{aligned}$$

$$a \sim f_{ij}(a), f_{ij}(a) \sim f_{jk} f_{ij}(a),$$

$$\text{then } a \sim f_{jk}(a) = f_{jk} f_{ij}(a), \text{ 传递性满足}$$

(1)(2): reflexivity

(2): 对称性

(a) (b) 只为了说明 $a \sim f_{ij}(a)$ 是等价关系

We construct **prevariety** obtained by **glueing** X_i along the iso f_{ij} as following:

(1) As a **set**, $X = \coprod_{i \in I} X_i / \sim$, $a \sim b \Leftrightarrow \exists f_{ij}, b = f_{ij}(a)$

(b) As a **topo space**, it's defined by quotient topo, i.e., $\pi_i: X_i \rightarrow X$

$$U \subseteq X \text{ open} \Leftrightarrow \pi_i^{-1}(U) \text{ open in } X_i \text{ for all } i \in I$$

(c) As a **ringed space**, $\mathcal{O}_X(U) = \{\varphi: U \rightarrow k \mid \pi_i^* \varphi \in \mathcal{O}_{X_i}(\pi_i^{-1}(U)) \text{ for all } i\}$

Idea: 都是拉回到每一小块上去定义

- prevar. is Noetherian, 所有 Noetherian space 的结论它都满足.

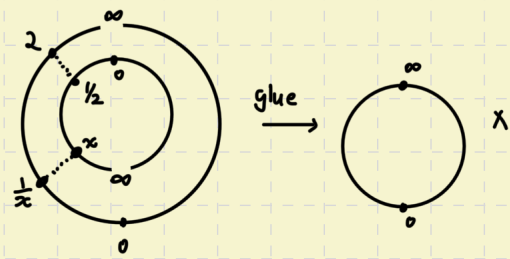
• EXAMPLE: $X_1 = X_2 = \mathbb{A}^1$ $U_{1,2} = U_{2,1} = \mathbb{A}^1 \setminus 0$

不同 $f: U_{1,2} \rightarrow U_{2,1}$ 给出不同粘连规则, 从而得到不同 X .

Choice 1: $f: U_{1,2} \rightarrow U_{2,1}, x \mapsto \frac{1}{x}$

$$\begin{matrix} x_1 \sim x_2 \\ \uparrow \quad \uparrow \\ U_1 \quad U_2 \end{matrix} \iff x_2 = \frac{1}{x_1}$$

从图中看 $X = \mathbb{A}^1 \cup \{\infty\}$
 $= \mathbb{A}^1 \cup \mathbb{P}^0$
 $= \mathbb{P}^1$



More details: ① When $\mathbb{A}^1 = \mathbb{A}^1_{\mathbb{C}}$, $X = \mathbb{C} \cup \{\infty\}$, real pts is a circle.
 ② $x_1 \sim \frac{1}{x_1}$ 实际上在说 $[x_1, 1] \sim [1, \frac{1}{x_1}]$, 正是 $\mathbb{P}^1$ 中的等价关系

Application of glueing prop for mors: 构造 $X \rightarrow X \xrightarrow{\text{glue prop}} \text{构造 } \begin{matrix} X_1 \rightarrow X \\ X_2 \rightarrow X \end{matrix}$ (可以不满足)

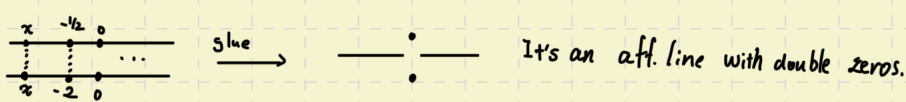
$$\begin{cases} X_1 \rightarrow X_2, x \mapsto x \\ X_2 \rightarrow X_1, x \mapsto x \end{cases} \text{ glue to a mor } X \rightarrow X$$

这个 mor 是 $X \rightarrow X, x \mapsto \frac{1}{x}$ ($\frac{1}{0} = \infty, \frac{1}{\infty} = 0, X = \mathbb{A}^1 \cup \{\infty\}$)

$$\begin{matrix} X_1 \rightarrow X_2 & X_1 \rightarrow X_2 & X_2 \rightarrow X_1 \\ x \mapsto x & (x)_{x_1} \mapsto (x)_{x_2} = (\frac{1}{x})_{x_1} & (x)_{x_2} \mapsto (x)_{x_1} = (\frac{1}{x})_{x_2} \\ (0)_{x_1} \mapsto (0)_{x_2} = (\infty)_{x_1} & & (0)_{x_2} \mapsto (0)_{x_1} = (\infty)_{x_2} \end{matrix}$$

两边换到同一坐标
 只看 x_1 坐标且记 $(\infty)_{x_1} = \infty$, 并认为 $\frac{1}{0} = \infty, \frac{1}{\infty} = 0$, 则有 $x \mapsto \frac{1}{x}$

Choice 2: $f: U_{1,2} \rightarrow U_{2,1}, x \mapsto x$ be id.



$$\begin{cases} X_1 \rightarrow X_2 \subset X, x \mapsto x \\ X_2 \rightarrow X_1 \subset X, x \mapsto x \end{cases} \begin{matrix} X_1 \rightarrow X_2 & X_2 \rightarrow X_1 \\ x \neq 0 & (x)_{x_1} \mapsto (x)_{x_2} = (x)_{x_1} & x \neq 0 & (x)_{x_2} \mapsto (x)_{x_1} = (x)_{x_2} \\ (0)_{x_1} \mapsto (0)_{x_2} & & (0)_{x_2} \mapsto (0)_{x_1} \end{matrix}$$

构造 $X \rightarrow X \xrightarrow{\text{glue prop}} \text{构造 } \begin{matrix} X_1 \rightarrow X \\ X_2 \rightarrow X \end{matrix}$ (可以不满足)

此 mor 是交换零点, 其余点不变

• What kind of subset in prevariety is prevariety?

① open subsets of prevar is prevar.

$X = \bigcup_{i=1}^s X_i$ is aff. open cover. $U \subseteq X$. $V = \bigcup_{i=1}^s X_i \cap U$
 open in X_i aff.
 open subsets of aff. var $X_i \cap U$ is prevar, so U is prevar
 (as a finite union of prevar)

$\mathcal{O}_U(V) := \mathcal{O}_X(V)$ is nicely worked, because $V \subseteq U \subseteq X$ means $V \subseteq X$

② closed subsets of prevar is prevar.

$Y \subseteq X$. $\mathcal{O}_Y(U) \neq \mathcal{O}_X(U)$, because $U \subseteq Y \subseteq X$ doesn't mean $U \subseteq X$. So we define

$$\mathcal{O}_Y(U) = \{ \varphi: U \rightarrow k \mid \forall a \in U \exists a \in V \subseteq X, \varphi \in \mathcal{O}_X(V), \varphi = \varphi \text{ on } U \cap V \}$$

A regular fun on an open subset of closed subprevar Y of a prevar X is locally the restriction of a regular fun on X

③ **Mix** open and closed may **not** be a prevariety

$$X = \mathbb{A}^2 \quad U_1 = \mathbb{A}^2 \setminus \{0\} \quad U_2 = \{0\}$$

open closed

$$U_1 \cup U_2$$

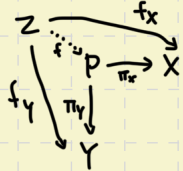


假如它是 prevar, 则 0 处必存在 aff. var. cover 它.
但 0 附近不存在 aff. var. cover, 因为 0 附近显然没有闭子集 (极限点 不在里面)

• Products of prevars :

* 若使用 **glueing** 来定义 product, 过程会非常复杂, 而且还要证明所定义的 product 与 glueing **无关**. 这时就显出用 **univ. prop.** 定义 product 的简单了.

△ product of prevar X and Y is a prevar P 满足 univ prop



△ **Existence and uniqueness** of products

• **Separate** : Hausdorff **nonsense** 的解决办法.

△ Intuition picture: (1) In **Classical topo**, Hausdorff $\Leftrightarrow \Delta_X \subseteq^{\text{closed}} X \times X$
In classical topo we use **乘积拓扑**

$$X = \frac{\begin{smallmatrix} \bullet b \\ \bullet a \end{smallmatrix}}{\bullet a} \quad \text{aff. line with double zeros is not sep.}$$

$X \times X$ 有 4 个 zeroes $(a, b), (b, a), (a, a), (b, b)$

Δ_X 只含 $(a, a), (b, b)$, 所以 Δ_X 不 closed in $X \times X$

(2) **Separate** means **every** sequence has at most one limit

$$\lim_{n \rightarrow \infty} (x_n, x_n) = (a, b) \in \Delta_X \text{ 说明 } \Delta_X \text{ 不 closed in } X \times X$$

△_X 中一个序列的极限

△ **Def**: A prevar X is called a **variety** (or **separated**) if the diagonal $\Delta_X \subseteq^{\text{closed}} X \times X$
Zariski topo

• 一些 var 的例子

(1) aff. vars are vars. $\Delta_X = V(x_1 - y_1, x_2 - y_2, \dots, x_n - y_n) \subseteq \overset{\text{closed}}{X \times X}$
 $(\overset{\text{closed}}{(x_1, \dots, x_n), (y_1, \dots, y_n)})$

(2) Open and closed subprevars are vars, called open and closed **subvars**.

$Y \subseteq \underset{\substack{\text{open} \\ \text{or} \\ \text{closed}}}{X} \Rightarrow Y \text{ is prevar} \Rightarrow Y \times Y \text{ prevar} \Rightarrow i: Y \times Y \rightarrow X \times X$
 Δ_X is closed

$\Rightarrow \Delta_Y = i^{-1}(\Delta_X)$ is closed $\Rightarrow Y$ is var.

(3) A var of pure dim 1 is called **curve**,
 of pure dim 2 is called **surface**.

A pure dim closed subvar $Y \subseteq X$ with $\dim Y = \dim X - 1$,
 then Y is called **hypersurface**

(4) $f, g: \underset{\text{prevar}}{X} \rightarrow \underset{\text{var}}{Y}$ mor of prevars.

(4.1) The graph $\Gamma_f := \{(x, f(x)) \mid x \in X\}$ is **closed** in $X \times Y$

By **univ prop** of product, there is $(f, id): X \times Y \rightarrow Y \times Y$
 $(x, y) \mapsto (f(x), y)$

$\Gamma_f = (f, id)^{-1}(\Delta_Y)$ closed by conti.

(4.2) The set $\{x \in X: f(x) = g(x)\}$ is closed in X

By **univ prop** of product, $(f, g): X \rightarrow Y \times Y$
 $x \mapsto (f(x), g(x))$

The set equals to $(f, g)^{-1}(\Delta_Y)$ is closed in X .

(5) $X, Y \subseteq \mathbb{A}^n$ are pure-dim aff. var., then every irr component of $X \cap Y$ has dim at least $\dim X + \dim Y - n$.

• Intuitive picture of **Projective variety**

f 值 不定! f 不是 $\mathbb{P}^n$ 上的函数! 只有 f 零点 不定
 $f(\lambda x_0, \dots, \lambda x_n) = 0 \Leftrightarrow f(x_0, \dots, x_n) = 0$
 不定次 则这个好齐次坐标的多项式的零点 不定

(1) 类比 aff. var $\subseteq$ aff. space $\mathbb{A}^n$, proj var = **齐次多项式的零点** $\subseteq$ proj space $\mathbb{P}^n$

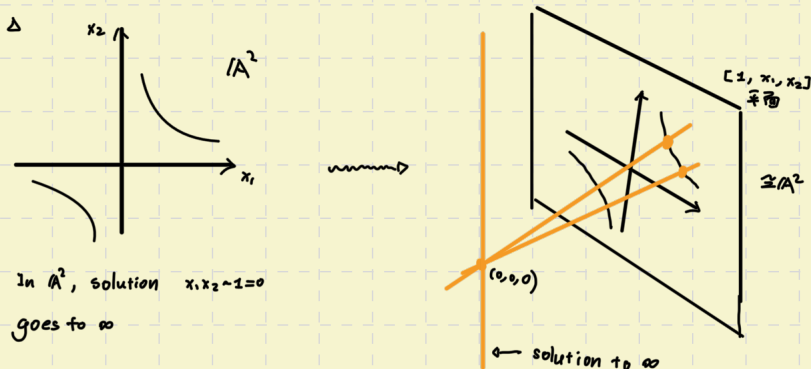
(2) $\mathbb{P}^n$ 是 vect space k^{n+1} 中 1-dim vect spaces 构成的 set. $\mathbb{P}^n = (k^{n+1} \setminus \{0\}) / \sim$

(3) $[0, 0, \dots, 0] \in \mathbb{P}^n$: show a mor **well-defined** 时必须已 check.
 与不能作出直线来

(4) 把 ∞ 添进去, locall 描述: 一堆 aff. var. 经 gluing 得 var.

globally 描述: proj. var.

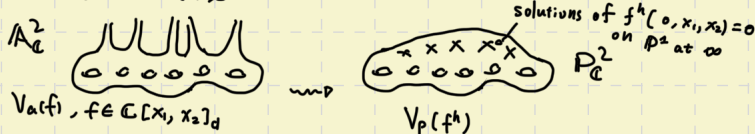
projective var. 如何 include ∞ pt? Global 描述 $\mathbb{P}^n$ 更简洁



$$\Delta \mathbb{P}^n = \underbrace{\{(1: x_1: \dots: x_n)\}}_{\substack{\text{open} \\ \mathbb{A}^n}} \cup \underbrace{\{(0: x_1: \dots: x_n)\}}_{\substack{\text{closed} \\ \mathbb{P}^{n-1}}} = \underbrace{\mathbb{A}^n}_{\text{aff. part}} \cup \underbrace{\mathbb{P}^{n-1}}_{\text{pts at infinity}}$$

互为补集.

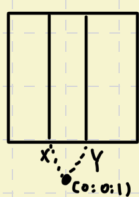
$\Delta \mathbb{P}^n$ includes ∞ 的示意图



(5) 由于 proj var 添加了 ∞ pt, 在 proj var 中非常容易相交.

prop: $X, Y \subseteq \mathbb{P}^n$ are proj var. then $\dim X \cap Y \geq \dim X + \dim Y - n$

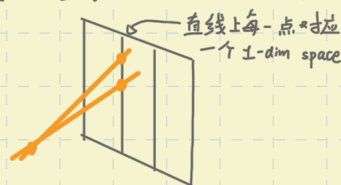
例: $X, Y \subseteq \mathbb{P}^2, \dim X = \dim Y = 1. 1+1=2, \dim X \cap Y \geq 0$ X, Y 相交
假设 X, Y 是在同一个 aff. patch 上的直线



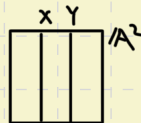
$$\lim_{x_2 \rightarrow 0} (1:0:x_2) = \lim_{x_2 \rightarrow 0} (\frac{1}{x_2}:0:1) = (0:0:1)$$

$$\lim_{x_2 \rightarrow \infty} (1:1:x_2) = \lim_{x_2 \rightarrow \infty} (\frac{1}{x_2}:\frac{1}{x_2}:1) = (0:0:1)$$

Rmk: 图中看起来 X, Y 是两条直线, 实际上在 $\mathbb{P}^2$ 中是



It's **not** true in aff. var. $\mathbb{A}^2$ 中两个 1-dim aff. var. 可以不相交.



• Graded ring $R = \bigoplus_{d \geq 0} R_d \Rightarrow f = \sum_i f_i$ (有限和)
 $f \in R_d, g \in R_e \Rightarrow fg \in R_{d+e}$

elements of $R_d \setminus \{0\}$ are said to be homogeneous of deg d

Exp: $k[x_0, \dots, x_n] = \bigoplus_d k[x_0, \dots, x_n]_d$

• Homogeneous ideal: special ideals in homogeneous ring

Δ Intuitive picture: It must contain non-homog elements

since 齐次 + 不齐次 = 不齐次 $\in J$
ideal J $\uparrow$ $\uparrow$ R

e.g. $J = \langle x^2 \rangle \subseteq k[x] \quad x^2(2+x) = 2x^2 + x^3 \in J$
non-homog.

Δ Def: An ideal in a graded ring is called homogeneous if

it can be generated by homogeneous elements, i.e. $J = \langle f_1, \dots, f_s \rangle, f_i$ homog.

• props for **homo** ideals

(1) The ideal J is **homo** iff $\forall f \in J$ with **homo** decomposition

$f = \sum_d f_d$ we also have $f_d \in J$ for all d .

pf: $\Rightarrow$ Let $J = \langle h^i : i \in I \rangle$, $h^i \text{ homo} \xrightarrow{f \in J} f = \sum_{i \in I} g^i h^i$, for some $g^i \in R$

$g^i = \sum_{e \in \mathbb{N}} g_e^i$ be **homo** decomposition of g^i , then degree d part of f is

$$f_d = \sum_{\substack{i \in I \\ e + \deg h^i = d}} g_e^i h^i \in \langle h^i \rangle \text{ s.t. } f_d \in J$$

$\Leftarrow$ Claim $J = \langle h_d : h \in J, d \in \mathbb{N} \rangle$

' $\subseteq$ ' $\forall f \in J$, f can be generated by f_d .

' $\supseteq$ ' $h_d \in J, \forall h \in J, d \in \mathbb{N}$ by assumption.

(2) J_1, J_2 **homo** then $J_1 + J_2, J_1 J_2, J_1 \cap J_2, \sqrt{J_1}$ are also **homo**

pf: $J_1 + J_2 = \langle J_1 \cup J_2 \rangle$ is also generated by **homo** elements $\Rightarrow J_1 + J_2$ is **homo**

(齐次 齐次 = 齐次) $J_1 J_2 = \langle h_i g_j : h_i, g_j \text{ 分别是 } J_1, J_2 \text{ 的齐次生成元} \rangle \Rightarrow J_1 J_2$ is **homo**

For $f = \sum_d f_d, f_d \in J_1, f_d \in J_2$ by (1), so $f_d \in J_1 \cap J_2 \Rightarrow J_1 \cap J_2$ is **homo**

$$f = \sum_d f_d \in \sqrt{J_1} \Rightarrow (f_0 + \dots + f_d)^n = f_d^n + (\text{terms of lower degree}) \in J_1$$

By (1), $f_d^n \in J_1 \Rightarrow f_d \in \sqrt{J_1}$. $f - f_d^n = f_0 + \dots + f_{d-1}$ lies in $\sqrt{J_1}$ as well,

by induction we have $f_0, f_1, \dots, f_d \in \sqrt{J_1} \Rightarrow \sqrt{J_1}$ is **homo**

(3) **Graded** ring 的 商 环 仍然 **graded**. $R/J = \bigoplus_{d \in \mathbb{N}} R_d / (R_d \cap J)$

商 **homo** ideal

pf: w.t.s. $\forall \bar{f} \in R/J \exists!$ decomposition $\bar{f} = \sum_d \bar{f}_d, \bar{f}_d \in R_d \cap J$

存在性由 $f = \sum f_d \in R$ induce, 唯一性: 设 $\bar{f} = \sum \bar{f}_d = \sum \bar{g}_d$.

$$\sum (f_d - g_d) = \sum \bar{f}_d - \sum \bar{g}_d = 0 \Rightarrow \sum (f_d - g_d) \in J \xrightarrow{J \text{ homo}} f_d - g_d \in J \Rightarrow \bar{f}_d = \bar{g}_d$$

$\Delta V_p(S)$: proj var. $S \subset k[x_0, \dots, x_n]$ a set of **homo** polys

$\downarrow$

$\langle S \rangle = J$ is **homo** ideal. $V(J) = V(S)$

$$V_p(J) = \{x \in \mathbb{P}^n \mid f(x) = 0, \forall \text{ homo } f \in J\}$$

$\Delta V_p(f_1, \dots, f_r) \in \mathbb{P}^n, f_1, \dots, f_r \in k[x_0, \dots, x_n]$ **homo** linear poly is a **linear** subspace of $\mathbb{P}^n$.

