# **Energy bands and Higgs bundles**

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Efficiency superconductors can be designed by controlling materials near singular points, where **energy bands** are gapless.

Studying **topology of energy bands** is significant because it is closely related to our daily life.







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## What are energy bands?

#### Most crucial physical information of a physical system: energy and states

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This system is described by **Hamiltonian** 
$$H = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{bmatrix}$$

- eigenvalues (possible energy): 1, 2, 3
- eigenvectors (possible states corresponding to energy):

$$: \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 0\\1\\0 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix}$$



Tuning a system  $\Leftrightarrow$  Parametrizing the Hamiltonian

e.g., parametrized by temperature T:

$$H(T) = \begin{bmatrix} a_{11}(T) & a_{12}(T) & a_{13}(T) \\ a_{21}(T) & a_{22}(T) & a_{23}(T) \\ a_{31}(T) & a_{32}(T) & a_{33}(T) \end{bmatrix}$$

We can draw the **energy band**:



#### $T_1$ is a singular point.



What is the topology of energy bands?

Summarize the content in one sentence: The topology of energy bands is the configuration of eigenvalues and eigenspaces.

Consider the matrix 
$$H = \begin{bmatrix} f_3 & f_2 \\ -f_2 & -f_3 \end{bmatrix}$$
,  $f_3$ ,  $f_2 \in \mathbb{R}$ .

Let's see the configuration of eigenvalues and eigenspaces.



$$X_0 = \{(0,0)\}, \ X_1 = \{(f_3,f_3),(f_3,-f_3)|f_3\in \mathbb{R}\}, \ X_2 = \mathbb{R}^2$$

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$$X_0 = \{(0,0)\}, X_1 = \{(f_3,f_3), (f_3,-f_3) | f_3 \in \mathbb{R}\}, X_2 = \mathbb{R}^2$$

• 
$$X_0: \lambda_+ = \lambda_- = \lambda, \dim(E(\lambda)) = 2$$

• 
$$X_1 - X_0$$
:  $\lambda_+ = \lambda_- = \lambda$ ,  $dim(E(\lambda)) = 1$ 

• 
$$X_2 - X_1$$
:  $\lambda_+ \neq \lambda_-$ ,  $dim(E(\lambda_{\pm})) = 1$ 

It's a stratified space with each stratum characterizing different behavior of eigenvalues and eigenvectors.

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#### More examples: the swallowtail

Consider configuration of eigenvalues & eigenspaces of

$$H = egin{bmatrix} -f_1 & -f_1 & -f_2 \ f_1 & f_1 + f_3 & -f_3 \ f_2 & -f_3 & f_2 + f_3 \end{bmatrix}$$

Singular points locally look like swallowtails.



Ref: Non-Hermitian swallowtail catastrophe revealing transitions among diverse topological singularities

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## **Higgs bundles**

Let S be a closed orientable surface of genus  $g \ge 2$  and  $\Sigma$  be a Riemann surface structure on S.

#### Definition

A rank *n* **Higgs bundle** over  $\Sigma$  is a pair  $(E, \phi)$  where *E* is a holomorphic vector bundle of rank *n* and  $\phi \in H^0(\Sigma, End(E) \otimes K)$ , called the **Higgs field**, where *K* is the cotangent bundle.

Equivalently,  $\phi$  is a family of morphisms { $\phi_x \in EndE_x \otimes K_x | x \in \Sigma$ }.  $\phi_x$  is a matrix of holomorphic one-form with eigenvalues valued in K.

Ref: Qiongling Li. An introduction to Higgs bundles via harmonic maps. Symmetry, Integrability and Geometry: Methods and applications, May 2019

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At each point  $x \in \Sigma$ , we can "draw" its eigenvalues, and finally, we can obtain **a** graph of eigenvalues, denoted by  $\tilde{\Sigma}$ .  $\tilde{\Sigma}$  is a branched cover over  $\Sigma$ .



 $L \to \tilde{\Sigma}$  is a line bundle: for  $p \in \tilde{\Sigma}$ , the fiber  $L_p$  is the eigenvector associated to eigenvalue p.



Recall that a linear transformation can split a vector space into a direct sum of eigenspaces. Hence, at a regular point b,  $E_b = \bigoplus_i L_{p_i}$ .





Ref:Hyperbolic band theory through Higgs bundles, Advanced in Mathematics, 409:108664, November 2022

We've known following Hopf bundles:

 $S^0 \hookrightarrow S^1 \xrightarrow{2} S^1$ 

- $S^1 \hookrightarrow S^3 \xrightarrow{\eta} S^2$
- $S^3 \hookrightarrow S^7 \xrightarrow{\mu} S^4$

These Hopf bundles are nontrivial elements in  $\pi_1(S^1)$ ,  $\pi_3(S^2)$ ,  $\pi_7(S^4)$ .

They also arise from normalised eigenbundles of  $2 \times 2$  matrices.

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# $S^0 \hookrightarrow S^1 \xrightarrow{2} S^1$ (equivalent to $O(1) \hookrightarrow SO(2) \to SO(2)/O(1)$ ) 2-band Hermitian Hamiltonian

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O(1) imes O(1) o SO(3) o SO(3) / (O(1) imes O(1)) 3-band Hermitian Hamiltonian

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 $\downarrow$  generalize to

 $O(1) \times O(1) \rightarrow SO(3) \rightarrow SO(3)/(O(1) \times O(1))$  3-band Hermitian Hamiltonian

 $\downarrow$  generalize to

? 3-band pseudo-Hermitian Hamiltonian

# Hopf bundle $S^0 \hookrightarrow S^1 \xrightarrow{2} S^1$ is a special Higgs bundle.

# Classifying

#### Question: How to classify Higgs bundles induced by parametrized Hamiltonians?

Compute loops and find relations.

Question: How to classify **Higgs bundles induced by parametrized Hamiltonians**? **Compute loops and find relations.** 

# Consider singular points of the matrix $H_1 = \begin{bmatrix} 1 & f_1 & f_2 \\ -f_1 & -1 & f_3 \\ -f_2 & f_3 & -1 \end{bmatrix}$





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# Thanks!