$\Delta I_p(X) = \langle f \in k[x_0, \dots, x_n] \text{ homo} : f(x) = 0 \forall x \in X \rangle$ is a **homo** ideal in $k[x_0, \dots, x_n]$

Δ Exp of **sub** proj. var. (省略下标 p)

$$Y = V(x_0 + x_1 + x_2) \subseteq \mathbb{P}^2$$

$$V_Y(-x_0^2 + x_1^2 + x_2^2) = V(x_0 + x_1 + x_2, -x_0^2 + x_1^2 + x_2^2)$$

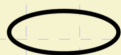
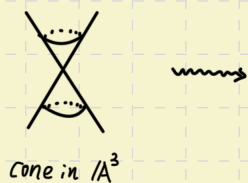
$$= V(x_0 + x_1 + x_2, -(x_1 - x_2)^2 + x_1^2 + x_2^2)$$

$$= \{(-1:0:1), (-1:1:0)\} \quad \text{因为 } -2x_1x_2 = 0 \Rightarrow x_1 = 0 \text{ or } x_2 = 0$$

• Cone Intuitive picture:

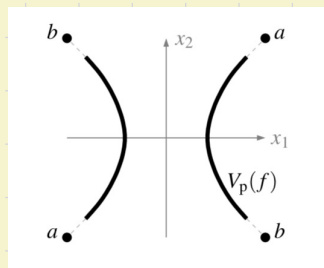
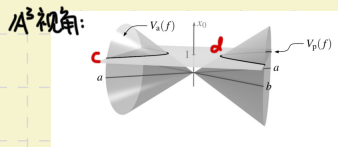
(1) $V_A(x^2 + y^2 - z^2) \subseteq \mathbb{A}^3_{\mathbb{R}}$

$V_P(x^2 + y^2 - z^2) \subseteq \mathbb{P}^2_{\mathbb{R}}$



Cone $\subseteq \mathbb{A}^{n+1}$ 是 $\mathbb{P}^n$ 中的set 每一点长直线得到的

(2) $f = x_1^2 - x_2^2 - x_3^2 \in \mathbb{C}[x_0, x_1, x_2]$



灰色部分是 $\mathbb{A}^3$ 中的 cone

$\mathbb{P}^2$ 视角: 这个 cone 上所有直线是 $V(f)$

c, d 是 cone 上每条直线取代表元
c, d, a, b 合起来是 $V(f)$. 容易看出
a, b 是无穷远点

• Cones and projection

Def: $\mathbb{A}^n \supseteq \text{Cone } X \begin{cases} \text{origin } 0 \in X \\ \text{a union of lines through } 0 \end{cases} \quad \forall x \in X, \lambda \in \mathbb{K}, \lambda x \in X$

Def: $\pi: \mathbb{A}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n, (x_0, \dots, x_n) \mapsto (x_0: \dots: x_n)$

(1) For a cone $X \subseteq \mathbb{A}^{n+1}$, we call

$\Pi(X) := \pi(X \setminus \{0\}) = \{(x_0: x_1: \dots: x_n) \in \mathbb{P}^n \mid (x_0, \dots, x_n) \in X\} \subseteq \mathbb{P}^n$
the **projection** of X

(2) For a projective var $Y \subseteq \mathbb{P}^n$, we call

$C(Y) := \{0\} \cup \pi^{-1}(Y) = \{0\} \cup \{(x_0, \dots, x_n) \mid (x_0: \dots: x_n) \in Y\} \subseteq \mathbb{A}^{n+1}$
the **cone** over Y

• Every **cone** has the form $V_A(S)$ for some $S \subseteq \mathbb{K}[x_0, \dots, x_n]$ a set of homo poly

(Every aff. var. has form $V_A(H), H \in \mathbb{K}[x_0, \dots, x_n]$
Every proj. var. has form $V_P(S), S \subseteq \mathbb{K}[x_0, \dots, x_n]$)

Pf: $\Leftarrow S \subseteq \mathbb{K}[x_0, \dots, x_n]$ a set of homo poly, then $V_A(S)$ is a cone

for any $x \in V_A(S) \Rightarrow \forall f \in S$ satisfy $f(x) = 0 \Rightarrow \forall \lambda \in \mathbb{K}, f(\lambda x) = \lambda^{\deg f} f(x) = 0, \forall f \in S \Rightarrow \lambda x \in V_A(S)$

$\Rightarrow$ Let X be a cone, it's an aff. var so we can use tools in aff. var.

show $X = V_A(S)$ is equiv to show $I(X) = \langle S \rangle$ is a homo ideal

For any $f \in I(X), f = \sum_{d \in \mathbb{N}} f_d$, w.t.s. $f_d \in I(X)$ (By prop of homo ideal)

X is a cone, so $\forall \lambda \in \mathbb{K}, \forall x \in X, \lambda x \in X$. By $f \in I(X), f(\lambda x) = 0$.

so $f(\lambda x) = \sum f_d(\lambda x) = \sum \lambda^d f_d(x) = 0$ holds for $\forall \lambda, \forall x \Rightarrow f_d(x) = 0 \quad \forall x \in X \Rightarrow f_d \in I(X)$

• prop: $\dim C(X) = \dim X + 1, X \subseteq \mathbb{P}^n$ is a proj. var.

- **Transform** a proj var to an aff. var
(非常多问题要用 $C(\text{proj var})$ 化到 aff. var. 来证明)

Bijection:

$$\begin{aligned} \{\text{Cones in } \mathbb{A}^{n+1}\} &\xleftrightarrow{1:1} \{\text{proj. vars in } \mathbb{P}^n\} \\ X &\longmapsto \mathbb{P}(X) \\ C(Y) &\longleftarrow Y \end{aligned}$$

Pf: **Idea:** We've known **forms** of cones & proj. vars!

$\forall$ cone $X \subseteq \mathbb{A}^{n+1}$, $X = V_A(S)$ for some $S \subset k[x_0, \dots, x_n]$ homo

$$\{\text{Cones}\} \rightarrow \{\text{proj var}\} \rightarrow \{\text{Cones}\}$$

$$\begin{aligned} V_A(S) &\longmapsto V_P(S) \longmapsto V_A(S) \\ \mathbb{P}(V_A(S)) &\quad C(V_P(S)) \end{aligned}$$

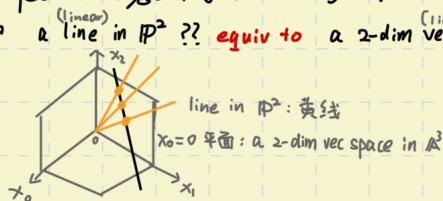
都是 identity
they are bijection

$$\{\text{proj. var.}\} \rightarrow \{\text{cones}\} \rightarrow \{\text{proj. var.}\}$$

$$\begin{aligned} V_P(S) &\longmapsto V_A(S) \longmapsto V_P(S) \\ C(V_P(S)) &\quad \mathbb{P}(V_A(S)) \end{aligned}$$

* 这个 bijection 的意义在于帮助思考 proj var. 是什么.

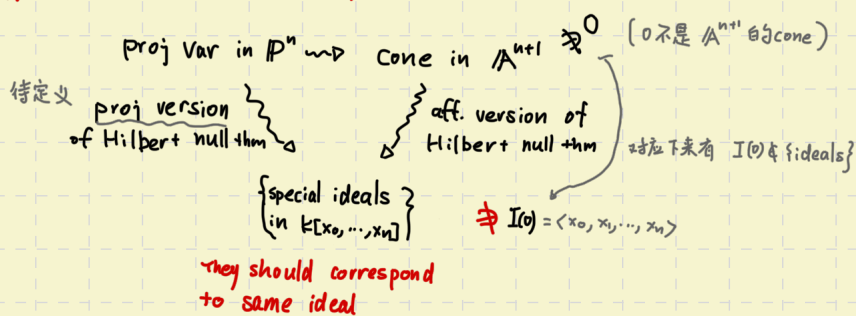
例如 a line in $\mathbb{P}^2$?? equiv to a 2-dim vecspace in $\mathbb{A}^3$



* 所有 proj var in $\mathbb{P}^n$ 并不神秘, 它就是熟悉的 $\mathbb{A}^{n+1}$ 中的特殊 aff. var. — cone!

- proj version Hilbert Null thm

Δ Only difference: (Irrelevant ideal)



因此, 借用 aff. var. 的观点, 我们猜 $I_0 = \langle x_0, x_1, \dots, x_n \rangle$ 应该不会有 proj. var. 与之对应

Def: The radical homo ideal $I_0 = \langle x_0, x_1, \dots, x_n \rangle$ is called the irrelevant ideal.

Δ Proj. version Hilbert Null thm

a) For any **proj. var.** $X \subseteq \mathbb{P}^n$, we have $V_P I_P(X) = X$

b) For any **homo ideal** $J \not\supseteq I_0$ in $k[x_0, \dots, x_n]$ with $\sqrt{J} \not\supseteq I_0$, we have $I_P V_P(J) = \sqrt{J}$

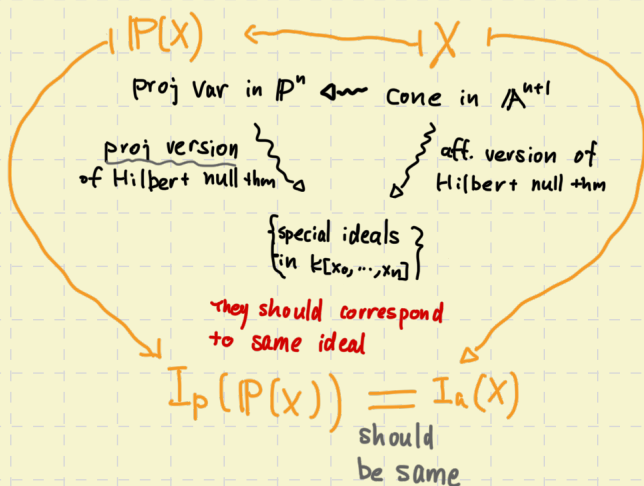
There is a bijection

$$\{\text{proj. var. in } \mathbb{P}^n\} \xleftrightarrow{1:1} \{ \text{homo radical ideals in } k[x_0, \dots, x_n] \text{ not equal to irrelevant ideal} \}$$

$$X \longmapsto I_P(X)$$

$$V_P(J) \longmapsto J$$

pf: VERY useful bijection for helping consider proj. var.:



Claim: (1) $X \neq \emptyset$, $I_P(\mathbb{P}(X)) = I_A(X)$

(2) $\mathbb{P}(V_A(J)) = V_P(J)$ we've proved it

$\mathbb{P}(\emptyset) = \emptyset$ obviously

$I_P \mathbb{P} = I_A$ 把 I_P, V_P 与 I_A, V_A 联系起来

If the claim is true, then

pf for (a): $\forall X \neq \emptyset$, $V_P I_P(X) = V_P \underbrace{I_P}_{\text{同构}} \mathbb{P}(X) = V_P I_A(X) = \mathbb{P} V_A I_A(X) = \mathbb{P}(X) = X$

$X = \emptyset$ $V_P I_P(X) = V_P I_P(\emptyset) = V_P(k[x_0, \dots, x_n]) = \emptyset$

pf for (b): $\forall$ homo radical ideal J with $\sqrt{J} \neq I_0$, $I_P V_P(J) = \underbrace{I_P}_{\text{同构}} \mathbb{P} V_A J = I_A V_A(J) = \sqrt{J}$

Finally, pf for claim (1):

$\Leftarrow$ $\forall f \in I_P(\mathbb{P}(X))$, $f(x) = 0, \forall x \in \mathbb{P}(X)$. f is homo, hence $f(\lambda x) = 0, \forall \lambda \in k, \forall x \in \mathbb{P}(X)$.

Besides, cone $X \neq \emptyset$, then $\mathbb{P}(X) \neq \emptyset$. So $f \neq 0$ 常数. With f homo, $f(0) = 0$.

Since $\{\lambda x \mid x \in \mathbb{P}(X), \lambda \in k\} \cup \{0\} = X$, we have $f(x) = 0, \forall x \in X$. So $f \in I_A(X)$.

$\Rightarrow$ $\forall f \in I_A(X) \Rightarrow \begin{cases} f = \sum_{d \in \mathbb{N}} f_d, f_d \in I_A(X) \text{ (} I_A(X) \text{ is homo ideal)} \\ f(x) = \sum_{d \in \mathbb{N}} f_d(x) = 0, \forall x \in X \end{cases}$
 $\Rightarrow f_d(x) = 0, \forall d \in \mathbb{N}, \forall x \in X \xRightarrow{\text{PCN 是 } X \text{ 上的}} f_d(\bar{x}) = 0, \forall d \in \mathbb{N}, \bar{x} \in \mathbb{P}(X) \text{ (} \bar{x} \in X \text{)}$
 with f_d homo $\Rightarrow f_d \in I_P(\mathbb{P}(X)) \Rightarrow f = \sum_{d \in \mathbb{N}} f_d \in I_P(\mathbb{P}(X))$

• some differences from aff. case

(1) prop of V_P and I_P are same as V_A and I_A BUT

$I_P(X_1 \cap X_2) = \sqrt{I_P(X_1) + I_P(X_2)} = I_0$ 则说明 $X_1 \cap X_2 = \emptyset$

e.g. $X_1 = V_P(x_0)$, $X_2 = V_P(x_1)$, then $X_1 \cap X_2 = \emptyset$ and $\sqrt{I_P(X_1) + I_P(X_2)} = \sqrt{\langle x_0 \rangle + \langle x_1 \rangle} = \sqrt{\langle x_0, x_1 \rangle} = \langle x_0, x_1 \rangle$

(2) $Y \subseteq \mathbb{P}^n$, coordinate ring $S(Y) = k[x_0, \dots, x_n] / I_P(Y)$

can NOT be viewed as functions on Y .

(homo coord 变化 则函数值变化)

• proj. var. 用 $V_p(J)$ 赋予 Zariski topo, 立刻可以证明

$\mathbb{P}^n$ is irr of $\dim - n$

prop: $\{U_i\}_{i \in \mathbb{Z}}$ is an open cover of topo space X ,
then $\dim X = \sup \{\dim U_i \mid i \in \mathbb{Z}\}$

we have open cover $\mathbb{P}^n = \bigcup_{i=0}^n D(x_i)$, $D(x_i) \cong \mathbb{A}^n$, so $\dim D(x_i) = n$.

Hence by prop $\dim \mathbb{P}^n = n$.

• 下面许多关于 proj. var. 的命题证明都需要重新工具: 齐次化 & 去齐次化

△ dehomogenization (去齐次化): $\forall$ homo $f \in k[x_0, \dots, x_n]$, dehomogenization $f^i := f(x_0=1)$

$\forall$ homo ideal $J \subseteq k[x_0, \dots, x_n]$, dehomogenization ideal $J^i := \{f^i : f \in J\}$

dehomogenization is a ring homo $(fg)^i = f^i g^i$ and $(f+g)^i = f^i + g^i$

△ homogenization (齐次化): $\forall f \in k[x_0, \dots, x_n]$, homogenization $f^h := x_0^d f(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})$

$\forall J \subseteq k[x_0, \dots, x_n]$, homogenization ideal $J^h = \langle f^h : 0 \neq f \in J \rangle$

homogenization is not a ring homo $(fg)^h = f^h g^h$ and $(f+g)^h \neq f^h + g^h$

• $\mathbb{A}^n \cong D(x_0) \hookrightarrow \mathbb{P}^n$ 有子空间拓扑 } 是一样吗?
 $\mathbb{A}^n$ 用 $V(J)$ 定义 Zariski topo.

Claim: (1) $V_p(J) \cap \mathbb{A}^n = V_a(J^i)$ $J \subseteq k[x_0, \dots, x_n]$

(2) $V_a(J) = V_p(J^h) \cap \mathbb{A}^n$ $J \subseteq k[x_0, \dots, x_n]$

(Note that $\mathbb{A}^n \cong D(x_0) \hookrightarrow \mathbb{P}^n$)

If the claim is true, then Zariski close $V_a(J)$ is also subtopo closed $V_p(J^h) \cap \mathbb{A}^n$. Subtopo closed $V_p(J) \cap \mathbb{A}^n$ is also Zariski closed $V_a(J^i)$. Hence two topo are same.

pf for (1) $V_p(J) \cap \mathbb{A}^n = \mathbb{P} V_a(J) \cap \mathbb{A}^n = \mathbb{P} V_a(J) \cap D(x_0)$
设 $x_0=1$

$\begin{cases} \mathbb{P} V_a(J) = \mathbb{P} V_a(J) \\ \mathbb{P} V_a(J) = \mathbb{P} V_a(J) \end{cases}$ 把 $\mathbb{P} V_a(J)$ 与 $\mathbb{A}^n$ 联系起来

$= \{(x_0, \dots, x_n) \mid (x_0, \dots, x_n) \in V_a(J)\} \cap D(x_0)$
 $= \{(1, x_1, \dots, x_n) \mid (1, x_1, \dots, x_n) \in V_a(J)\}$
 $= \{(x_1, \dots, x_n) \mid (x_0, x_1, \dots, x_n) \in V_a(J)\} = V_a(J^i)$

$V_p(J^h) \cap \mathbb{A}^n = \{(x_0, \dots, x_n) \in \mathbb{P}^n \mid (x_0, \dots, x_n) \in V_p(J^h)\} \cap D(x_0)$
 $= \{(1, x_1, \dots, x_n) \in \mathbb{P}^n \mid (1, x_1, \dots, x_n) \in V_p(J^h)\}$
 $= \{(1, x_1, \dots, x_n) \in \mathbb{P}^n \mid \forall f(x_1, \dots, x_n) \in J, f^h(x_0, x_1, \dots, x_n) = 0\}$
 $= \{(x_1, \dots, x_n) \in \mathbb{A}^n \mid \forall f(x_1, \dots, x_n) \in J, f^h = f(x_1, \dots, x_n) = 0\}$
 $= V_a(J)$

* Geometric picture of homogenization & dehomogenization?

Why we need these tools?

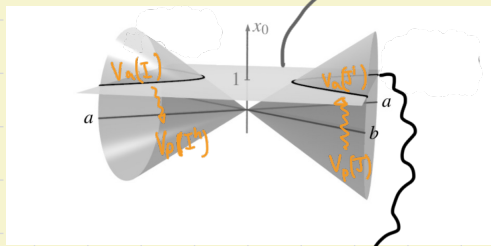
$\mathbb{P}(\cdot)$ 与 $\mathbb{C}(\cdot)$ 给出 $\{\text{proj var in } \mathbb{P}^n\}$ 与 $\{\text{cone in } \mathbb{A}^{n+1}\}$ 之间的 bijection

J^h 与 J^i 给出 $\{\text{proj var in } \mathbb{P}^n\}$ 与 $\{\text{aff. var in } \mathbb{A}^n\}$ 之间的映射 (不一定 biject)

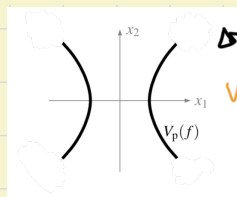
考虑 proj var. 的 aff. 截面
aff. part $D(x_0) \cong \mathbb{A}^2$

$$V_p(J) \cap \mathbb{A}^n = V_a(J^i)$$

$$V_a(J) = V_p(J^h) \cap \mathbb{A}^n$$



$$\begin{array}{ccc} \{\text{proj var in } \mathbb{P}^n\} & V_p(J) & V_p(J^h) \\ \downarrow & \downarrow & \uparrow \\ \{\text{proj var. 的 aff. part}\} & V_a(J^i) & V_a(J) \end{array}$$



Pf: $\subseteq$ $J^h = \langle \varphi^h \mid \varphi \in J = \langle f \rangle \rangle$

$$= \langle (fg)^h \mid g \in k[x_1, \dots, x_n] \rangle$$

$$= \langle f^h g^h \mid g \in k[x_1, \dots, x_n] \rangle$$

$$\subseteq \langle f^h \rangle$$

* $J = \langle f_1, f_2, \dots, f_n \rangle$. $J^h \neq \langle f_1^h, f_2^h, \dots, f_n^h \rangle$

这只对主理想正确. $J = \langle f \rangle$, then $J^h = \langle f^h \rangle$

• 双曲线中, 双曲线 & 抛物线 都是圆

抛物线: $J = \langle x_2 - x_1^2 \rangle \subseteq k[x_1, x_2]$ $J^h = \langle x_2 x_0 - x_1^2 \rangle$

$V_p(J^h)$: 一个 $D(x_0)$ 截面是 $V_a(J)$ 双曲线活在 $\mathbb{P}^2$ 中的 proj. var.

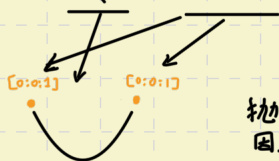
$$V_p(J^h) = V_a(J) \cup [0:0:1]$$

$x_0=1$ $x_0=0$

Observe that $\lim_{x_1 \rightarrow \infty} [1: x_1: x_1^2] = \lim_{x_1 \rightarrow \infty} [\frac{1}{x_1^2}: \frac{1}{x_1}: 1] = [0:0:1]$

双曲线上的点

$$V_p(J^h) = V_a(J) \cup [0:0:1]$$

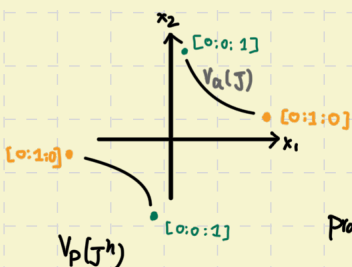


抛物线在无穷远处被一个点包住, 因此是圆

双曲线: $J = \langle x_1 x_2 - 1 \rangle \subseteq k[x_1, x_2]$ $J^h = \langle x_1 x_2 - x_0^2 \rangle$

$$V_p(J^h) = V_a(J) \cup [0:0:1] \cup [0:1:0]$$

$x_0=1$ $x_0=0$



$$\lim_{x_1 \rightarrow \infty} [1: x_1: \frac{1}{x_1}] = \lim_{x_1 \rightarrow \infty} [\frac{1}{x_1}: 1: \frac{1}{x_1^2}] = [0:1:0]$$

$$\lim_{x_2 \rightarrow \infty} [1: \frac{1}{x_2}: x_2] = \lim_{x_2 \rightarrow \infty} [\frac{1}{x_2}: \frac{1}{x_2^2}: 1] = [0:0:1]$$

Proj var. 中的双曲线在无穷远处包成一个圆

- Another application of homogenization and dehomogenization:

Computation of the projective closure

Intuitive picture: (1) 找 proj closure 近似于把射影光源找到并添加进去的过程. 射影光源一般是无穷远点, 找 closure 也可视作添加无穷远点

(2) 上面 $V_a(J) \subseteq \mathbb{A}^n \subseteq \mathbb{P}^n$ 并不 closed, 但 $V_p(J^h)$ closed, 就是添加了一些无穷远点

prop: (Computation) $J \subseteq k[x_1, \dots, x_n]$ Consider $X = V_a(J) \subseteq \mathbb{A}^n \subseteq \mathbb{P}^n$, compute its closure $\bar{X}$ in $\mathbb{P}^n$.

(a) $\bar{X} = V_p(J^h)$

(b) If $I = \langle f \rangle, f \neq 0$, then $\bar{X} = V_p(f^h)$

pf: (a) " $\subseteq$ " $X = V_a(J) = V_p(J^h) \cap \mathbb{A}^n \subseteq V_p(J^h)$. $V_p(J^h)$ closed, so $\bar{X} \subseteq V_p(J^h)$

" $\supseteq$ " $\bar{X}$ closed, so $\bar{X} = V_p(I)$ for some $I \subseteq k[x_0, x_1, \dots, x_n]$ w.t.s. $V_p(J^h) \subseteq V_p(I)$, i.e., w.t.s. $\sqrt{J^h} \supseteq I$.

$\forall g \in I \subseteq k[x_0, x_1, \dots, x_n]$ is an U.F.D., so $g = x_0^s h$ s.t. h 不含 x_0 . 于是 h 满足 $(h^i)^h$.

By $\bar{X} = V_p(I)$, we have $g(x_0, x_1, \dots, x_n) = 0, \forall (x_0, x_1, \dots, x_n) \in \bar{X}$

In particular $g(1, x_1, \dots, x_n) = 0, \forall (x_1, \dots, x_n) \in X \subseteq \mathbb{A}^n$,

i.e., $g^i(x_1, \dots, x_n) = 0, \forall (x_1, \dots, x_n) \in X$. By $g = x_0^s h$,

$h^i = g^i = 0$ on X , so $h^i \in I(X) = I V_a(J) = \sqrt{J}$.

Then, $\exists n \in \mathbb{N}$ s.t. $(h^i)^n \in J$, thus $((h^i)^n)^h \in J^h$

$$((h^i)^n)^h = ((h^n)^i)^h = h^n \in J^h. \text{ So } g^n = x_0^{ns} h^n \in J^h$$

i is ring homo

Hence $g \in \sqrt{J^h}$.

(b) 由 $J = \langle f \rangle$ 则 $J^h = \langle f^h \rangle$ 易得.

- X is hypersurface in $\mathbb{P}^n$. $I(X)$ is principal ideal

1st way: $Y := X \cap \mathbb{A}^n$ is hypersurface in $\mathbb{A}^n$. $I(Y) = \langle g \rangle$.

$$X = \bar{X} = V_p(I(Y)^h) = V_p(\langle g^h \rangle) \Rightarrow I(X) = \langle g^h \rangle$$

2nd way: $I_p(X) = I_a(C(X))$ when $X \neq \emptyset$. $I_p(X) = I_a(C(X)) = \langle f \rangle$

- $\text{Aut}(\mathbb{P}^n) \cong \text{PGL}(n+1, k) = \text{GL}(n+1, k) / \sim$ ($A \sim \lambda A$ for $\lambda \in k^*$) (注意 $[A] \cdot [B] = [A \cdot B]$)

类似于 $\text{Aut}(\mathbb{A}^n) = \text{GL}(n, k)$

于是, $\forall a \in \mathbb{P}^n, \exists A \in \text{Aut}(\mathbb{P}^n)$, s.t. $Aa = [1:0:\dots]$ (Simplify question) ($\text{Aut}(\mathbb{P}^n)$ 只有线性元)

- Proj vars are prevars. (proj. var. 是有 global description 的 pre var)

Structure sheaf: $U \subseteq \sum_{\text{proj var}}$. A regular fun on U is a map

$\varphi: U \rightarrow k$ with the following prop: For every $a \in U$, there are

$d \in \mathbb{N}$ and $f, g_a \in S(X)_d$ with $f(x) \neq 0$ on open $U_a \ni a$, s.t.

$$\varphi(x) = \frac{g_a(x)}{f_a(x)} \text{ on } U_a \subseteq U$$

We construct a sheaf of regular fun (locally defined, so it's a sheaf)

* 下标 d 表示 d deg elements in $S(X)$. 上下 deg 相同, 使得 φ 真的是一个函数.

$$\frac{f(x)}{g(x)} = \frac{x^d f(x)}{x^d g(x)} = \frac{f(x)}{g(x)}$$

* $\mathcal{O}_X(U)$ is a subring of k -alg $\{ \text{function } \varphi: U \rightarrow k \}$

△ 要证 $X \subseteq \mathbb{P}^n$ is prevar, 只要证 X 有 finite open aff. cover.

显而易见 $X = \bigcup_{i=0}^n (X \cap D(x_i))$ is an open cover of X , it suffices to show $X \cap D(x_i) = \{ (x_0: x_1: \dots: x_n) \mid x_i \neq 0 \}$ aff.

Let $X = V_p(J)$. 下证 $X \cap D(x_0) =: V_0 \cong V_a(J') =: Y$, 其余 $V_1, \dots, V_n$ 同理

$$F: Y \longrightarrow V_0 \subseteq \mathbb{P}^n$$

$$(x_1, \dots, x_n) \mapsto [1, x_1, \dots, x_n]$$

把 $V_a(J') \subseteq \mathbb{A}^n$ 嵌进 $\mathbb{P}^n$ 的 aff. part $D(x_0)$ 中

$$F^{-1}: V_0 \longrightarrow Y \subseteq \mathbb{A}^n$$

$$[x_0: \dots: x_n] \mapsto (\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})$$

把 X 的 aff. part V_0 嵌进 aff. space $\mathbb{A}^n$

对于 $V_0 = X \cap D(x_0)$

$$F^{-1}: V_0 \rightarrow Y$$

$$[1: x_1: \dots: x_n] \mapsto (x_1, \dots, x_n)$$

a) Check F, F^{-1} are conti.

For closed set $V_p(J') \cap V_0$ in V_0 , $F^{-1}(V_p(J') \cap V_0) = V_a(J')$ closed

For closed set $V_a(I) \cap Y$ in Y , $(F^{-1})^{-1}(V_a(I) \cap Y) = V_p(I') \cap V_0$ closed

b) Check pullback.

$\forall \varphi \in \mathcal{O}_{V_0}(U)$, w.t.s. $F^* \varphi \in \mathcal{O}_Y(F^{-1}(U))$

$\forall a \in F^{-1}(U)$, $\exists$ open $U_{F^{-1}(a)}$ containing $F^{-1}(a)$, s.t. $\varphi = \frac{g_a(1: x_1: \dots: x_n)}{f_a(1: x_1: \dots: x_n)}$ on $U_{F^{-1}(a)}$.

Then on $U_a = F^{-1}(U_{F^{-1}(a)}) \subseteq F^{-1}(U)$, $F^* \varphi = \frac{g_a^h(x_1, \dots, x_n)}{f_a^h(x_1, \dots, x_n)}$ on U_a

$\forall \psi \in \mathcal{O}_Y(U)$, w.t.s. $F^{-1*} \psi \in \mathcal{O}_{V_0}(F^{-1}(U))$.

$\forall a \in F^{-1}(U)$, $\exists$ open $U_{F^{-1}(a)}$ containing $F^{-1}(a)$, s.t.

$$\psi = \frac{g_a(x_1, \dots, x_n)}{f_a(x_1, \dots, x_n)} \text{ on } U_{F^{-1}(a)}$$

$$\text{Then on } U_a := F(U_{F^{-1}(a)}), F^{-1*} \psi = \frac{g_a(1: x_1: \dots: x_n)}{f_a(1: x_1: \dots: x_n)} = \frac{g_a^h(x_1, \dots, x_n)}{f_a^h(x_1, \dots, x_n)}$$

(通过整环 x_0^m s.t. $\deg g_a^h = \deg f_a^h$)

• A special type of mors of proj. var.

* In aff. var. case, 所有 mors 形如 $(\varphi_1(x), \dots, \varphi_n(x))$, $\varphi_i \in \mathcal{O}_X(U)$.

但在 proj. var. case 没有这样的结论, 只有如下更弱的结论.

Let $X \subseteq \mathbb{P}^n$ be proj. var. and $f_0, \dots, f_m \in S(X)$. Then on the open subset $U := X \setminus V_p(f_0, \dots, f_m)$, these elements define a mor:

$$f: U \rightarrow \mathbb{P}^m, x \mapsto (f_0(x): \dots: f_m(x))$$

* $f_0, \dots, f_m$ have same degree is necessary.

$$\begin{aligned} [x_0: x_1] &\mapsto [x_0^2: x_1] \\ &\downarrow \\ [\lambda x_0: \lambda x_1] &\mapsto [\lambda^2 x_0^2: \lambda x_1] \end{aligned} \quad \text{= 取值不同.}$$

Pf: Idea: 用 Ringed space 的 Glueing prop for mor.

$$\text{open set } U = X \cap (\bigcap_i V_p(f_i))^c = X \cap (\bigcup_i D_p(f_i)) = \bigcup_{i=1}^m X \cap D_p(f_i) =: \bigcup_{i=1}^m U_i$$

U_i open in X . It suffices to show $f|_{U_i}$ is a mor, by glueing prop.

$$f|_{U_i}: X \cap D_p(f_i) \longrightarrow \mathbb{P}^m$$

$$x \longmapsto \left[\frac{f_0(x)}{f_i(x)} : \frac{f_1(x)}{f_i(x)} : \dots : 1 : \dots : \frac{f_m(x)}{f_i(x)} \right]$$

观察得 $\text{Im } f|_{U_i} \subseteq \mathbb{A}^m \hookrightarrow \mathbb{P}^m$ 故 $f|_{U_i}: X \cap D_+(f_i) \xrightarrow{\mathbb{A}^n} \mathbb{A}^m$ (化归到 aff. case)

由于 $f|_{U_i}$ 满足 aff. var. 间 mor 的条件, 有 $f|_{U_i}$ 是 mor.

* 但 Every mor $\mathbb{P}^n \rightarrow \mathbb{P}^m$ has the form

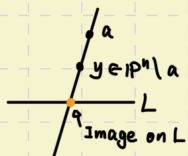
$$f: \mathbb{P}^n \rightarrow \mathbb{P}^m, x \mapsto (f_0(x), \dots, f_m(x))$$

$$f_0, f_1, \dots, f_m \in k[x_0, \dots, x_n]_d, \forall p (f_0, \dots, f_m) \neq 0$$

• **Projection** from $a \in \mathbb{P}^n$ to the linear subspace $L \cong \mathbb{P}^{n-1}$

Exp: $a = (1:0:0:\dots:0) \in \mathbb{P}^n, L = V_p(x_0) \cong \mathbb{P}^{n-1}$

则可构造 projection $\mathbb{P}^n \setminus a \rightarrow L$ as following



$\forall y = (y_0: y_1: \dots: y_n) \in \mathbb{P}^n$. L is the line passing a & y .

line L in $\mathbb{P}^n \Leftrightarrow$ 2-dim vect space in $\mathbb{A}^{n+1}$

$L = \{ \text{2-dim vect space 中的点} \}$

$$= \{ sa + ty \mid (s, t) \in \mathbb{P}^1 \}$$

$$= \{ (s + ty_0: ty_1: \dots: ty_n) \mid (s: t) \in \mathbb{P}^1 \}$$

$$\text{Then } L \cap L = L \cap V_p(x_0) = \{ (0: ty_1: \dots: ty_n) \mid s + ty_0 = 0, (s: t) \in \mathbb{P}^1 \}$$

$$= \{ (0: y_1: \dots: y_n) \}$$

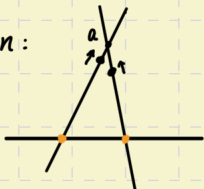
So projection is $f: \mathbb{P}^n \setminus a \rightarrow L \cong \mathbb{P}^{n-1}$

$$(y_0: \dots: y_n) \mapsto (0: y_1: \dots: y_n) \mapsto (y_1: \dots: y_n)$$

⌋

Question: 这个 $\mathbb{P}^n \setminus a \rightarrow \mathbb{P}^{n-1}$ 的 projection 无法延拓到 a .

Reason:



用不同点去逼近 a , 得到的像不一样!

Solution: 把 a 放到一个 proj. var. 上, 让 projection 限制在这个 proj. var. 上
这样逼近 a 的路线 **唯一** 就可以延拓出 a 处的投影映射了.

Exp: (Extension projection to a) **Restrict** the projection to a suitable proj. var. $X = V_p(x_0 x_2 - x_1^2)$

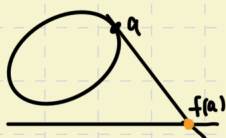
$$f: X \rightarrow \mathbb{P}^2 (x_0: x_1: x_2) \mapsto \begin{cases} (x_1: x_2) & \text{if } (x_0: x_1: x_2) \neq (1: 0: 0) \text{ (Restriction)} \\ (x_0: x_1) & \text{if } (x_0: x_1: x_2) \neq (0: 0: 1) \end{cases}$$

打到 $\mathbb{P}^2$ 而不碰 L ,
避免了光源是否在 L 上的讨论

⌈ 猜的, 要让相交部分像相同

$$x_0 x_2 = x_1^2 \Rightarrow \frac{x_1}{x_2} = \frac{x_0}{x_1}$$

f 是两片 mor 粘出来的, 因此是 mor, 而且在 a 处有定义 (几何上是切线 & $\mathbb{P}^2$ 交点)



f is bijective. w.t.s. $\forall y \in L$, the line l passing through a and y intersects X in two points. If it's true, the one is a , the other one is $f^{-1}(y)$. Indeed,

$$L = \{ s(0: y_1: y_2) + t(1: 0: 0) \mid (s: t) \in \mathbb{P}^1 \}$$

$$= \{ (t: sy_1: sy_2) \mid (s: t) \in \mathbb{P}^1 \}$$

pts in $L \cap X$ fulfilling $tsy_2 - s^2y_1^2 = 0$
 there are only two solutions (1) $s=0 \leadsto a$
 (2) $\frac{s}{t} = \frac{y_1}{y_2} \leadsto f^{-1}(y)$

Moreover, f is an iso:

$$f^{-1}: \mathbb{P}^1 \longrightarrow X$$

$$(y_1: y_2) \longmapsto (y_1^2: y_1 y_2: y_2^2) \quad (\text{用 } \frac{s}{t} = \frac{y_1}{y_2})$$

f^{-1} 每个分量是 2:2 poly, 故是 mor. 于是 f 是 iso.

* 不是所有 proj 是 iso $X = V_P(x_0^2 - x_1 x_2) \subseteq \mathbb{P}^2$

$(1:0:0) \in X$ 于是可定义 忘掉 x_0 的 mor. $\varphi: X \rightarrow \mathbb{P}^1$ $(x_0: x_1: x_2) \mapsto (x_1: x_2)$ well-def.

We find $\varphi(1:1:1) = \varphi(-1:1:1)$.

• Segre embedding $\mathbb{P}^n \times \mathbb{P}^m$ 同构到 $\mathbb{P}^N$ 中一个 proj. var., 描述更容易.

$$f: \mathbb{P}^n \times \mathbb{P}^m \longrightarrow \mathbb{P}^N, N = (n+1)(m+1) - 1$$

$$([x_0: x_1: \dots: x_n], [y_0: \dots: y_m]) \longmapsto (z_{ij}) = (z_{ij}) = (x_0 y_0, x_0 y_1, \dots, x_0 y_m, x_1 y_0, \dots, x_n y_0, \dots, x_n y_m)$$

* Segre embedding is well-defined

$\vec{x} \neq 0, \exists x_i = 1. \vec{y} \neq 0, \exists y_j = 1. \text{ So } z_{ij} = 1, (z_{ij}) \neq 0.$

idea: 证像集是想要的形式, 证 mor 是 iso

Δ The image $X = f(\mathbb{P}^n \times \mathbb{P}^m)$ is a proj. var.

$$S := V_P(z_{ij} z_{kl} - z_{il} z_{kj} : 0 \leq i, k \leq n, 0 \leq j, l \leq m)$$

i, k 不动, j, l 交换. 因此 $j=l$ 或 $i=k$ 则式子恒为 0

Pf: $X \subseteq S$: $z_{ij} = x_i y_j$, 显然有 $\frac{x_i y_j}{z_{ij}} \frac{x_k y_l}{z_{kl}} - \frac{x_i y_l}{z_{il}} \frac{x_k y_j}{z_{kj}} = 0$

$$S \subseteq X: \forall z = [z_{00}, \dots, z_{nm}] \in S$$

只要证 z 是像. 只要把 x 和 y 找到. Assume $z_{00} \neq 0$ (假设非 0 多半是要除掉它)

由 $z \in S = V(z_{ij} z_{kl} - z_{il} z_{kj})$ 得 $z_{00} z_{kl} - z_{0l} z_{k0} = 0$.

两边同除 z_{00}^2 得 $\frac{z_{kl}}{z_{00}} = \frac{z_{0l}}{z_{00}} \frac{z_{k0}}{z_{00}} \quad \text{令 } x_l = \frac{z_{0l}}{z_{00}}, y_k = \frac{z_{k0}}{z_{00}}$

$x = (1: x_1: \dots: x_n), y = (1: y_1: \dots: y_m)$
 $x_0 = \frac{z_{00}}{z_{00}} = 1$

$$f(x, y) = (x_i y_j) = \frac{z_{ij}}{z_{00}} \quad \text{和预期的只差一个整体的系数}$$

$\Delta f: \mathbb{P}^n \times \mathbb{P}^m \longrightarrow X$ is an iso

Pf: f 是 bijection. $\forall z \in X$, 不妨设 $z_{00} = 1$, 则 $x_0 \neq 0, y_0 \neq 0$ 即 $x_0 = y_0 = 1$.

由 $z_{k0} = x_k y_0 = x_k, z_{0l} = x_0 y_l = y_l$ 可知原像 x, y 被我们暴力解完了, 因此原像唯一. 原像存在 (proceeding process) 且唯一, 即 f 是 bijection.

还需证 f 及 f^{-1} 是 mor. 尽量用 glueing prop, 比 section 拉回是 section 简单. 用 glueing prop 最好拆成 aff. parts, 因为 aff. parts 上 mor 的形式比 proj. var. 要更清楚.

$U_i = D_P(x_i) \subseteq \mathbb{P}^n, V_j = D_P(y_j) \subseteq \mathbb{P}^m$, 则 $U_i \times V_j$ are aff. open cover of $\mathbb{P}^n \times \mathbb{P}^m$ (非常常见的拆法)

(别证 $f|_{U_i \times V_j}$, 写起来太繁琐, 证 $f|_{U_i \times V_j}$ 上是 mor 即可!)

$f|_{U_0 \times V_0} ([1: x_1: \dots: x_n], [1: y_1: \dots: y_n]) = (1: y_1: \dots: y_n: x_1: x_1 y_1: \dots: x_n y_n)$
 $U_0 \times V_0 \subseteq \mathbb{A}^n \times \mathbb{A}^m \rightarrow D(z_{00}) \subseteq \mathbb{A}^N$ 每个分量是 $(x_1, \dots, x_n, y_1, \dots, y_n)$ 的 regular fun.

同理 $D(z_{ij}) \cap X$ 是 X 上 aff. open cover.

$f^{-1}|_{D(z_{00})} (z_{ij}) = ([1: z_{i0}: \dots: z_{n0}], [1: z_{01}: \dots: z_{0m}])$
 aff. isom map 每个分量是 z_{ij} regular fun.

• Segre embedding application I: Every proj var. is a var.

pf: diagonal 出现 $\mathbb{P}^n \times \mathbb{P}^n$, 所以用 $[z_{ij}]$ 坐标会很方便.

$$\Delta_{\mathbb{P}^n} = \{ ([x_0: \dots: x_n], [y_0: \dots: y_n]) \mid (x_0: \dots: x_n) = (y_0: \dots: y_n) \}$$

☆ $(x_0: \dots: x_n) = (y_0: \dots: y_n)$ 的化为 MATRIX $\begin{cases} \text{RANK} \\ \text{Det} \end{cases}$

$$\begin{aligned} \Downarrow \\ \text{rank} \begin{pmatrix} x_0 & x_1 & \dots & x_n \\ y_0 & y_1 & \dots & y_n \end{pmatrix} = 1 &\Leftrightarrow \text{任意 } 2 \times 2 \text{ minors vanish} \\ &\Leftrightarrow x_i y_j - x_j y_i = 0 \quad \forall i, j \\ &\Leftrightarrow z_{ij} - z_{ji} = 0 \end{aligned}$$

$V(z_{ij} - z_{ji} : \forall i, j)$ closed in $\mathbb{P}^{(n+1)^2-1}$, 自然 closed in $\mathbb{P}^n \times \mathbb{P}^n = V(z_{ij} z_{kl} - z_{il} z_{kj} : \forall i, j, k, l)$.

Rank: (VERY USEFUL) $M \in M_{n \times m}(K)$.

$\text{rank}(M) \leq k \Leftrightarrow$ 任意 $(k+1) \times (k+1)$ minors vanish.

$\text{rank } M \geq k \Leftrightarrow \exists k \times k \text{-minor} \neq 0$

$\text{rank } M = k \Leftrightarrow \exists k \times k \text{-minor} \neq 0$
 $\forall (k+1) \times (k+1) \text{-minor} = 0$

• $X \subset \mathbb{P}^m, Y \subset \mathbb{P}^n$ are proj. var. then $X \times Y$ is proj. var.

pf: X, Y closed in $\mathbb{P}^m, \mathbb{P}^n$, resp. then $X \times Y$ closed in $\mathbb{P}^m \times \mathbb{P}^n$

$\mathbb{P}^m \times \mathbb{P}^n$ is proj. var. closed in $\mathbb{P}^{(m+1)(n+1)-1}$, so $X \times Y$ is also closed in $\mathbb{P}^{(m+1)(n+1)-1}$ and thus $X \times Y$ is a proj. var.

• Closed maps

Motivation: Noetherian spaces are all compact, hence $\mathbb{P}_{\mathbb{C}}^n$ and $\mathbb{A}_{\mathbb{C}}^n$ are compact under Zariski-topo. BUT $\mathbb{P}_{\mathbb{C}}^n$ is compact and $\mathbb{A}_{\mathbb{C}}^n$ is uncompact in classical topo. 所以需要引入新的拓扑概念紧性.

Def: A map $f: X \rightarrow Y$ between topo space is called closed if $f(A) \subseteq Y$ closed for any closed $A \subseteq X$.

• prop: projection map $\pi: \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$ is closed.

* 需要用到结论: For $V_p(f_1, \dots, f_n)$ f_i homo, 总可将 f_i 造成

齐相同次的. Key point: Check $V_p(f) = V_p(x_0^s f, x_1^s f, \dots, x_n^s f)$

$$\text{R.H.S.} = \bigcap_i V_p(x_i^s f) = \bigcap_i (V_p(x_i^s) \cup V_p(f)) = \left(\bigcap_i V_p(x_i^s) \right) \cup V_p(f) = V_p(f)$$

e.g. $V_p(f_1, f_2)$, $\deg f_1 < \deg f_2$. Let $s = \deg f_2 - \deg f_1$.

$$V_p(f_1, f_2) = V_p(x_0^s f_1, x_1^s f_1, \dots, x_n^s f_1, f_2)$$

Pf: **Idea**: 把某个条件用代数几何的语言描述出来

Any closed set X in $\mathbb{P}^n \times \mathbb{P}^m$ can be written as $X = V(f_1, \dots, f_r)$ where f_i are homo poly in segre coordinates (z_{ij}) with same degree (上面已证总能力到)

(一个关于 **Segre coord** 的 poly 视为 (x_i) or (y_i) 的 poly **degree** 相同, 因为出现一次 x_i 必出现一次 y_j .)

Let a be a pt.

$$a = (a_0 : \dots : a_m) \in \pi(X) \Leftrightarrow \exists (x, y) \in V(f_1, \dots, f_r), \text{ s.t. } \pi(x, y) = y = a$$

$$\Leftrightarrow \exists x, f_i(x, a) = 0, \forall i$$

令 $g_i = f_i(\cdot, a)$. 则 g_i 是 x 的 poly, $\deg g_i = \deg f_i$ over $x =: d$

$$a \in \pi(X) \Leftrightarrow \exists x, g_i(x) = 0, \forall i \Leftrightarrow V(g_1, \dots, g_r) \neq \emptyset$$

trick: $V(\dots) \neq \emptyset$ 不好用, $V(\dots) = \emptyset$ 才可以用 Hilbert null thm. 因此换成如下等价开式:

$$a \notin \pi(X) \Leftrightarrow V(g_1, \dots, g_r) = \emptyset \Leftrightarrow \sqrt{\langle g_1, \dots, g_r \rangle} = \langle 1 \rangle \text{ (用 } \langle \cdot \rangle \text{ 表示全空间)}$$

$$\text{or } \sqrt{\langle g_1, \dots, g_r \rangle} = \langle x_0, \dots, x_n \rangle \text{ (relevant ideal)}$$

$$\Leftrightarrow \exists k_i \in \mathbb{N} \text{ s.t. } x_i^{k_i} \in \langle g_1, \dots, g_r \rangle \text{ for all } i$$

$$\Leftrightarrow \exists k \text{ (取 } k = k_1 + k_2 + \dots + k_n \text{)}, \text{ s.t. } k[x_0, \dots, x_n]_k \subseteq \langle g_1, \dots, g_r \rangle$$

$$\Leftrightarrow \exists k, k[x_0, \dots, x_n]_k = \langle g_1, \dots, g_r \rangle_k \text{ (因为 } \langle g_1, \dots, g_r \rangle_k \subseteq k[x_0, \dots, x_n]_k \text{)}$$

n 个相乘

$$\Leftrightarrow \varphi : \underbrace{k[x_0, \dots, x_n]_{k-d} \times \dots \times k[x_0, \dots, x_n]_{k-d}}_{\text{(视为 } k\text{-vect space)}} \longrightarrow k[x_0, \dots, x_n]_k$$

$$(h_1, \dots, h_r) \longmapsto \sum_{\deg d} g_i h_i \longmapsto \deg k-d$$

is surjective as a k -linear map between vect space

$$\left(\begin{array}{l} \text{空间的基是每个分量放单项式} \quad \dim k[x_0, \dots, x_n]_k = \binom{n+k}{k} \\ \dim(k[x_0, \dots, x_n]_{k-d} \times \dots \times k[x_0, \dots, x_n]_{k-d}) = r \binom{n+k-d}{k-d} \end{array} \right)$$

只要算出 linear map 矩阵就知道 φ 是否 surj.

$$a \in \pi(X) \Leftrightarrow \text{matrix of } \varphi \text{ has rank } \binom{n+k}{k} \text{ (满秩)}$$

$$\pi(X) \text{ 的补集} \Leftrightarrow \exists \text{ minor of } \binom{n+k}{k} \times \binom{n+k}{k} \text{ with determinate } \neq 0$$

矩阵的每一个 entry 是 $a_0, \dots, a_m$ 的 poly with $\deg d$.

e.g. 取左边一个特殊的基 $(x_0^{k-d}, 0, 0, \dots, 0)$

$$\varphi(x_0^{k-d}, 0, \dots, 0) = g_0 x_0^{k-d} = f_0(\cdot, a) x_0^{k-d}$$

是与 a 有关的多项式.

$$\Leftrightarrow \det(a_0, \dots, a_m) \neq 0 \text{ 是开条件}$$

• Prop: The projection map $\pi : \mathbb{P}^n \times Y \rightarrow Y$ is **closed** for any var. Y

Pf: 分步证明 (aff 以及 var 拆成 aff.)

0 假设 $Y \subseteq \mathbb{A}^n$ is aff. 设 $Z \subseteq^{\text{closed}} \mathbb{P}^n \times Y$

Idea: aff. var. 嵌入到 $\mathbb{P}^m$ 中从而用 $\pi : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$ 是 closed 来证.

$$Z \subseteq^{\text{closed}} \mathbb{P}^n \times Y \subseteq \mathbb{P}^n \times \mathbb{A}^m \subseteq \mathbb{P}^n \times \mathbb{P}^m \xrightarrow{\pi} \mathbb{P}^m$$

Z 不是这里的闭集.

自己制造闭集. $\bar{Z}$ is closure of Z in $\mathbb{P}^n \times \mathbb{P}^m$. 则 $\pi(\bar{Z})$ closed.

由 $Z = \bar{Z} \cap \mathbb{P}^n \times Y$ ($A \subseteq B \subseteq X$
 $\bar{A}_B = \bar{A} \times B$ 包含 A 的最小 B 中闭集) , VERY USEFUL

得 $\pi(Z) = \pi(\bar{Z} \cap \mathbb{P}^n \times Y) = \pi(\bar{Z}) \cap Y$ 是 Y 中的闭集
 $\pi: \mathbb{P}^n \times Y \rightarrow Y$
 $\pi': \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$

② 假设 Y is not aff. $Y = \bigcup_i Y_i$, Y_i aff.

$\forall Z$ closed in $\mathbb{P}^n \times Y$, then $Z \cap \mathbb{P}^n \times Y_i$ is closed in $\mathbb{P}^n \times Y_i$

so $\pi(Z \cap \mathbb{P}^n \times Y_i)$ is closed in Y_i . Then

$\pi(Z) \cap Y_i = \pi(Z) \cap \pi(\mathbb{P}^n \times Y_i) = \pi(Z \cap \mathbb{P}^n \times Y_i)$ is closed in Y_i

Hence $\pi(Z) = \bigcup_i \pi(Z) \cap Y_i$ closed in Y X

Hence $Y \setminus \pi(Z) = \bigcup_i \underbrace{Y_i \setminus (\pi(Z) \cap Y_i)}_{\text{open in } Y_i} \Rightarrow \text{open in } Y \Rightarrow \pi(Z) \text{ closed.}$
 Y_i open in Y , so
 it's open in Y .

• Complete var: A var is called complete if the projection $\pi: X \times Y \rightarrow Y$ is closed for any var Y .

Exp (1) $\mathbb{P}^n$ is complete.

(2) In general topo, 紧空间闭子集是紧的.

In Zariski topo, complete space 的闭子集是 complete.

$Z \subseteq \underbrace{X}_{\text{closed}} \times \underbrace{Y}_{\text{complete}}$ w.i.s. $\pi: Z \times Y \rightarrow Y$ closed.

$\pi: Z \times Y \hookrightarrow X \times Y \xrightarrow{\pi_X} Y$, $\pi = \pi_X \circ \nu$. π_X is closed, ν is closed,
 so π is closed. (Why ν closed? $Z \subseteq_{\text{closed}} X$, $Y \subseteq_{\text{closed}} Y$ so $Z \times Y \subseteq_{\text{closed}} X \times Y$
 then any closed subset of $Z \times Y$ is still closed in $X \times Y$)

(3) Every proj. var. is complete (complete 空间闭子集 complete),
 BUT NOT all complete var is proj. var.

(4) A complex variety is compact in classical topo iff it's complete

(5) $\mathbb{A}^1$ is not complete (图像上显然, $\mathbb{A}^1$ 没有无穷远点)

$\pi: \underbrace{\mathbb{A}^1 \times \mathbb{A}^1}_{\mathbb{A}^2} \rightarrow \mathbb{A}^1$

$\pi(V(xy-1)) = \mathbb{A}^1 \setminus \{0\}$ is not closed

$V(xy-1)$
 closed in
 $\mathbb{A}^1 \times \mathbb{A}^1$

把 $\mathbb{A}^2$ 视为 $\mathbb{P}^2$ aff. patch 就显然了.

• Prop: $f: X \rightarrow Y$ is mor of var, X is complete then $f(X)$ is complete closed in Y . (In general topo, 紧集的像是紧的)

* 在 Zariski topo 下, $f(X)$ 不仅 complete, 而且 closed !

(1) Show $f(X)$ closed.

$X \xrightarrow{(id, f)} X \times Y \xrightarrow{\pi} Y$
 $x \mapsto (x, f(x)) \mapsto f(x)$

$\mathcal{F}_f = \{(x, f(x)) \in X \times Y\}$ is closed in $X \times Y$
 so $f(X)$ is closed in Y by π closed

(2) Show $f(X)$ complete, i.e., check $f(X) \times Z \rightarrow Z$ closed for $\forall Z$.

显然有交换图

$$\begin{array}{ccc}
 (x, z) & \xrightarrow{(f, id)} & (f(x), z) \\
 \downarrow \pi' & & \downarrow \pi \\
 x \times \mathbb{Z} & \xrightarrow{\quad} & f(x) \times \mathbb{Z} \\
 \downarrow \pi & & \downarrow \pi \\
 \mathbb{Z} & & \mathbb{Z}
 \end{array}$$

把一个未知的mor $f(x) \times \mathbb{Z} \rightarrow \mathbb{Z}$ 与已知性质的mor $x \times \mathbb{Z} \rightarrow \mathbb{Z}$ 联系起来

$\forall \text{ closed } W \subseteq f(x) \times \mathbb{Z}$
 $\pi(W) = \pi(f, id)^{-1}(W)$
 $\underbrace{\pi(f, id)^{-1}(W)}_{\text{closed}} \subseteq \underbrace{x \times \mathbb{Z}}_{\text{closed (X complete)}}$

• Prop: X : connected complete var. Then $\mathcal{O}_X(X) = k$

pf: $\forall f \in \mathcal{O}_X(X)$ has the form $f: X \rightarrow \mathbb{A}^1$.

X complete so $f(X)$ closed in $\mathbb{A}^1$. Closed sets in $\mathbb{A}^1$ has the form $\{\text{finite pts}\}$. So $f(X)$ is finite pts. X connected so $f(X)$ connected, hence $f(X)$ is a singlet, i.e., f is a const.

• Veronese embedding 把小 proj space 嵌到大 proj space.

pick $n, d \in \mathbb{N}_{>0}$.

Veronese embedding $F: \mathbb{P}^n \rightarrow \mathbb{P}^N$

$$x \mapsto (f_0(x) : \dots : f_N(x))$$

where $f_0, \dots, f_N \in k[x_0, \dots, x_n]$ 是所有 deg d monomials, 共有 $\binom{n+d}{n}$ 个.
 由于是从 0 开始计数, $N = \binom{n+d}{n} - 1$

* Well-defined: $f_0, \dots, f_N$ 包含 $x_0^d, \dots, x_n^d$, 因此无公共零点

* It's a mor: 每个分量是 deg d 多项式

* $F(\mathbb{P}^n)$ is a proj. var. in $\mathbb{P}^N$: $\mathbb{P}^n$ complete, so image of mor $F(\mathbb{P}^n) =: X$ closed in $\mathbb{P}^N$ thus it's proj. var. in $\mathbb{P}^N$.

* $F: \mathbb{P}^n \rightarrow X$ is an iso

Key point: 找到 F^{-1} 再证 F 与 F^{-1} 在每个 aff. patch 上是 mor (routine)

Consider open subset $D(x_i)$ of X , i.e., on this patch X has the form

So $\underbrace{x_j}_{\mathbb{P}^n \text{ coord}} = \frac{z(1-i)e_i + e_j}{z e_i} = \frac{x_j x_i^{d-1}}{x_i^d}$ $(\frac{f_0}{x_i^d} : \frac{f_1}{x_i^d} : \dots : \underbrace{1}_{i\text{-th}} : \dots : \frac{f_N}{x_i^d})$

* e.g. $\mathbb{P}^1 \rightarrow \mathbb{P}^{\binom{1+d}{1}-1} = \mathbb{P}^d$

$$(x_0 : x_1) \mapsto (x_0^d : x_0^{d-1} x_1 : \dots : x_1^d)$$

* Importance of Veronese embedding:

Coord in $\mathbb{P}^n$

Coord in $\mathbb{P}^N$

degree- d poly

$\rightsquigarrow$ linear poly

Example

• Prop: Let $X \subset \mathbb{P}^n$ be a proj var. and $f \in S(X)$ be homogeneous and non constant. Then $X \setminus V(f)$ is an aff. var.

* $\mathbb{P}^n$ 挖掉 hypersurface 都 aff.

pf: Let $F: \mathbb{P}^n \rightarrow \mathbb{P}^N$ be degree d Veronese map e.g. $V(a_0 x_0^d + \dots + a_n x_n^d)$, 则它在 F 下的像是 $V(a_0 z e_0 + \dots + a_n z e_n)$ 这是 $\mathbb{P}^N$ 中 hyperplane, Veronese embedding 的好处在于把方程变线性.

即 **Veronese** embedding 把 $\deg d$ hyperplane map 到 $\deg 1$ hyperplane.
 在集合 $\text{Aut}(\mathbb{P}^n)$ 中元素后, 总可假设 map 后的 hyperplane 满足 $z_0 = 0$.
 于是 $F(\mathbb{P}^n) \setminus \text{hyperplane} \subseteq D(z_0)$ is an aff.

• Grassmannians $G(k, n)$: k -dim linear spaces in K^n

Goal: Show $G(k, n)$ is a proj. var.

Idea: find embedding $G(k, n) \rightarrow \mathbb{P}^?$

To construct this embedding we introduce **alternating** tensor products

• Alternating tensor product

Def: V is a k -vector space. $k \in \mathbb{N}$. A k -fold **multilinear** map

$f: V^k \rightarrow W$ is called **alternating** if any $v_i = v_j$ for some $i \neq j$ we

have $f(v_1, \dots, v_k) = 0$

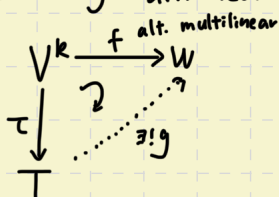
* 任何两个分量相同会被打到 0.

* 等价定义, 一次对换多一个负号 $f(\dots, v_j, \dots, v_i, \dots) = -f(\dots, v_i, \dots, v_j, \dots)$

i.e., $f(v_{\sigma(1)}, \dots, v_{\sigma(k)}) = \text{sgn}(\sigma) f(v_1, \dots, v_k)$

Def: (**Alternating tensor product**)

a k -fold tensor product of V is a vect space T with an k -fold alternating multilinear map $\tau: V^k \rightarrow T$ satisfying univ. prop:



* The image $\tau(v_1, \dots, v_k) =: v_1 \wedge v_2 \wedge \dots \wedge v_k$

* alt. tens. prod. of V exists, 可以证明 $T = \wedge^k V$, $\wedge^k V$ 是以

$\{1 \leq j_1 < j_2 < \dots < j_k \leq n \mid v_{j_1} \wedge v_{j_2} \wedge \dots \wedge v_{j_k}\}$ 为基的 linear space,

其中 $\{v_i\}_{i \in [1, n]}$ 是 V 的 basis.

* $\dim \wedge^k V = \binom{n}{k}$

* $\wedge^k V = \otimes^k V / L$, L is vector subspace of $V^{\otimes k}$ generated by $\langle v_1 \otimes \dots \otimes v_k \mid v_i = v_j \text{ for some } i \neq j \rangle$

* $\wedge^0 V \cong K \quad \wedge^1 V \cong V$

• Observation: $\dim \wedge^n K^n = \binom{n}{n} = 1$ so $\wedge^n K^n \cong K$

$\dim \wedge^2 K^3 = \binom{3}{2} = 3$ so $\wedge^2 K^3 \cong K^3$.

其中 $\wedge^n K^n \cong K$ given by determinant

任何 $\wedge^n K^n$ 中元 $v_1 \wedge v_2 \wedge \dots \wedge v_n = \det[v_1 \dots v_n] e_1 \wedge \dots \wedge e_n$

$\wedge^1 K^3 \cong K^3$ given by cross product

任何 $\wedge^3 \mathbb{K}^3$ 中元 $v_1 \wedge v_2 = (a_1 e_1 + a_2 e_2 + a_3 e_3) \wedge (b_1 e_1 + b_2 e_2 + b_3 e_3)$
 $= (a_1 b_2 - a_2 b_1) e_1 \wedge e_2 + (a_1 b_3 - a_3 b_1) e_1 \wedge e_3 + (a_2 b_3 - a_3 b_2) e_2 \wedge e_3$

系数来自于 v_1 与 v_2 组成矩阵的 2×2 -minor

$$\begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_3 \end{bmatrix}$$

从这个 observation 出发.

prop: Let $v_1, v_2, \dots, v_k \in \mathbb{K}^n$. Assume $v_i = \sum_{j=1}^n a_{ij} e_j$

Then $v_1 \wedge v_2 \wedge \dots \wedge v_k = \sum$ 对应余子式 $e_{j_1} \wedge \dots \wedge e_{j_k}$

pf:

$$v_1 \wedge v_2 \wedge \dots \wedge v_k = (a_{11} e_1 + \dots + a_{1n} e_n) \wedge (a_{21} e_1 + \dots + a_{2n} e_n) \wedge \dots \wedge (a_{k1} e_1 + \dots + a_{kn} e_n)$$

$$= \sum a_{1j_1} a_{2j_2} \dots a_{kj_k} e_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_k}$$

只要对互不相同项求和

$$= \sum_{1 \leq j_1 \neq j_2 \neq \dots \neq j_k \leq n} a_{1j_1} a_{2j_2} \dots a_{kj_k} e_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_k}$$

$$= \sum_{1 \leq j_1 < j_2 < \dots < j_k} \sum_{\sigma \in S_k} \text{sgn}(\sigma) a_{1j_{\sigma(1)}} \dots a_{kj_{\sigma(k)}} e_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_k}$$

行列式的表达式.

$$\sum_{1 \leq j_1 \neq j_2 \neq \dots \neq j_k \leq n} a_{1j_1} a_{2j_2} \dots a_{kj_k} e_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_k} \text{ 是 } j_1, \dots, j_k \text{ 的全部可能排列}$$

也即取定从小到大排列的一组数

$$\sum_{1 \leq j_1 < j_2 < \dots < j_k \leq n} a_{1j_{\sigma(1)}} a_{2j_{\sigma(2)}} \dots a_{kj_{\sigma(k)}} e_{j_{\sigma(1)}} \wedge e_{j_{\sigma(2)}} \wedge \dots \wedge e_{j_{\sigma(k)}}$$

↓ 用 $\text{sgn}(\sigma)$ 整理成按序排

$$= \sum_{1 \leq j_1 < j_2 < \dots < j_k \leq n} a_{1j_{\sigma(1)}} a_{2j_{\sigma(2)}} \dots a_{kj_{\sigma(k)}} \sum_{\sigma \in S_k} \text{sgn}(\sigma) e_{j_1} \wedge e_{j_2} \wedge \dots \wedge e_{j_k}$$

Slogan: Wedge 基展开系数是原向量矩阵 minors.

• Plücker embedding $G(k, n) \rightarrow \mathbb{P}^{\binom{n}{k}-1}$

Lemma 1, 2 对于构造 Plücker embedding 非常重要.

Lemma 1: $v_1, \dots, v_k \in \mathbb{K}^n$ for some $k \leq n$. Then

$v_1 \wedge v_2 \wedge \dots \wedge v_k = 0$ iff $v_1, \dots, v_k$ are Lin. dep.

pf: Idea: Use minors to compute Wedge.

Let $v_i = \sum_{j=1}^n a_{ij} e_j, i \in [1, k]$

$$v_1 \wedge \dots \wedge v_k = 0 \Leftrightarrow \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{k1} & a_{k2} & \dots & a_{kn} \end{bmatrix} =: A \text{ 的所有 } k \text{ 阶 minors 为 } 0 \Leftrightarrow \text{rank } A < k$$

$\Leftrightarrow v_1 \dots v_k \text{ Lin. dep.}$

Lemma 2: $v_1, \dots, v_k \in \mathbb{K}^n$ and $w_1, \dots, w_k \in \mathbb{K}^n$ both be Lin. ind.

Then

$$v_1 \wedge \dots \wedge v_k \text{ and } w_1 \wedge \dots \wedge w_k \text{ Lin. ind. in } \wedge^k \mathbb{K}^n$$

(基向量)

$\Leftrightarrow$

$$\text{Lin}(v_1, \dots, v_k) = \text{Lin}(w_1, \dots, w_k)$$

Pf:

⇒ Assume $w_1 \wedge \dots \wedge w_k \approx c v_1 \wedge \dots \wedge v_k$

正推不容易, 我们去找等价条件.

$$v_i \in \text{Lin}(w_1, \dots, w_k) \iff v_i, w_1, \dots, w_k \text{ Lin. dep.} \iff v_i \wedge w_1 \wedge \dots \wedge w_k = 0$$

$$\iff \underline{v_i \wedge v_1 \wedge \dots \wedge v_k \cdot c = 0} \text{ 出现两个 } v_i, \text{ 恒成立. } \implies \text{Lin}(v_1, \dots, v_k) \subseteq \text{Lin}(w_1, \dots, w_k)$$

同王里有另一边包含.

⇐ Assume $\text{Lin}(v_1, \dots, v_k) = \text{Lin}(w_1, \dots, w_k)$

$$\text{Then } v_i = c_{i1}w_1 + \dots + c_{ik}w_k$$

⋮

$$v_k = c_{k1}w_1 + \dots + c_{kk}w_k$$

$$\implies v_1 \wedge \dots \wedge v_k = \begin{vmatrix} c_{11} & \dots & c_{1k} \\ \vdots & & \vdots \\ c_{k1} & \dots & c_{kk} \end{vmatrix} w_1 \wedge \dots \wedge w_k$$

矩阵可逆, $\det \neq 0$ 故二者 Lin. dep.

* **Slogan**: Wedge 刻画 ① 是否 Lin. ind. (wedge $\neq 0$)
② 是否张成相同空间 (wedge 等于非 0 系数)

Δ Plücker embedding: $f: G(k, n) \longrightarrow \mathbb{P}^{\binom{n}{k}-1}$

$$\text{Lin}(v_1, \dots, v_k) \mapsto v_1 \wedge \dots \wedge v_k \in \wedge^k K^n \cong K^{\binom{n}{k}}$$

$\text{Lin}(v_1, \dots, v_k) \in G(k, n)$ 在 $\mathbb{P}^{\binom{n}{k}-1}$ 中的 plücker coordinate 是 $k \times k$ -minors of matrix whose rows are $v_1, v_2, \dots, v_k$ 用基展开的系数.
(maximal minors)

* Well-defined: If $v_1 \wedge \dots \wedge v_k = 0 \Rightarrow v_1, \dots, v_k$ Lin. dep. $\Rightarrow \dim \text{Lin}(v_1, \dots, v_k) < k$
 $\Rightarrow \text{Lin}(v_1, \dots, v_k) \notin G(k, n)$

$$\text{If } \text{Lin}(w_1, \dots, w_k) = \text{Lin}(v_1, \dots, v_k) \Rightarrow w_1 \wedge \dots \wedge w_k = \lambda v_1 \wedge \dots \wedge v_k, \lambda \in K^*$$

$$\Rightarrow w_1 \wedge \dots \wedge w_k = v_1 \wedge \dots \wedge v_k \text{ in } \mathbb{P}^{\binom{n}{k}-1} = K^{\binom{n}{k}} / K^*$$

* f inj: $v_1 \wedge \dots \wedge v_k = w_1 \wedge \dots \wedge w_k \Rightarrow \text{Lin}(v_1 \wedge \dots \wedge v_k) = \text{Lin}(w_1 \wedge \dots \wedge w_k)$

只要再证 Imf closed in $\mathbb{P}^{\binom{n}{k}-1}$ 即可证明 $G(k, n)$ 是 proj. var.

• $G(k, n)$ is a proj. var.

* Examples convinced this fact:

$$G(1, n) \longrightarrow \mathbb{P}^{\binom{n}{1}-1} = \mathbb{P}^{n-1}$$

$$\text{Lin}(a_1 e_1 + \dots + a_n e_n) \mapsto (a_1 : \dots : a_n) \implies G(1, n) = \mathbb{P}^{n-1}$$

* Idea of showing Imf closed: Imf = "pure wedges" ← find equation to describe pure wedge

Δ Lemma: For a fixed non-zero $\omega \in \wedge^k K^n$ with $k < n$, consider

k -linear map $g: K^n \rightarrow \wedge^{k+1} K^n, v \mapsto v \wedge \omega$

Then $\text{rk } g \geq n - k$. The equation holds iff $\omega = v_1 \wedge \dots \wedge v_k$ for some $v_1, \dots, v_k \in K^n$
 ω is "pure" or in image of plücker embedding

Pf:

$$\boxed{\text{rk } g + \dim \ker g = n}$$

Assume $v_1, \dots, v_r$ are basis of $\ker g$. Extend them to basis of K^n

$v_1, v_2, \dots, v_r, v_{r+1}, \dots, v_n$. $\omega \in \wedge^k K^n$, so we assume

$$\omega = \sum_{1 \leq j_1 < \dots < j_k \leq n} a_{j_1, \dots, j_k} v_{j_1} \wedge \dots \wedge v_{j_k}. \text{ 由于 } \omega \neq 0, \exists a_{j_1, \dots, j_k} \neq 0.$$

由于 $v_i \in \ker g$, we have $v_i \wedge \omega = 0 = \sum_{(j_1, \dots, j_k)} a_{j_1, \dots, j_k} v_i \wedge v_{j_1} \wedge \dots \wedge v_{j_k}$

When $(j_1, \dots, j_k)$ ranges, there are two cases (1) $i \in \{j_1, \dots, j_k\}$
 (2) $i \notin \{j_1, \dots, j_k\}$

- (1) If $i \in \{j_1, \dots, j_k\}$, then $v_i \wedge v_{j_1} \wedge \dots \wedge v_{j_k} = 0$. $a_{j_1, \dots, j_k}$ can be nonzero
 (2) $v_i \wedge \omega$ 留下非0项:

$$v_i \wedge \omega = 0 = \sum_{i \in \{j_1, \dots, j_k\}} a_{j_1, \dots, j_k} v_i \wedge v_{j_1} \wedge \dots \wedge v_{j_k}$$

 basis in $\wedge^{k+1} K^n$ $\rightarrow$ so they are all Lin. ind.!

Hence $a_{j_1, \dots, j_k} = 0$ for $\forall (j_1, \dots, j_k) \ni i$

以上分析对 $i \in [1, r]$ 都成立. 故

Conclusion: 只有满足 $\{1, \dots, r\} \subseteq \{j_1, \dots, j_k\}$ 的 $(j_1, \dots, j_k)$ 才有

$a_{j_1, \dots, j_k} \neq 0$. 已知 $\exists a_{j_1, \dots, j_r} \neq 0$, 故 $\{j_1, \dots, j_r\} \supseteq \{1, \dots, r\}$

于是 $r = \dim \ker g \leq k$. 即 $\text{rk } g = n - \dim \ker g = n - r \geq n - k$.

若等号成立, $r = \dim \ker g = k \Rightarrow \exists \{j_1, \dots, j_k\} = \{1, \dots, r\}$.

则 $\omega = a_{1, \dots, k} v_1 \wedge \dots \wedge v_k$ pure.

key pt: ω 只留下 $\{j_1, \dots, j_k\} \supseteq \{1, \dots, r\}$ terms.

Exp: $k=2, n=4$

(1) $\omega = e_1 \wedge e_2$.

$$\begin{aligned} g(a_1 e_1 + \dots + a_4 e_4) &= (a_1 e_1 + \dots + a_4 e_4) \wedge e_1 \wedge e_2 \\ &= a_3 e_1 \wedge e_2 \wedge e_3 + a_4 e_1 \wedge e_2 \wedge e_4 \\ &\quad \text{只留下含 } e_1, e_2 \text{ terms. (这时 } \ker g = \text{Lin}(e_3, e_4)) \end{aligned}$$

$$\text{rk } g = 2 = n - k$$

(2) $\omega = e_1 \wedge e_2 + e_3 \wedge e_4$

$$\begin{aligned} g(a_1 e_1 + \dots + a_4 e_4) &= (a_1 e_1 + \dots + a_4 e_4) \wedge (e_1 \wedge e_2 + e_3 \wedge e_4) \\ &= a_1 e_1 \wedge e_3 \wedge e_4 + a_2 e_2 \wedge e_3 \wedge e_4 + a_3 e_1 \wedge e_2 \wedge e_3 + a_4 e_1 \wedge e_2 \wedge e_4 \\ \text{rk } f &= 4 > n - k \end{aligned}$$

Δ The image of plücker embedding is closed in $\mathbb{P}^{\binom{n}{k}-1}$

Pf: 若 $\omega \in \wedge^k K^n \cong K^{\binom{n}{k}}$. $[\omega] \in \mathbb{P}^{\binom{n}{k}-1}$
 modulo K^*

$[\omega] \in \text{Im } f \Leftrightarrow \omega$ is pure $\Leftrightarrow g: K^n \rightarrow \wedge^{k+1} K^n \quad v \mapsto v \wedge \omega$ has $\text{rk } g = n - k$

$\Leftrightarrow$ all $(n-k+1)$ -minors of matrix g are zeros, since $\text{rk } g \geq n - k$
 They are all homo polys

So those ω forms a closed set, i.e., plücker embedding is closed.

Exp: $G(2, 4) = V_p(?)$ in $\mathbb{P}^{\binom{4}{2}-1} = \mathbb{P}^5$

$$\begin{aligned} g: K^4 &\rightarrow \wedge^3 K^4 \\ v &\mapsto v \wedge \omega \end{aligned}$$

$$\omega = a_{12} e_1 \wedge e_2 + a_{13} e_1 \wedge e_3 + a_{14} e_1 \wedge e_4 + a_{23} e_2 \wedge e_3 + a_{24} e_2 \wedge e_4 + a_{34} e_3 \wedge e_4$$

Coordinates of $G(2, 4)$ in $\mathbb{P}^5$

★ **key:** 把 $\mathbb{P}^5$ 视作 $\wedge^2 K^4 / K^*$

$$e_1 \mapsto e_1 \wedge \omega = a_{23} e_1 \wedge e_2 \wedge e_3 + a_{24} e_1 \wedge e_2 \wedge e_4 + a_{34} e_1 \wedge e_3 \wedge e_4$$

$$\begin{aligned}
v_1 &\mapsto v_1 \wedge v_2 \\
e_1 &\mapsto a_{23} e_1 \wedge e_2 \wedge e_3 + a_{24} e_1 \wedge e_2 \wedge e_4 + a_{34} e_1 \wedge e_3 \wedge e_4 \\
e_2 &\mapsto -a_{13} e_1 \wedge e_2 \wedge e_3 - a_{14} e_1 \wedge e_2 \wedge e_4 + a_{34} e_1 \wedge e_3 \wedge e_4 \\
e_3 &\mapsto a_{12} e_1 \wedge e_2 \wedge e_3 - a_{14} e_1 \wedge e_3 \wedge e_4 - a_{24} e_2 \wedge e_3 \wedge e_4 \\
66 \quad e_4 &\mapsto a_{12} e_1 \wedge e_2 \wedge e_4 + a_{13} e_1 \wedge e_3 \wedge e_4 + a_{23} e_2 \wedge e_3 \wedge e_4
\end{aligned}$$

$$\begin{array}{c}
e_1 \wedge e_2 \wedge e_3 \quad e_1 \wedge e_2 \wedge e_4 \quad e_1 \wedge e_3 \wedge e_4 \quad e_2 \wedge e_3 \wedge e_4 \\
\begin{bmatrix}
a_{23} & a_{24} & a_{34} & 0 \\
-a_{13} & -a_{14} & 0 & a_{34} \\
a_{12} & 0 & -a_{14} & -a_{24} \\
0 & a_{12} & a_{13} & a_{23}
\end{bmatrix}
\end{array}$$

这个矩阵所有 $(n-k+1)$ -minors 为 0
共有 16 条 equs.

故 $G(2,4) = V_p(\text{all } 3\text{-minors})$

事实上这 16 条 equs 是冗余的, 只要一条方程就可以. 这带来一个麻烦: 用这种方法并不能清楚地看到 $\dim G(k,n) = ?$

• Compute $\dim G(k,n) = k(n-k)$

Idea: Note that we have this prop:

If $\{U_i\}_{i \in I}$ is an open cover of X then $\dim X = \sup \{\dim U_i \mid i \in I\}$

我们将 $G(k,n)$ 用 open aff. patch cover, 就可以计算 $\dim G(k,n)$.

$G(k,n) \subseteq \mathbb{P}^{\binom{n}{k}-1} = \bigcup U_i \leftarrow$ has aff. cover.

so $G(k,n) = \bigcup U_i \cap G(k,n)$ also an aff. cover of $G(k,n)$.

Let's look more carefully on these aff. patch.

$v_1 \wedge \dots \wedge v_k \in f(G(k,n)) \subseteq \mathbb{P}^{\binom{n}{k}-1}$ 的 plücker coord 是

$$\begin{aligned}
&(a_{12 \dots k}; a_{12 \dots k-1, k+1} \dots) \\
&\quad \downarrow \text{第 } 1, 2, \dots, k \text{ 列组成的子矩阵行列式} \\
\begin{bmatrix} v_1 \\ \vdots \\ v_k \end{bmatrix} = \begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \dots & a_{kn} \end{bmatrix} \begin{bmatrix} e_1 \\ \vdots \\ e_n \end{bmatrix}
\end{aligned}$$

因此 $f(G(k,n))$ 的 aff. patch 是某个 k -minor 非 0.

规定 U_0 是第 1 至 k 列组成的子矩阵的行列式. 则 $f(G(k,n))$ 的 patch 是 $[A \ B]$.
with A invertible. 转到 $G(k,n)$ 中,

$G(k,n)$ 的 aff. patch U_0 是 $\text{Lin} \begin{bmatrix} v_1 \\ \vdots \\ v_k \end{bmatrix} = \text{row span of } [A \ B]$

$$= \text{row span of } \begin{bmatrix} I_k & C \end{bmatrix} \text{ where } C = A^{-1}B \in M_{(n-k) \times k}$$

Hence $U_0 \cong \mathbb{A}^{k(n-k)}$

$G(k,n)$ 的每一片 patch 都同构于 $\mathbb{A}^{k(n-k)}$, 因此 $\dim G(k,n) = k(n-k)$.

* Moreover $G(k,n)$ is irr.

Fact: $\{U_i\}_{i \in I}$ be an open cover of topo space X and $U_i \cap U_j \neq \emptyset$ for all i, j . Then if U_i is irr for all $i \in I$, we have X is irr.

Since $\mathbb{A}^{k(n-k)}$ is irr (open set in irr $\mathbb{P}^{k(n-k)}$) so $G(k,n)$ is irr.

* $G(k,n) = \{\text{full rank } k \times n \text{ matrix}\} / GL(k)$; 表示 row transformation.

$$\text{Lin} \begin{bmatrix} v_1 \\ \vdots \\ v_k \end{bmatrix} = \text{row span } [A \ B] \xrightarrow[\text{rank } k]{\text{行变换不改变 row span}} \text{row span 行梯型矩阵}$$

$$\text{Exp: } G(1,2) = \{\text{full rank } 1 \times 2 \text{ matrix}\} / GL(1) = \{ \begin{pmatrix} 1 & * \end{pmatrix}, \begin{pmatrix} 0 & 1 \end{pmatrix} \mid * \in K \}$$

$U_0 = \{(I \ C) \mid C \in M_{(n-k) \times k}\}$ is an aff. space patch of $G(k,n)$

$$\left\{ \begin{pmatrix} 1 & * & 0 & 0 & * \\ 0 & 1 & 0 & 0 & * \\ 0 & 0 & 1 & 0 & * \\ 0 & 0 & 0 & 1 & * \end{pmatrix} \right\} \text{ is also an aff. space of } G(4,6)$$

* 注意 $G(k,n)$ 不只含 $\{(I \ C)\}$, 这只是一个 patch!

- For all $0 \leq k < n$, we have $G(k, n) \cong G(n-k, n)$

Idea: Construct iso.

Pf: $\varphi_k: G(k, n) \longrightarrow G(n-k, n)$

$$L = (I_k C) \longmapsto L^\perp \quad (L \text{ 的正交补})$$

bijection is obvious: $(L^\perp)^\perp = L$. 下证 φ 是 mor (同理可证 φ^{-1} 也是 mor, 只要把 k 换成 $n-k$). 需要把 $L^\perp$ 的形式算出来.

经过硬凑, 发现 $(I_k C) \begin{pmatrix} -C^T \\ I_{n-k} \end{pmatrix} = 0$. 故 $L^\perp = (-C^T \ I_{n-k})$

φ 可以看作 $\mathbb{A}^{n(n-k)} \rightarrow \mathbb{A}^{k(n-k)}$ 的 map

$$C \longmapsto -C^T$$

$-C^T$ 的每个分量是 C_{ij} 的 deg 1 poly, 因此是 mor.

每一片 patch 上 iso 粘出整个 iso.

- Birational maps: **Almost** the same (contain iso open sets though var are not iso)

* Exps for non iso vars containing iso open sets (examples for birational)

$$(1) \mathbb{P}^n \times \mathbb{P}^m \not\cong \mathbb{P}^{n+m}. \quad \mathbb{P}^n \times \mathbb{P}^m \supseteq \mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}^{n+m} \subseteq \mathbb{P}^{n+m}$$

$$(2) G(k, n) \supseteq \mathbb{A}^{k(n-k)} \subseteq \mathbb{A}^{k(n-k)}$$

(3)

$$\underbrace{\quad}_{V(x_1^2 - x_1^3)} \not\cong \text{---} \mathbb{A}^1$$

把 singular pt 挖掉 iso to $\mathbb{A}^1 \setminus 0$

- Rational maps $\rightarrow$ 非常有用, 开集相交非空

Δ Def: X, Y : **irr** var. A rational map f from X to Y , written $f: X \dashrightarrow Y$, is a mor $f: U \rightarrow Y$. We say $f_1, f_2: X \dashrightarrow Y$ defined on U_1 resp. U_2 are the same if $f_1 = f_2$ on a non-empty open subset of $U_1 \cap U_2$.

* $f: X \dashrightarrow Y$ defined on U 也记作 $f: U \rightarrow Y$.

$$* \text{Exp: } f_1: \mathbb{A}^1 \setminus \{0\} \longrightarrow \mathbb{A}^1, x \mapsto \frac{1}{x}$$

$f_1 = f_2$ as rational maps.

$$f_2: \mathbb{A}^1 \setminus \{0, 1\} \longrightarrow \mathbb{A}^1, x \mapsto \frac{1}{x}$$

* 这是等价关系. 反身性、对称性显然. check 传递性:

$f_1: U_1 \rightarrow Y$ agree with $f_2: U_2 \rightarrow Y$ on an open set $U_{12} \overset{\text{open}}{\subseteq} U_1 \cap U_2$

$f_2: U_2 \rightarrow Y$ agree with $f_3: U_3 \rightarrow Y$ on an open set $U_{23} \overset{\text{open}}{\subseteq} U_2 \cap U_3$

$U_{12} \cap U_{23} \overset{\text{open}}{\subseteq} U_1 \cap U_2 \cap U_3 \subseteq U_1 \cap U_3$. 只要证 $U_{12} \cap U_{23} \neq \emptyset$.

X irr, $U_1 \cap U_3 \overset{\text{open}}{\subseteq} X \Rightarrow U_1 \cap U_3$ irr. $U_{12} \overset{\text{open}}{\subseteq} U_1 \cap U_3, U_{23} \overset{\text{open}}{\subseteq} U_1 \cap U_3$

$\xrightarrow{U_{12} \cap U_{23} \text{ irr}} U_{12} \cap U_{23} \neq \emptyset$.

* $f_1: U_1 \rightarrow Y, f_2: U_2 \rightarrow Y$ are the same $\Rightarrow f_1|_{U_1 \cap U_2} = f_2|_{U_1 \cap U_2}$.

Pf: Let $W = \{x \in U_1 \cap U_2 \mid f_1(x) = f_2(x)\}$.

$= i^{-1}(\Delta_Y)$ is closed in $U_1 \cap U_2$, where $i: U_1 \cap U_2 \xrightarrow{(f_1, f_2)} Y \times Y$
 $x \longmapsto (f_1(x), f_2(x))$

f_1 and f_2 are the same $\Rightarrow \exists$ open set $U \subseteq U_1 \cap U_2$, $f_1|_U = f_2|_U$

Obviously $U \subseteq W \subseteq U_1 \cap U_2$.
 $\xrightarrow{\text{open}}$

Since $W \stackrel{\text{closed}}{\subseteq} U_1 \cap U_2$, $\bar{U} \subseteq W$. However U is an open set in $U_1 \cap U_2$, so $\bar{U} = U_1 \cap U_2$. Then $U_1 \cap U_2 = \bar{U} \subseteq W$ meaning that $U_1 \cap U_2 \subseteq W$. So $W = U_1 \cap U_2$, i.e., $f_1|_{U_1 \cap U_2} = f_2|_{U_1 \cap U_2}$.

• Define **birational**

* Intuitively, X and Y are birational if

$\exists f: X \dashrightarrow Y$, $g: Y \dashrightarrow X$ with $f \circ g = \text{id}_Y$, $g \circ f = \text{id}_X$

But it may run to problems in some case:

$f: X \dashrightarrow Y$ with $f(X)$ closed in Y and

$g: Y \dashrightarrow X$ is defined on $Y \setminus f(X)$.

$\leadsto g \circ f$ is meaningless!

Hence we need concept "dominant" **just** ensure composition is well-def.

Δ Def: (Dominant) $f: X \dashrightarrow Y$ is called dominant

if (TFAE) • $f(X)$ dense in Y for all representations

• $f(X)$ contains a nonempty open subset of Y for all rep.

$$U \xrightarrow{f} Y \xrightarrow{g} Z$$

• f dominant, so
 $\exists V \subseteq f(U)$, $V \subseteq Y$

$W \cap V \neq \emptyset$,
 Since Y irr

• assume g defined
 on $W \subseteq Y$

Δ A rational map $f: X \dashrightarrow Y$ is called birational if f is dominant and $\exists$ dominant $g: Y \dashrightarrow X$ with $g \circ f = \text{id}_X$ and $f \circ g = \text{id}_Y$

* We say X and Y are birational if $\exists$ birational $f: X \dashrightarrow Y$

* If X is birational to a proj. space $\mathbb{P}^n$ we call X is rational.

Δ two irr var are birational $\Leftrightarrow$ they contain iso. non-empty open sets.

$\Rightarrow f: X \dashrightarrow Y$ birational defined on U with inverse $g: Y \dashrightarrow X$ defined on V , then $U \cap f^{-1}(V)$ iso to $V \cap g^{-1}(U)$ by f and g .

$\Leftarrow X \supseteq U \supseteq V \subseteq Y$ $f: X \dashrightarrow Y$ defined on U is birational.

Δ Fact: Birational irr var have the same dimension.

Δ Example of birational maps — Rational functions and function fields

* A rational function on X is a rational function $\varphi: X \dashrightarrow \mathbb{A}^1$

given by $\varphi \in \mathcal{O}_X(U)$ on some $U \subseteq X$. The set of all rational functions on X will be denoted by $K(X)$.

a field $\varphi_1 + \varphi_2$, $\varphi_1 \cdot \varphi_2$ defined on $U_1 \cap U_2$

* $\emptyset \neq U \subseteq X$, then $K(U) \cong K(X)$ iso is obvious.
 - φ_1 on U_1 and φ_1^{-1} on $U_1 \setminus V(\varphi_1)$

我们总可取 aff. open $U \subseteq X$, 于是问题变成 $K(\text{aff.})$ 是什么?

U aff. open in irr $X \Rightarrow U$ is irr $\Rightarrow A(U)$ is a domain

Then $K(U)$ 是 $A(U)$ 的分式域. (Reason: U 可以写成 Dff 之并.

$K(U) \cong K(\text{Dff})$. Dff 上 function 永远可以写成 $\frac{a}{b}$)

- Blow up: a **general** procedure 把 irr var 变成与原来 birational 的新 irr. var.

Δ Def: Let $X \subseteq \mathbb{A}^n$ an aff. var. For some given $f_1, \dots, f_r \in A(X)$ set $U = X \setminus V(f_1, \dots, f_r)$, there is a well-defined mor

$$f: U \longrightarrow \mathbb{P}^{r-1}, x \mapsto (f_1(x):f_2(x):\dots:f_r(x))$$

Consider $\Sigma_f = \{(x, f(x)) \mid x \in U\} \subseteq U \times \mathbb{P}^{r-1}$ which is closed in $U \times \mathbb{P}^{r-1}$

The closure of Σ_f in $X \times \mathbb{P}^{r-1}$ is called blow up of X at $f_1, \dots, f_r$ denoted by $\tilde{X}$. There is **projection** $\pi: \tilde{X} \rightarrow X$

$$\Delta (1) \Sigma_f = \tilde{X} \cap U \times \mathbb{P}^{r-1}$$

$$(2) \pi: \tilde{X} = \Sigma_f \cup \tilde{X} \setminus \Sigma_f \longrightarrow X = U \cup X \setminus U$$

iso not iso

$$\star \tilde{X} = \bar{U} = \overline{\Sigma_f}$$

* $\Sigma_f \cong U$ be a dense open subset.

如我们所愿, 我们造了一个 $\tilde{X}$ birational to X (iso on an open subset)

Note that: we sometimes identify Σ_f as U (view $U \subseteq \tilde{X}$)

Δ Blow up of subvar. Let Y be a closed var of X .

$U \cap Y = Y \setminus V(f_1, \dots, f_r)$ $\tilde{Y}$ is the closure of $\Sigma_{f|_{U \cap Y}}$ in $Y \times \mathbb{P}^{r-1}$,

where $f|_{U \cap Y}: U \cap Y \rightarrow \mathbb{P}^{r-1}$

(1) $\tilde{Y}$ is closed in $\tilde{X}$. It is called **strict transform** of Y in $\tilde{X}$.

More-over

$$\begin{aligned} \tilde{Y} &\overset{\text{closed}}{\subseteq} Y \times \mathbb{P}^{r-1} \overset{\text{closed}}{\subseteq} X \times \mathbb{P}^{r-1} \Rightarrow \tilde{Y} \text{ closed in } X \times \mathbb{P}^{r-1} \\ \Rightarrow \tilde{Y} &= \tilde{Y} \cap \tilde{X} \overset{\text{closed}}{\subseteq} \tilde{X} \\ &\quad \uparrow \\ &\quad \text{closed in } X \times \mathbb{P}^{r-1} \end{aligned}$$

(2) $\tilde{Y}$ is **closure** of $Y \cap U$ in $\tilde{X}$.

$$\overline{Y \cap U}_{\tilde{X}} = \overline{Y \cap U}_{\tilde{Y}} = \tilde{Y}$$

$\uparrow$
 $\tilde{Y}$ closed in $\tilde{X}$

* If $X = \bigcup_i X_i$ irr decomposition, $\tilde{X} = \bigcup_i \tilde{X}_i$

$\tilde{X}_i$ is closure of $X_i \cap U$ in $\tilde{X}$. 取 closure 与取有限并对易.

Δ Exp: Compute by taking closure

$$\uparrow = 1. \quad U = X \setminus V(f_1). \quad \tilde{X} \subseteq X \times \mathbb{P}^0 \cong X.$$

so $\tilde{X}$ is closure of U in X (under iso)

$$(1) f_1 \neq 0. \quad \bar{U} = X = \tilde{X}.$$

$$(2) f_1 = 0 \quad U = X \setminus V(0) = \emptyset \quad \tilde{X} = \bar{U} = \emptyset.$$

• Computation — Description not refer to taking closures.

Δ Lemma: The blow up $\tilde{X}$ of an aff. var X at $f_1, \dots, f_r \in A(X)$

satisfies $\tilde{X} \subseteq \{(x, y) \in X \times \mathbb{P}^{r-1} \mid y_i f_j(x) = y_j f_i(x) \text{ for all } i, j = 1, \dots, r\}$
 $=: Z$

pf: $\overline{\Gamma_f} = \{(x, [f_1(x), \dots, f_r(x)])\}$ 显然满足方程 ($y_i = f_i(x)$)

Z closed in $X \times \mathbb{P}^{r-1}$ so $\tilde{X} = \overline{\Gamma_f} \subseteq Z$.

Δ Exp: Blow up of $\mathbb{A}^n$ at the coord. funs.

* Lemma 1: $X \subseteq^{\text{closed}} Y$, X, Y irr, $\dim X = \dim Y \Rightarrow X = Y$

pf If $X \subsetneq Y$, then by def of dim, we have

$$\dots \subsetneq X_1 \subsetneq Y \quad \dim Y \geq \dim X + 1 \rightarrow \leftarrow$$

* Lemma 2: If X is irr, then $\tilde{X}$ is irr.

$X = \overline{U}^X$, X irr so U is irr. Then $\overline{U}^{\tilde{X}} = \tilde{X}$ irr. (U irr $\Leftrightarrow \overline{U}$ irr)

* $X = \mathbb{A}^n$, $f_1 = x_1, f_2 = x_2, \dots, f_n = x_n$.

$$\tilde{\mathbb{A}}^n \subseteq \{(x, [y_1, \dots, y_n]) \in X \times \mathbb{P}^{n-1} \mid y_j x_i = x_j y_i, \forall i, j\} =: Z$$

Z has an aff. open cover: $Z \cap \{y_i \neq 0\}$

We can pick $y_i = 1$, then $Z \cap \{y_i \neq 0\} = \{(x, [y_1, \dots, 1, \dots, y_n]) \in X \times \mathbb{P}^{n-1} \mid y_j x_i = x_j y_i, \forall j\}$

从 eqn 中 梳理 真正的变量

$$U_i = Z \cap \{y_i \neq 0\} = \{(y_1 x_i, y_2 x_i, \dots, y_n x_i), [y_1, \dots, \frac{y_i}{1}, \dots, y_n]\}$$

$$\cong \{(x_i, y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_n)\} \cong \mathbb{A}^n$$

$$\begin{cases} U_i \cap U_j \neq \emptyset \\ Z = \bigcup U_i \text{ is an open cover} \end{cases} \Rightarrow \dim Z = \sup \dim U_i = n$$

$$\begin{cases} U_i \cap U_j \neq \emptyset \\ Z = \bigcup U_i \text{ is an open cover} \\ U_i \cong \mathbb{A}^n \text{ irr} \end{cases} \Rightarrow Z \text{ is irr}$$

$\mathbb{A}^n$ birational to $\tilde{\mathbb{A}}^n$ so $\dim \tilde{\mathbb{A}}^n = \dim \mathbb{A}^n = n$.

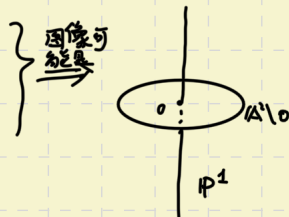
$\mathbb{A}^n$ is irr so $\tilde{\mathbb{A}}^n$ is irr. Then $\begin{cases} \tilde{\mathbb{A}}^n \subseteq^{\text{closed}} Z \\ \tilde{\mathbb{A}}^n, Z \text{ irr} \\ \dim \tilde{\mathbb{A}}^n = n = \dim Z \end{cases} \Rightarrow \tilde{\mathbb{A}}^n = Z$

Question: What's the picture of $\tilde{\mathbb{A}^2}$?

* Consider $\pi: \tilde{\mathbb{A}^2} \rightarrow \mathbb{A}^2$, where $\tilde{\mathbb{A}^2} = Z = \{(x, y) \in \mathbb{A}^2 \times \mathbb{P}^1 \mid y_2 x_1 = y_1 x_2\}$

$$\begin{aligned} \text{i) } \pi^{-1}(0) &= \{(0, y) \in \mathbb{A}^2 \times \mathbb{P}^{n-1} \mid y_2 \cdot 0 = y_1 \cdot 0\} \\ &= \{(0, y) \in \mathbb{A}^2 \times \mathbb{P}^{n-1}\} \cong \mathbb{P}^{n-1}. \end{aligned}$$

$$\text{ii) } \tilde{\mathbb{A}^2} \setminus \pi^{-1}(0) \cong \mathbb{A}^2 \setminus 0$$



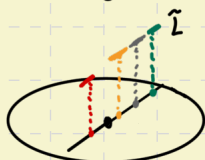
But it's wrong: $\mathbb{A}^2$ irr $\Rightarrow \tilde{\mathbb{A}^2}$ irr, BUT in the right picture it's reducible.

Correct picture: To obtain correct picture,

1) Let's consider line $L \subseteq \mathbb{A}^2$ 

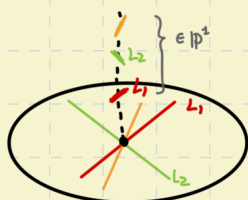
2) Compute strict transformation of L in $\tilde{\mathbb{A}^2}$

设 L 的斜率是 k . $\forall$ point on L can be represented by (x_1, kx_1)
So the image is $(x_1, kx_1), [x_1: kx_1] \in \mathbb{A}^2 \setminus 0 \times \mathbb{P}^1$



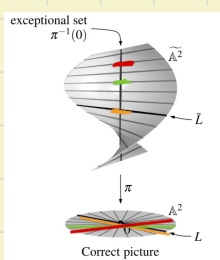
合理猜测 $\tilde{L} \cap \pi^{-1}(0) = (0, [1:k])$

3) 不同 line L 与 $\pi^{-1}(0)$ 的交.



unmap Exceptional set parametrize the direction (L_1, L_2, L_3) in $\mathbb{A}^2$

$\tilde{\mathbb{A}^2}$ 示意图:



☆ 原来相交的线在 Blow up 中分离开了

☆ 这是 Mobius 带

• prop: The blow up of an aff. var X at $f_1, \dots, f_r \in A(X)$ depends only on the ideal $\langle f_1, \dots, f_r \rangle \subseteq A(X)$, i.e. if $\langle f_1, \dots, f_r \rangle = \langle f'_1, \dots, f'_s \rangle$, and $\pi: \tilde{X} \rightarrow X$ and $\pi': \tilde{X}' \rightarrow X$ are corresponding blow ups, there is an iso $F: \tilde{X} \rightarrow \tilde{X}'$ with $\tilde{X} \xrightarrow{F} \tilde{X}'$ and $\pi \circ F = \pi'$.

* Blow up 只与 ideal 有关, independent of 生成元选取.

pf: (Routine check) Construct F . Trick: 两套生成元必可互相表示.

Assume $f_i = \sum g_{ij} f'_j$, $f'_i = \sum h_{ik} f_k$.

$$F: \tilde{X} \rightarrow \tilde{X}'$$

$$(x, [y_1, \dots, y_r]) \mapsto (x, [\dots, \sum h_{jk} y_k, \dots])$$

Idea: 已知在 $X \setminus V(f_1, \dots, f_r) \subseteq \tilde{X}$ 上的点

坐标形如 $(x, [f_1(x), f_2(x), \dots, f_r(x)])$. 我们希望有

$$F|_{X \setminus V(f_1, \dots, f_r)} : X \setminus V(f_1, \dots, f_r) \rightarrow \tilde{X}'$$

$$(x, [f_1(x), \dots, f_r(x)]) \mapsto (x, [f'_1(x), \dots, f'_r(x)])$$

$$(x, [\dots, \sum h_{jk} f_k, \dots])$$

k-th component

下面只需证 ① F 是 mor ② F 是 iso.

② F 是 iso 非常容易, 只要构造

$$G: \tilde{X}' \rightarrow \tilde{X}$$

$$(x, [y_1, \dots, y_r]) \mapsto (x, [\dots, \sum g_i f_i, \dots])$$

如果证明 F 是 mor, 则 G 是 mor. 易知 $G = F^{-1}$, 故 F 是 iso.

It suffices to show ① F is a mor.

$$1) F \text{ is well-defined} \begin{cases} (1.1) [\dots, \sum h_{jk} f_k, \dots] \neq [0, 0, \dots, 0] \\ (1.2) F(\tilde{X}) \subseteq \tilde{X}' \end{cases}$$

(1.1)

Idea: $U = X \setminus V(f_1, \dots, f_r)$. $\tilde{X} = \bar{U}$. 从取闭包的space入手.

已知 $\tilde{X}|_U = \{(x, [f_1(x), \dots, f_r(x)])\}$. 显然 $\tilde{X}|_U \subseteq V(x, y) : y_i = \sum_{j,k} g_{ij} h_{jk} y_k$ closed

$\tilde{X} = \bar{U}$, so $\tilde{X} \subseteq V(x, y) : y_i = \sum_{j,k} g_{ij} h_{jk} y_k$. If $\exists (x, y) \in \tilde{X}$ with $\sum h_{jk} y_k = 0 \forall j$ then $y_i = 0 \forall i$, i.e., $y = 0$. It's impossible because $y \in \mathbb{P}^{r-1}$.

(1.2)

Idea: continue map 取逆才保闭集 w.t.s. $\tilde{X} \subseteq \overline{F^{-1}(\tilde{X}')}^{\text{closed}}$.

Idea: 出现闭集从取闭包的space入手. $\tilde{X} = \bar{\Gamma}_f$. w.t.s. $\bar{\Gamma}_f \subseteq F^{-1}(\tilde{X}')$

$\Gamma_f = \{(x, [f_1(x), \dots, f_r(x)])$ 表达式已知, check $F(\Gamma_f) \subseteq \tilde{X}'$ 更容易.

$$F(\Gamma_f) = \{(x, [\dots, \sum h_{jk} f_k(x), \dots])\} = \{(x, [\dots, f'_j, \dots])\} = \Gamma_{f'} \subseteq \tilde{X}'$$

So we complete the proof.

• Blow up 由 ideal 决定 $\Rightarrow$ 把对多项式作 Blow up 改成对多项式生成理想 I 的 blow up.

1. $J \subseteq A(X)$ be an ideal. We define blow up of X at J to be the blow up of X at any set of generators of J .

2. $Y \subseteq X$ be closed subvariety. We define blow up of X at Y to be the blow up of X at $I(Y) \subseteq A(X)$.

$\leadsto$ Unique up to isomorphism

3. X is an arbitrary variety. $Y \subseteq X$ be a closed subvariety.

Question: How to define blow up of X at Y ?

Pick an affine open cover $\{U_i : i \in I\}$ of X . $\tilde{U}_i :=$ blow up of U_i at closed subvariety $U_i \cap Y$. 把 $\tilde{U}_i$ 拼起来得到 blow up of X at Y .

4. Special case: Blow up at a point a . 取 aff. open cover $\{U_i : i \in I\}$ of X .

$$\tilde{U}_i = \text{blow up of } U_i \text{ at } a = \begin{cases} \text{blow up} & a \in U_i \\ U_i & a \notin U_i \end{cases} \quad \text{例如 } U_0 \text{ cover } a.$$

Compute $\tilde{U}_0 \Rightarrow$ Glue $X \setminus a$ to $\tilde{U}_0$ along common open set $U \setminus \{a\}$.

Slogan: Blow up 是 local 操作. Blow up at a point 时可取 aff. open set cover 住 this point. 因此 X 可视为 X is n -aff. var.

5. Blow up of projective var.

Slogan: Blow up of proj. var. is still proj. var.

Let X be a proj. var., $f_1, \dots, f_r \in SC(X)$ are homo with same degree.

Blow up of X at $f_1, \dots, f_r$ is $\tilde{X}$ in $X \times \mathbb{P}^{r-1}$ where $\tilde{X}$ is:

$$\tilde{X} = \{(x, [f_1(x), f_2(x), \dots, f_r(x)]) : x \in U := X \setminus V(f_1, \dots, f_r)\} \subseteq U \times \mathbb{P}^{r-1}$$

$\tilde{X}$ closed in proj var. $X \times \mathbb{P}^{r-1}$ so $\tilde{X} = \tilde{X}$ is proj. var.

• Exceptional set of the blow up of a general variety X at point $a \in X$ parametrizes the tangent direction of X at a .

• Tangent cones. X : a variety. $\tilde{X} :=$ blow up of X at a point $a \in X$.

$$\begin{cases} \pi^{-1}(a) \subseteq \{a\} \times \mathbb{P}^{r-1} \cong \mathbb{P}^{r-1} \\ a \subseteq \text{closed} \Rightarrow \pi^{-1}(a) \text{ closed} \end{cases}$$

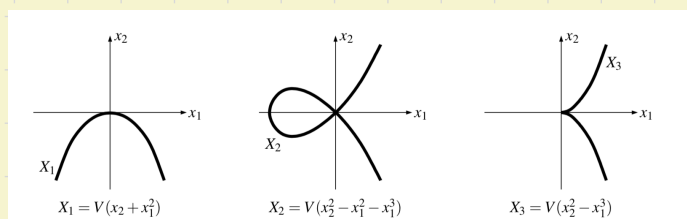
$\Rightarrow \pi^{-1}(a)$ is a projective variety.

$\Downarrow \pi^{-1}(a)$ is proj. var.

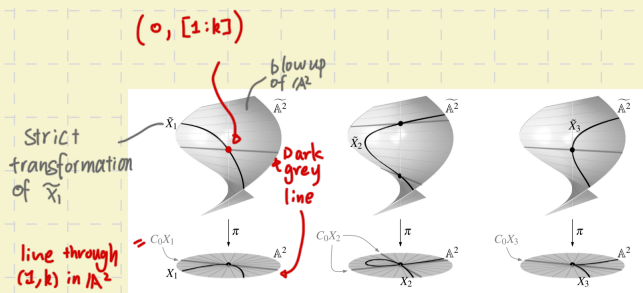
Cone over $\pi^{-1}(a)$ is called

Tangent Cone

Exp1 Intuitive picture.



Consider strict transformation of X_1, X_2, X_3 at origin:



point $\bullet$ in above: $\pi|_{\tilde{X}_1}^{-1}(0)$. By def of cone, it's line through point $\bullet$ in A^2 . i.e., dark grey line in above. 正符合对切空间的理解.

Exp2,3 convinced you:
 • a 光滑, tangent cone 是切空间
 • a 不光滑, tangent cone 不是切空间, 会包含 a 点附近信息.
 • tangent cone 与光滑性研究有关.

Exp2: (a 光滑) $X = V(x_2 - x_1^2) \subseteq A^2$ $a = (0,0)$. Compute $C_a(X)$.

Idea: $\tilde{X} \subseteq Z = \{(x, [y_1, y_2]) \in X \times \mathbb{P}^1 \mid y_1 x_2 = y_2 x_1\} \subseteq X \times \mathbb{P}^1$.

has two aff. patch $\leftarrow$ has two aff. patch $\leftarrow$ has two aff. patch

$\tilde{X} = \tilde{X}_f$ consider $\tilde{X}_f$ has two aff. patch

Trick: 用 mor 来说明 Z 的 aff. patch. $Z = U_1 \cup U_2$.

$\varphi: A^1 \xrightarrow{\sim} U_1 \subseteq Z$, pick $y_1 = 1$

$(x_1, y_2) \mapsto (x_1, y_2 x_1, [1: y_2])$

$\psi: A^1 \xrightarrow{\sim} U_2 \subseteq Z$, pick $y_2 = 1$

$(x_2, y_1) \mapsto (y_1 x_2, x_2, [y_1: 1])$

$$\begin{aligned}
\Gamma_f \cap U_1 &= \left\{ (x_1, y_2 x_1), [x_1 : y_2] \mid (x_1, y_2 x_1) \in X \setminus \{0\} \right\} \\
&= \left\{ (x_1, y_2 x_1), [1 : y_2] \mid \boxed{\begin{matrix} y_2 x_1 - x_1^2 = 0 \\ x_1 \neq 0 \end{matrix}} \right\} \\
&= \left\{ (x_1, x_1 y_2), [x_1, x_1 y_2] \mid \begin{matrix} y_2 - x_1 = 0 \\ x_1 \neq 0 \end{matrix} \right\} \xrightarrow{\cong} \{(x_1, y_2 x_1) \in X \setminus \{0\} \mid \text{lies in } U_1 \cong \mathbb{A}^2\} \\
&\stackrel{\text{结合 morphism}}{=} V(x_2 - x_1^2) \cap D(x_1) \subseteq \mathbb{A}^2 \cong U_1
\end{aligned}$$

$X = V(x_2 - x_1^2)$

Closure of Γ_f in $Z = U_1 \cup U_2$ is $\tilde{X} = \bar{\Gamma}_f$.

Closure of $\Gamma_f \cap U_1$ in $Z \cap U_1 = U_1$ is $\tilde{X} \cap U_1$.

$$\Gamma_f \cap U_1 = V(x_2 - x_1^2) \cap D(x_1) \stackrel{\text{open}}{\subseteq} \underbrace{V(x_2 - x_1^2)}_{\text{irr}} \Rightarrow \Gamma_f \cap U_1 \text{ irr. in } V(x_2 - x_1^2) \text{ as sub var.}$$

If $A \subseteq B \subseteq X$ ^{closed}
 + then $\bar{A}^X = \bar{A}^B$
 pf: $\bar{A}^X \cap B = \bar{A}^B \Rightarrow \bar{A}^B \subseteq \bar{A}^X$
 It suffices to show $\bar{A}^X \subseteq \bar{A}^B$.
 $\bar{A}^B \stackrel{\text{closed}}{\subseteq} B \stackrel{\text{closed}}{\subseteq} X \Rightarrow A \subseteq \bar{A}^B \stackrel{\text{closed}}{\subseteq} X \Rightarrow \bar{A}^X \subseteq \bar{A}^B$

$\Rightarrow \overline{\Gamma_f \cap U_1} = V(x_2 - x_1^2)$
 as closure in $\underbrace{V(x_2 - x_1^2)}_{\text{closed}}$
 $\Rightarrow$ closure of $\Gamma_f \cap U_1$ in U_1
 $= \overline{\Gamma_f \cap U_1}^{V(x_2 - x_1^2)} = V(x_2 - x_1^2)$

$$\begin{aligned}
\Gamma_f \cap U_2 &= \left\{ (y_1, x_2, x_2), [y_1 : 1] \mid (y_1, x_2, x_2) \in X \setminus \{0\} \mid p^1 \right\} \\
&= \left\{ (y_1, x_2, x_2), [y_1 : 1] \mid \begin{matrix} x_2 - (y_1, x_2)^2 = 0 \\ x_2 \neq 0 \end{matrix} \right\} \\
&= \left\{ (y_1, x_2, x_2), [y_1 : 1] \mid \begin{matrix} 1 - y_1^2 x_2 = 0 \\ x_2 \neq 0 \end{matrix} \right\} \\
&= V(1 - y_1^2 x_2) \cap D(x_2) \subseteq U_2 \cong \mathbb{A}^2.
\end{aligned}$$

$$\overline{\Gamma_f \cap U_2}^{U_2} = \tilde{X} \cap U_2.$$

$$\begin{aligned}
\Gamma_f \cap U_2 &\stackrel{\text{open}}{\subseteq} \underbrace{V(1 - y_1^2 x_2)}_{\text{irr}} \Rightarrow \overline{\Gamma_f \cap U_2}^{V(1 - y_1^2 x_2)} = V(1 - y_1^2 x_2) \\
&\stackrel{\text{closed}}{\subseteq} \underbrace{V(1 - y_1^2 x_2)}_{\subseteq U_2 \cong \mathbb{A}^2} \Rightarrow \overline{\Gamma_f \cap U_2}^{U_2} = \overline{\Gamma_f \cap U_2}^{V(1 - y_1^2 x_2)} = V(1 - y_1^2 x_2)
\end{aligned}$$

So we have $\begin{cases} \tilde{X} \cap U_1 = V(y_2 - x_1^2) \\ \tilde{X} \cap U_2 = V(1 - x_2 y_1^2) \end{cases}$

$$\begin{aligned}
\pi^{-1}(a) \cap U_1 &= \left\{ (a, [1 : y_2]) \in \tilde{X} \cap U_1 \right\} \\
&= \left\{ (a, [1 : y_2]) \in V(y_2 - x_1^2) \mid \begin{matrix} a_1 = 0 \\ a_2 = a \end{matrix} \right\} \\
&= \left\{ (0, [1 : 0]) \right\} \stackrel{\text{viewed as}}{=} [1 : 0] \in \mathbb{P}^1
\end{aligned}$$

$$S_0 \quad \pi^{-1}(a) = \{[1:0]\} \quad C\pi^{-1}(a) = V(x_2) \subseteq \mathbb{A}^2.$$

$\cup$ 切线.

$$\text{Exp 3: } (a \text{ 不光滑}) \quad X = V(x_2^2 - x_1^2 - x_1^3).$$

$$\begin{aligned} \Gamma_f \cap U_1 &= \{(x_1, x_1 y_2), [1, y_2]\} \in V(x_2^2 - x_1^2 - x_1^3) \setminus \{0\} \times \mathbb{P}^1 \\ &= \{(x_1, x_1 y_2), [1, y_2]\} \mid (x_1 y_2)^2 - x_1^2 - x_1^3 = 0, x_1 \neq 0 \end{aligned}$$

$$\cong V(y_2^2 - x_1 - 1) \cap D(x_1)$$

$$\text{同理 } \tilde{X} \cap U_1 = V(y_2^2 - x_1 - 1)$$

$$\text{同理 } \tilde{X} \cap U_2 = V(1 - y_1^2 - x_2 y_1^3)$$

$$\begin{aligned} \pi^{-1}(a) \cap U_1 &= \{(a, [1:y_2]) \in \tilde{X} \cap U_1\} \\ &= \{(a, [1:y_2]) \in V(y_2^2 - x_1 - 1)\} \\ &= \{(0, [1:1]), (0, [1:-1])\} \end{aligned}$$

$$\begin{aligned} \pi^{-1}(a) \cap U_2 &= \{(a, [y_1:1]) \in V(1 - y_1^2 - x_2 y_1^3)\} \\ &= \{(0, [1:1]), (0, [1:-1])\} \end{aligned}$$

$$S_0 \quad \pi^{-1}(a) = \{[1:1], [1:-1]\} \in \mathbb{P}^1.$$

$$C\pi^{-1}(a) = V(x_2^2 - x_1^2) \quad \begin{array}{c} \times (1,1) \\ \times (1,-1) \end{array}$$

• Two props about dimension.

(1) $\pi: \tilde{X} \rightarrow X$ be the blow up of an irr aff. var X at $f_1, \dots, f_r \in A(X)$. Then every irr component of exceptional set $\pi^{-1}(V(f_1, \dots, f_r))$ has codim 1 in $\tilde{X}$. (It's called exceptional hypersurface of the blow up)

Idea: 证 $\pi^{-1}(V(f_1, \dots, f_r))$ 的 每个 aff. patch 有 codim = 1 (pure codim = 1)

$$\begin{aligned} \tilde{X} \subseteq Z &= \{(x, [y_1, \dots, y_r]) \in X \times \mathbb{P}^{r-1} \mid y_i f_j(x) = y_j f_i(x), \forall i, j\} \\ &= \bigcup U_i \quad \text{where } U_i = \{y_i = 1\} \cap Z = \{(x, [y_1, \dots, 1, \dots, y_r]) \in X \times \mathbb{P}^{r-1} \mid y_k f_j(x) = y_j f_k(x)\} \end{aligned}$$

$$U_i \cap \pi^{-1}(V(f_1, \dots, f_r)) = \{(x, [y_1, \dots, y_r]) \in \tilde{X} \mid x \in V(f_1, \dots, f_r), y_i f_j(x) = y_j f_i(x), \forall i, j\}$$

$$\text{Claim: } U_i \cap \pi^{-1}(V(f_1, \dots, f_r)) = V_{U_i}(f_i)$$

可以删掉
因为 $x \in V(f_1, \dots, f_r)$

If the claim is true, we have:

$$\begin{aligned} \{x \text{ irr} \Rightarrow \tilde{X} \text{ irr} \Rightarrow \tilde{X} \cap U_i \subseteq \tilde{X}\} \text{ is irr} &\xrightarrow[\text{Thm}]{\text{krull dim}} \forall \text{ irr component of } V_{\tilde{X}}(f_i) \text{ has codim 1 in } \tilde{X} \cap U_i. \end{aligned}$$

i.e., $\forall$ irr component of $U_i \cap \pi^{-1}(V(f_1, \dots, f_r))$ has dimension $\dim \tilde{X} \cap U_i - 1 = \dim \tilde{X} - 1$

So $U_i \cap \pi^{-1}(V(f_1, \dots, f_r))$ has pure dimension $\dim \tilde{X} - 1$

$\{U_i \cap \pi^{-1}(V(f_1, \dots, f_r))\}$ is open cover of $\pi^{-1}(V(f_1, \dots, f_r)) \Rightarrow \dim \pi^{-1}(V(f_1, \dots, f_r)) = \sup \{\dim U_i \cap \pi^{-1}(V(f_1, \dots, f_r))\} = \dim \tilde{X} - 1.$

pf for the claim: $U_i \cap \pi^{-1}(V(f_1, \dots, f_r)) \subseteq U_i$ 故是 U_i 上的 aff. var.

$\forall (x, y) \in U_i \cap \pi^{-1}(V(f_1, \dots, f_r))$, we have $x \in V(f_1, \dots, f_r) \subseteq V(f_i)$.

Hence $U_i \cap \pi^{-1}(V(f_1, \dots, f_r)) \subseteq V_{U_i}(f_i)$.

$V(x, y) \in V_{U_i}(f_i)$, since $f_i(x) = y f_i(x) = y_i f_i(x) = 0$.

so $(x, y) \in V(f_1, f_2, \dots, f_r) \cap U_i$.

(2) $a \in \bigcup_{\text{var}} X$. $\dim C_a X = \text{codim}_X \{a\}$

pf: $X = X_1 \cup X_2 \cup \dots \cup X_s$ are irr. component decomposition.

Trick: Assume $a \in X_i$, $\forall i$. If $a \notin X_{i_0}$, 由 blow up 的 local 性质 可以把 X_{i_0} 拿掉考虑 $X \setminus X_{i_0}$.

$$X = \tilde{X}_1 \cup \tilde{X}_2 \cup \dots \cup \tilde{X}_s \Rightarrow C_a X = C_a X_1 \cup C_a X_2 \cup \dots \cup C_a X_s$$

$$\Rightarrow \dim C_a X = \max \dim C_a X_i$$

Every irr component of $\pi|_{X_i}^{-1}(a)$ has dimension $\dim X_i - 1$

$\left\{ \begin{array}{l} \text{take cone, 则} \dim \text{同时加} 1 \end{array} \right.$

Every irr component of $C_a X_i$ has dimension $\dim C X_i - 1 = (\dim X_i + 1) - 1 = \dim X_i$

$$\text{so } \dim C_a X = \max \dim C_a X_i = \max \dim X_i = \text{codim}_X \{a\}$$

local dimension $\text{codim}_X \{a\}$ 是 cover a 的 irr comp. 最大维数.

$$\textcircled{2}: \pi^{-1}(a) = \bigcup_i \pi|_{X_i}^{-1}(a) \Rightarrow C\pi^{-1}(a) = \bigcup_i C\pi|_{X_i}^{-1}(a) \Rightarrow C_a X = \bigcup_i C_a X_i$$

$$(C(A \cup B) = C A \cup C B)$$

$\mathbb{P}^{r-1}$ complete so $p: X_i \times \mathbb{P}^{r-1} \rightarrow X_i$ is closed. Since $\tilde{X}_i \subseteq X \times \mathbb{P}^{r-1}$ closed, we have $p(\tilde{X}_i)$ closed. $p|_{\tilde{X}_i} = \pi$, so $\pi(\tilde{X}_i)$ closed in X_i .

$$X_i \setminus a \subseteq \bigcup_{\text{irr}} \tilde{X}_i \Rightarrow X_i \setminus a \text{ irr and } \overline{X_i \setminus a} = X_i.$$

$$\Rightarrow X_i = \overline{X_i \setminus a} \subseteq \pi(\tilde{X}_i) \Rightarrow a \in \pi(\tilde{X}_i) \Rightarrow \pi|_{X_i}^{-1}(a) \neq \emptyset$$

$$\Rightarrow C_a X_i = C(\pi|_{X_i}^{-1}(a)) \text{ is well-def.}$$

• Blowing up 可以用来 extend mor.

* X : aff. var. Consider $f: U \rightarrow \mathbb{P}^{r-1}$ where $U = X \setminus V(f_1, \dots, f_r)$.
 $x \mapsto (f_1(x) : \dots : f_r(x))$

We can extend it after blowing up. $\tilde{X}$ is the blow up of X at $\langle f_1, \dots, f_r \rangle$.

$\tilde{f}: \tilde{X} \rightarrow \mathbb{P}^{r-1}$ is projection ($\tilde{X} \subseteq X \times \mathbb{P}^{r-1}$) with $\tilde{f}|_U = f$. $\tilde{f}$ is the extension.

$\Delta \mathbb{P}^1 \times \mathbb{P}^1$ blown up in one point is iso to $\mathbb{P}^2$ blow up in two points.

• Tangent space and tangent cone

i) We can approximate a variety by tangent cone, e.g. $\cup$

ii) But in practice one often wants to approximate by a linear space rather than cone.

iii) Tangent space: a linear space, take linear terms of poly.

Tangent cone: 可以不 linear, take initial terms of poly.

Def: Let $a \in \underset{\text{var}}{X}$. By choosing aff. n.b.h. of a , assume $X \subseteq \mathbb{A}^n$ and $a=0$.

$T_a X := V(f_i: f \in I(X))$ is called tangent space of X at a .

f_i : linear term of f .

Note that: ① Why we want $a=0$? $0=a \in X$, 则 $\forall f \in I(X)$, 有 $f(a)=0$ 即 $f(0)=0$. 于是 $I(X)$ 中任何 poly 常数项为 0.

② $T_a X = V(f_i: f \in I(X)) = V(f_i: f \in S)$ where $I(X) = \langle S \rangle$

$\forall f \in I(X) = \langle S \rangle$, we have $f = \sum_{g_i \in S} a_i g_i \Rightarrow f_i = \sum a_i(0) \cdot (g_i)_1 + \underbrace{a_i(0)}_0 \cdot \underbrace{g_i(0)}_0 = \sum a_i(0) (g_i)_1$

$\Rightarrow f_i \in \langle (g_i)_1: g_i \in S \rangle$

③ 意思是 $V(f_i: f \in I(X))$, 而不是 $V(f_i: f \in J)$ where $V(J)=X$.

例如在 $\mathbb{A}^2$, $V(x) = V(x^2) = 0$, 但 $V(f_i: f \in \langle x \rangle) = V(f_i: f \in \{x\}) = V(x) = 0$

$V(f_i: f \in \langle x^2 \rangle) = V(f_i: f \in \{x^2\}) = V(0) = \mathbb{A}^2$.

在 generator 上求导.

④ 常数项为 0, 则取 initial term 比取 linear term 拿出的方程更多, 因此 $T_a X \supseteq C_a X$.

* Tangent space is a linear space containing tangent cone.

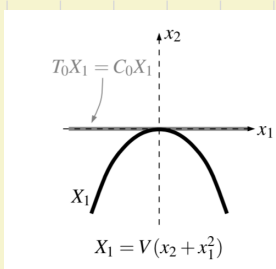
于是 $\dim T_a X \geq \dim C_a X = \text{codim}_x X$.

• Excellent examples:

$$X_1 = V(x_2 + x_1^2)$$

$$C_0 X_1 = V(x_2)$$

$$T_0 X_1 = V(x_2)$$

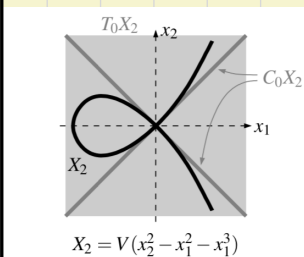


$T_a X$ 可以恰等于 $C_a X$.

$$X_2 = V(x_2^2 - x_1^2 - x_1^3)$$

$$C_0 X_2 = V(x_2^2 - x_1^2)$$

$$T_0 X_2 = V(0) = \mathbb{A}^2$$

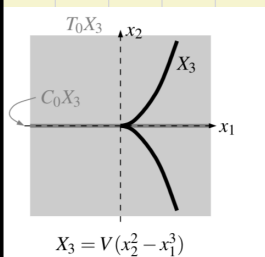


Tangent space 是包含 tangent cone $V(x_2^2 - x_1^2)$ 的 linear space, 所以 只能是 $\mathbb{A}^2$.

$$X_3 = V(x_2^2 - x_1^3)$$

$$C_0 X_3 = V(x_2^2)$$

$$T_0 X_3 = V(0) = \mathbb{A}^2$$



并不是所有 $T_a X$ 都是 $C_a X$ 张成的.

• Tangent space 的内蕴描述.

Lemma: $X \subseteq \mathbb{A}^n$ aff. var. $a=0 \in X$. $I(a) = \langle \bar{x}_1, \dots, \bar{x}_n \rangle \subseteq A(X) = k[x_1, \dots, x_n]/I(X)$

Then we have $I(a)/I(a)^2 \cong \text{Hom}_k(T_a X, k)$

* $\text{Hom}_k(T_a X, k)$ is the dual of tangent space.

Slogan: vector space $T_a X$ dual to $I(a)/I(a)^2$.

pf: **Idea**: Check " $\ker \varphi = I(a)^2$ ". Routine check
 Let $\varphi: I(a) \rightarrow \text{Hom}_k(T_a X, k)$, w.t.s $\begin{cases} (1) \varphi \text{ well-defined} \\ (2) \varphi \text{ surj.} \\ (3) \ker \varphi = I(a)^2 \end{cases}$
 $\bar{f} \mapsto f|_{T_a X}$

Trick: ① 描述 $I(a)$ 中的元素只需要放到 $A(X) = k[x_1, \dots, x_n]/I(X)$ 中
 $\bar{f} \in I(a) \subset A(X) = k[x_1, \dots, x_n]/I(X)$. $f \in k[x_1, \dots, x_n]$, $\bar{f}$ 是等价类.
 ② $\varphi(\bar{f}) = ?$ $T_a X = V(\{f_i : f_i \in I(X)\}) \subseteq \mathbb{A}^n$. $\text{Hom}_k(T_a X, k)$ 是 $T_a X$ 上的 linear function, 即 linear poly. 这里唯一出现 poly 是 f , 因此构造 linear poly f_i .

(1) Show φ well-defined.

For $\bar{f} = \bar{g} \in I(a)$, it means $f - g \in I(X)$. $T_a X = V(\{h_i : h_i \in I(X)\}) \implies f - g|_{T_a X} = 0 \implies f|_{T_a X} = g|_{T_a X}$

(2) show φ is surj. Let $h \in \text{Hom}_k(T_a X, k)$, i.e., f is a linear function on $T_a X$.

$T_a X \subseteq \mathbb{A}^n$. We extend f to a linear function on $\mathbb{A}^n$. We have $\bar{f} \in I(a) \subset A(X)$ be preimg.

(3) Show $\ker \varphi = I(a)^2$.

" $\subseteq$ " $\forall \bar{h} \in I(a)$ with $h|_{T_a X} = 0$, since $h|_{V(\{f_i : f_i \in I(X)\})} = 0$, $I(a)$ 的 claim is reasonable.
 $\forall h \in \ker \varphi$

Claim: $\exists g \in I(X)$ s.t. $g_i = h_i$. If the claim is true, then $g - h$ has no const or linear term. Hence we have $\bar{h} = \overline{h - g} \in I(a)^2$
 $h - g$ 只有 ≥ 2 次 terms.

pf the claim: **Dimension** is really important when consider vector space.

Let $S = \{f_i | f_i \in I(X)\}$ and $W = \{\text{linear forms vanishing at } T_a X\}$. It suffices to show $S = W$. (则 $h_i \in W$ 必可写成 $g_i, g_i \in I(X)$.) $T_a X = V(S)$, so $W \supseteq S$. We view S as **sublinear space** of $k[x_1, \dots, x_n]$ with dimension k , i.e., there're k linear equs. So $T_a X = V(S)$ has dimension $n - k$. So $\dim W = n - (n - k) = k$.
 $\dim W = \dim S$ and $S \subseteq W$. So $S = W$.

A is a linear transformation.

$T_a X = \ker A$. $\text{rank } A = n - \dim \ker A = n - (n - k) = k$.

" $\supseteq$ " Let $\bar{f}, \bar{g} \in I(a)$, then $f(0) = g(0) = 0$ (f, g vanish at $a=0$)

For $\overline{f \cdot g} \in I(a)^2$, $\varphi(\overline{f \cdot g}) = (f \cdot g)|_{T_a X} = \underbrace{f|_{T_a X}}_0 \cdot g + f \cdot \underbrace{g|_{T_a X}}_0 = 0$. So $I(a)^2 \subseteq \ker \varphi$.

Δ Intrinsic description of tangent space

To obtain intrinsic description, we need transfer $A(X)$ to $\mathcal{O}_{X,a}$

For general variety would require choice of an aff. coord that sends a to origin. (any point can have coord. $(x_1, \dots, x_n)$ when choosing an aff. patch.)

Def 3.19 in Gathmann: $\mathcal{O}_{X,a} \cong A(X)_{I(a)}$. Besides $\mathcal{O}_{X,a}$ is a local ring with unique max ideal

$I_a := \{(\overline{f}, \varphi) \in \mathcal{O}_{X,a} | \varphi(a) = 0\}$

$\cong I(a)_{I(a)} = \{\frac{g}{f} | g \in I(a), f \notin I(a)\}$

$\mathcal{O}_{X,a}$ is independent of choice of aff. var.

prop: $I(a)/I(a)^2 \cong I_a/I_a^2$.

pf. $\forall f \notin I(a)$, we have $f(a) \neq 0$. Trick: $f(a) \neq 0$ means $\frac{1}{f(a)}$ make sense.

$\varphi: A(a)/I(a) \rightarrow k$ is an iso. $\left(\begin{array}{l} \text{Intuitively, } A(a)/I(a) = A_X(a) \text{ is functions on } a, \text{ which is } k. \\ \text{rigorous check is also easy} \end{array} \right)$
 $\bar{h} \mapsto h(a)$

$f(a) \neq 0 \Rightarrow 0 \neq \frac{1}{f(a)} \in k \xrightarrow{\text{By } \varphi} \text{An element denoted by } \frac{1}{f} \text{ in } A(a)/I(a) \text{ corresponds to } \frac{1}{f(a)} \in k$

Claim: $I(a)/I(a)^2 \cong S^{-1}(I(a)/I(a)^2)$, where $S = A(X) \setminus I(a)$.

pf the claim: For any $\frac{g}{f} \in S^{-1}(I(a)/I(a)^2)$, i.e., $\left\{ \begin{array}{l} f \in A(X) \setminus I(a) \xrightarrow{f \notin I(a)} \frac{1}{f} \in A(a)/I(a) \text{ corresponds to } \frac{1}{f(a)} \in k. \\ g \in I(a)/I(a)^2 \end{array} \right.$

$\frac{g}{f} = \frac{1}{f(a)} g \in I(a)/I(a)^2$ so localization at S doesn't change $I(a)/I(a)^2$, since elements of S already invertible.

* $T_a X = (I(a)/I(a)^2)^* \cong (I_a/I_a^2)^*$ where $I_a = I(a)_{\mathcal{O}_{X,a}} = S^{-1}I(a)$ is maximal ideal of $\mathcal{O}_{X,a}$. Hence $T_a X$ is independent of choice of aff. patch.

• Smooth

△ Motivation — When $C_a X$ and $T_a X$ agree.

tangent cone $C_a X$: may **Not** linear, dimension = $\text{codim}_X \{a\}$.
 tangent space $T_a X$: **Linear** space, dimension may **bigger** $\text{codim}_X \{a\}$.
 Hence, we should pay attention to the case **when** two notations agree, i.e., X can be **approximated** around a by a **linear** space whose dimension is $\text{codim}_X \{a\}$.

△ Def: point $a \in X$ is called smooth, regular or nonsingular if $T_a X = C_a X$.
 Otherwise is called a singular point of X .

△ $a \in X$ is a point. TFAE

(a) a is smooth

(b) $\dim T_a X = \text{codim}_X a$

(c) $\dim T_a X \leq \text{codim}_X a$

pf. (a) $\Rightarrow$ (b) $a \text{ sm} \Rightarrow T_a X = C_a X \Rightarrow \dim T_a X = \dim C_a X = \text{codim}_X \{a\}$

(b) $\Rightarrow$ (c) obviously.

(c) $\Rightarrow$ (a) $\left\{ \begin{array}{l} T_a X \supseteq C_a X \Rightarrow \dim T_a X \geq \dim C_a X = \text{codim}_X a \\ T_a X \subseteq \text{codim}_X a \end{array} \right. \Rightarrow \dim T_a X = \text{codim}_X a = \dim C_a X$

Since $T_a X$ is irr, $T_a X = C_a X$.

• Useful prop: a smooth, 则至多只有 $\dim$ irr. 分支 cover a .

△ $T_a X \cong (I_a/I_a^2)^* \Rightarrow \dim I_a/I_a^2 = \dim (I_a/I_a^2)^* = \dim T_a X \stackrel{a \text{ sm}}{=} \text{codim}_X a$

where I_a is the max ideal of local ring $\mathcal{O}_{X,a}$. Ring $\mathcal{O}_{X,a}$ with this

prop is called **regular local** ring. Hence $a \text{ sm} \Rightarrow \mathcal{O}_{X,a}$ regular $\Rightarrow \mathcal{O}_{X,a}$ integrad domain
 Commutative
 alg.

△ $\mathcal{O}_{X,a}$ is a domain means the variety is **locally irr** at every sm a . (Intuitively)

X 又有一个 irr component cover a .

(两片 irr component 交点, 都是 singular)

- Aff. Jacobi criterion $a \in X$ aff. Let $I(X) = \langle f_1, \dots, f_r \rangle$. Then X is sm at a iff $\text{rank } J \geq n - \text{codim}_X a$ (actually, when a sm $\text{rank } J = n - \text{codim}_X a$.)

pf: We can always assume $a=0$ when X aff. (Let $y = x - a$, a shift). For any generator f_j , $T_a X = V((f_j)_1 : j=1, \dots, r)$. By Taylor expansion, $f_j = \sum_i \frac{\partial f_j}{\partial x_i} \cdot x_i$.

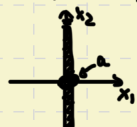
Hence $T_a X = \{x \mid \sum \frac{\partial f_j}{\partial x_i} x_i = 0, \forall j=1, \dots, r\} = \ker J$.

a sm $\Rightarrow \dim \ker J = \dim T_a X \leq \text{codim}_X a$. Since $\dim \ker J = n - \text{rank } J$, $\text{rank } J = n - \text{codim}_X a$ (or $\geq$)

Note that it's not true for generators $f'_1, \dots, f'_r$ for $X = V(f'_1, \dots, f'_r)$

Suppose $X = V(x_1^2)$

$$\text{rank } J = \text{rank} \begin{pmatrix} 2x_1 & 0 \end{pmatrix} \Big|_0 = 0 \neq 2 - 1 = 1$$



$\text{codim}_X a = 1$

• $V(f_1, \dots, f_r)$ version of Jacobi criterion.

$$a \in X = V(f_1, \dots, f_r) \quad J_{ij} = \frac{\partial f_i}{\partial x_j}$$

(a) If $\text{rank } J \geq n - \text{codim}_X a$, then a sm (反过来不一定)

(b) If $\text{rank } J = r$ then X is sm at a
 J 满秩 $\left\{ \begin{array}{l} \text{codim}_X a = n - r. \end{array} \right.$

pf: (a) extend generator $J(f_1, \dots, f_r)$ to generators of $\sqrt{J} = I(V(J)) = I(X)$

as $f_1, \dots, f_r, f_{r+1}, \dots, f_s$.

$$\text{Then } \text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{\substack{i \in [1, s] \\ j \in [1, n]}} \geq \text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{\substack{i \in [1, r] \\ j \in [1, n]}} = \text{rank } J \geq n - \text{codim}_X a \Rightarrow a \text{ sm} \quad \text{By assumption}$$

(b) $\text{codim}_X a = \max_{i \ni a} \dim X_i$. Trick: $X = V(f_1, \dots, f_r) \Rightarrow \forall$ irr component has dimension $n - r$.

$$\text{So } \text{codim}_X a \geq n - r \xRightarrow{\text{rank } J = r} \text{codim}_X a \geq n - \text{rank } J \xRightarrow{\text{rank } J \geq n - \text{codim}_X a} \text{rank } J \geq n - \text{codim}_X a \xRightarrow{\text{By (a)}} a \text{ sm}$$

$$\text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{s \times n} \geq \text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{r \times n} \geq n - \text{codim}_X a \Rightarrow \text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{\substack{r \times n \\ J}} = n - \text{codim}_X a$$

$n - \text{codim}_X a \Rightarrow \text{codim}_X a = n - r$

* Relation between 隐函数定理.

$a \in X = V(f_1, \dots, f_r)$ with $\text{rank} \left(\frac{\partial f_i}{\partial x_j} \right)_{i,j} = r$. So we can view X as a graph of continuously differentiable function (it doesn't have 'corners'). The following example show difference between Implicit Function thm.

Exp: $f(x_1, x_2) = x_2 - x_1^2$. $V(f)$ at $(1, 1)$ is sm ($\text{rank} \begin{pmatrix} -2 & 1 \end{pmatrix} = 1 = 2 - \text{codim}_X a = 1$)

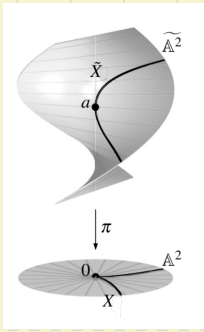
But $f(x_1, x_2) = 0$ cannot be solved for x_1 by a regular function — It can only be solved by continuous function $x_1 = \sqrt{x_2}$

- Blowing up makes singular points "nicer"

Consider $X = V(x_2^2 - x_1^3)$. We've prove $0 \in X$ singular. ($T_a X \neq C_a X$)

$$\textcircled{3} \text{rank}(-3x_1^2 \ 2x_2) \geq 2 - 1 = 1 \Leftrightarrow (x_1, x_2) \in \mathbb{A}^2 \setminus 0$$

So $\mathbb{A}^2$ is sm at $x \neq 0$.



Consider blow up $\tilde{X}$ on aff. patch $\{(x_1, x_2), (1:y_2)\}$, by Exe 9.22

$\tilde{X}$ is given by $V(g(x_1, x_2))$ where $g = y_2^2 - x_1$. $(\frac{\partial g}{\partial x_1}, \frac{\partial g}{\partial y_2}) = (-1, 2y_2)_0 = (-1, 0)$

so $\tilde{X}$ is sm at a .

Slogan: Good blow up remove all singularities.

- Projective version Jacobicriterion. (Same as aff. version)

$I(X) = \langle f_1, \dots, f_r \rangle$. Then X is sm at $a \Leftrightarrow r \times (n+1)$ matrix $(\frac{\partial f_i}{\partial x_j}(a))_{i,j}$ has rank $\geq n - \text{codim}_x a$

Exp: Fermat hypersurface $X = V_p(x_0^d + \dots + x_n^d) \subseteq \mathbb{P}^n$.

X is sm for all n, d, k .

$$J = (d x_0^{d-1} \dots d x_n^{d-1})$$

i) If $\text{char} k \nmid d$, $\text{rank } J = 1$. X is sm.

ii) If $\text{char} k \mid d$. Let $\text{char} k = p$ and say $\begin{cases} d = p^r k \\ d \nmid k \end{cases}$.

Since $x_0^d + \dots + x_n^d = (x_0^k + \dots + x_n^k)^{p^r}$, we have $I(X) = \langle x_0^k + \dots + x_n^k \rangle$.

$J = (k x_0^{k-1}, \dots, k x_n^{k-1})$. So $\text{rank } J = 1$. X is sm.

- The set of sm pts in a variety X is open

Step 1: $a \in X$ sm, we w.t.s. there is a n.b.h. of a which all pts in it are sm.

* **Idea:** 令 N 是 a 的邻域且由 sm pts 组成. 证明 $x \in N \Leftrightarrow x$ 满足开条件

a sm $\Rightarrow$ 只有唯一 - irr aff. component cover a , 记作 U

say $I(U) = \langle f_1, \dots, f_r \rangle$. So by Jacobi criterion, let $J = \frac{\partial f_i}{\partial x_j}$

$\text{rank } J \geq n - \text{codim}_U a \Leftrightarrow \exists (n - \text{codim}_U a) - \text{minors with determinant nonzero.}$

$\det \neq 0$ 是开条件 (write as $D(\dots)$, where $D(\dots)$ is an open set)

Step 2: 还要证明一定存在 sm pts. Sketch: 转化到 hypersurface 情形.